

# Biological/Clinical Outcome Models in RT Planning

Randy Ten Haken

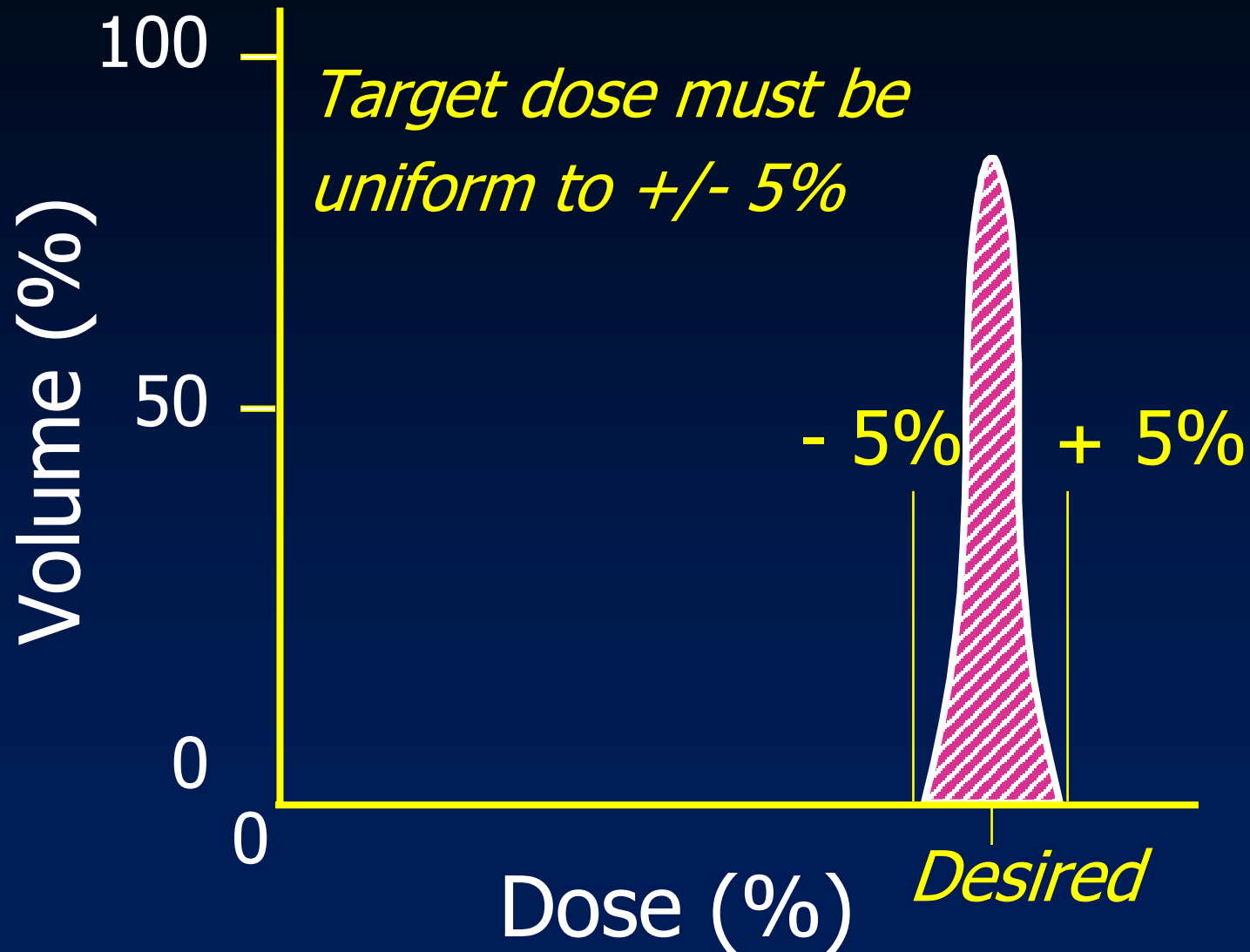
Ken Jee

University of Michigan

# Why consider use of models?

- Are there problems that use of outcomes models could help resolve?
- Would their use make things easier or more consistent?
- Is this relevant today?

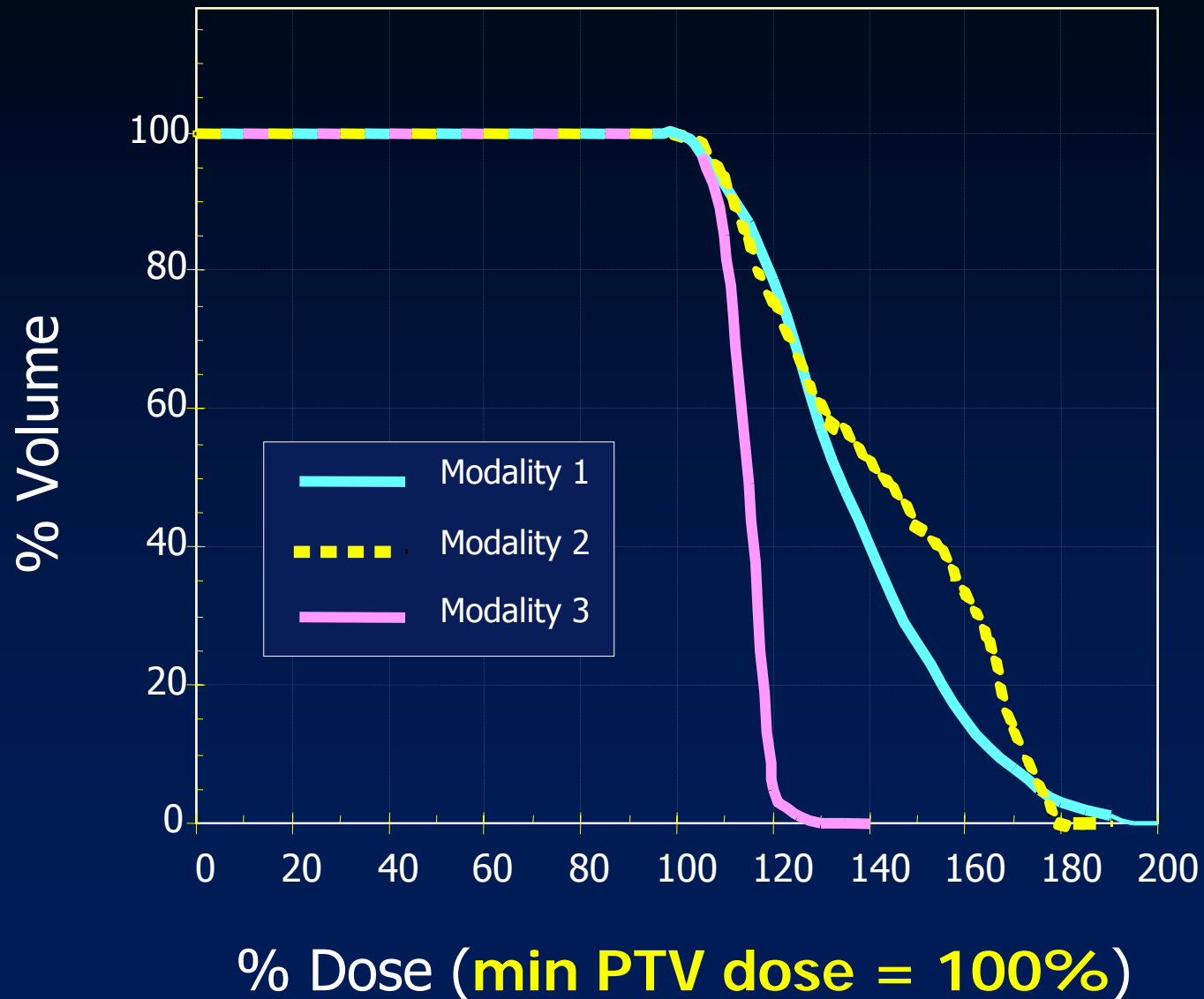
# 3D CRT – PTV covered!



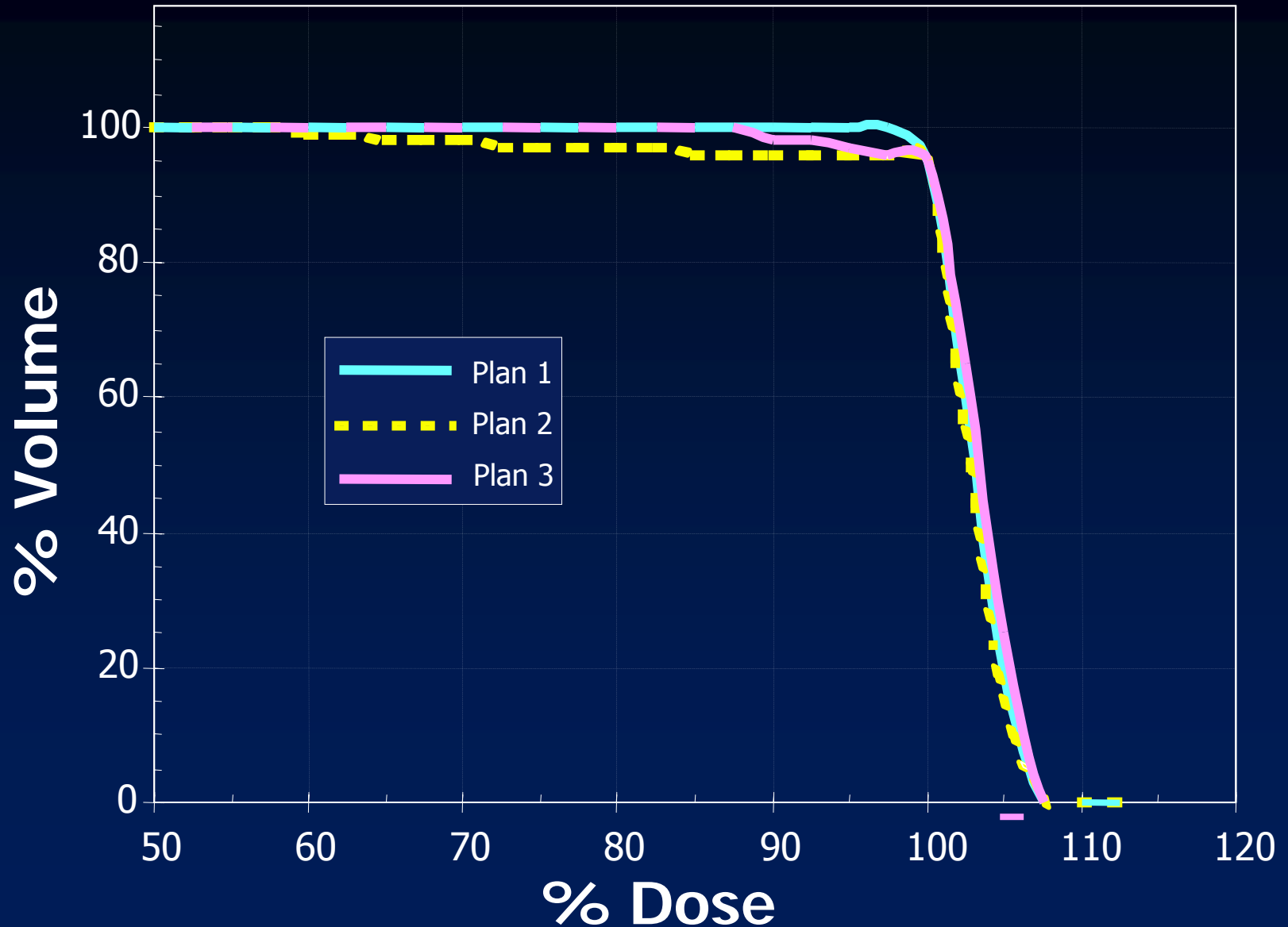
# RTOG IMRT target criteria

- The prescription dose is the isodose which encompasses at least 95% of the PTV.
- No more than 20% of any PTV will receive  $>110\%$  of its prescribed dose.
- No more than 1% of any PTV will receive  $<93\%$  of its prescribed dose.

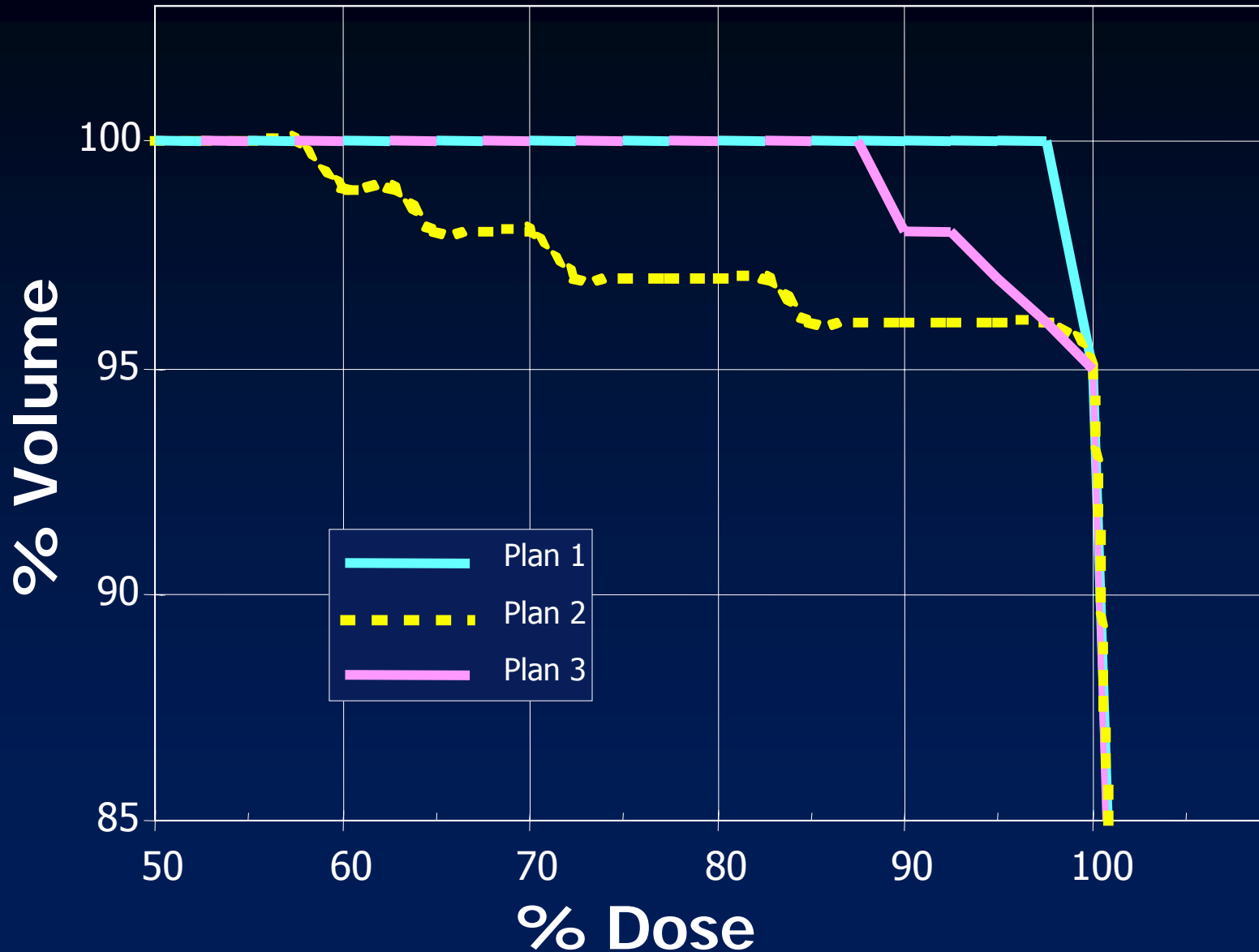
# Irregular Target Volume DVHs



# Dose normalized to 95% of PTV



# Dose normalized to 95% of PTV

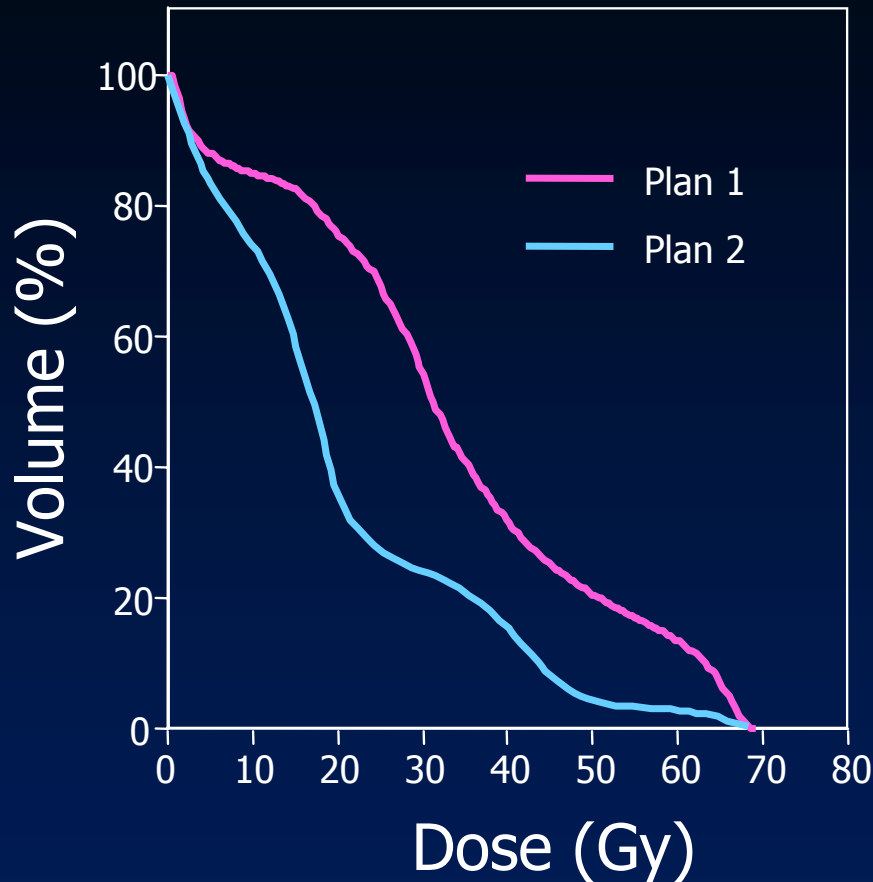


# Target volume issues

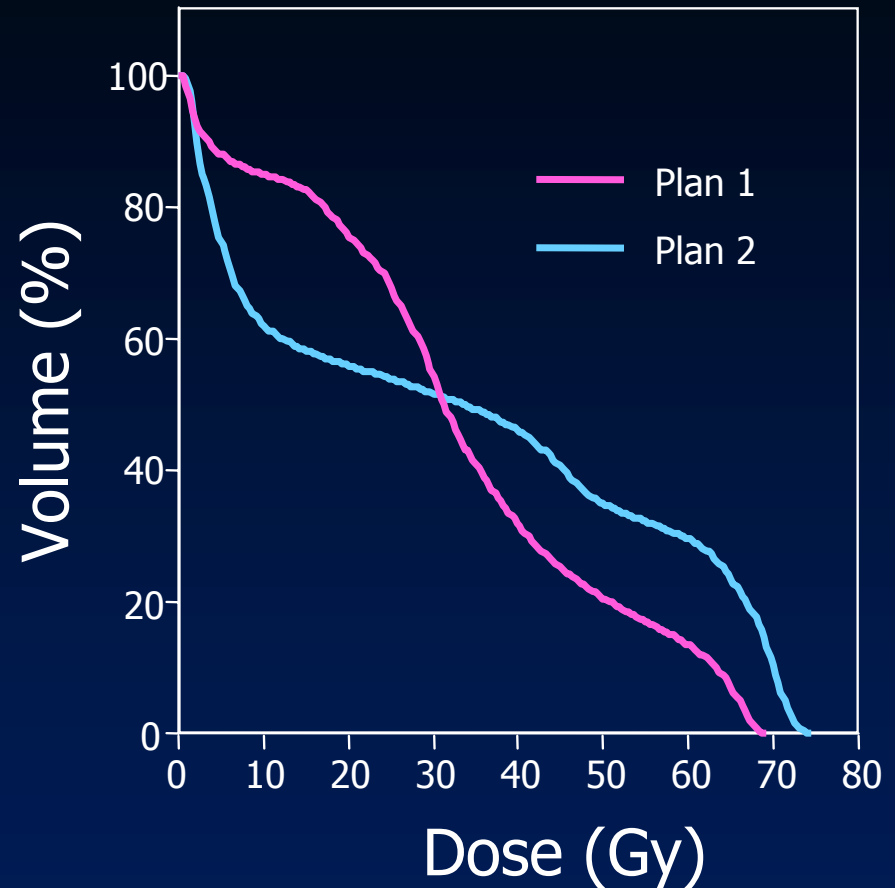
- Are target volume hot spots beneficial?
- Are target volume cold spots detrimental?
- How do cold spots and hot spots play off against each other?
- Use of TCP or EUD models could help us make rational decisions



# DVH Comparison - normal tissue



**Easy!**  
**Plan 2 is less toxic**

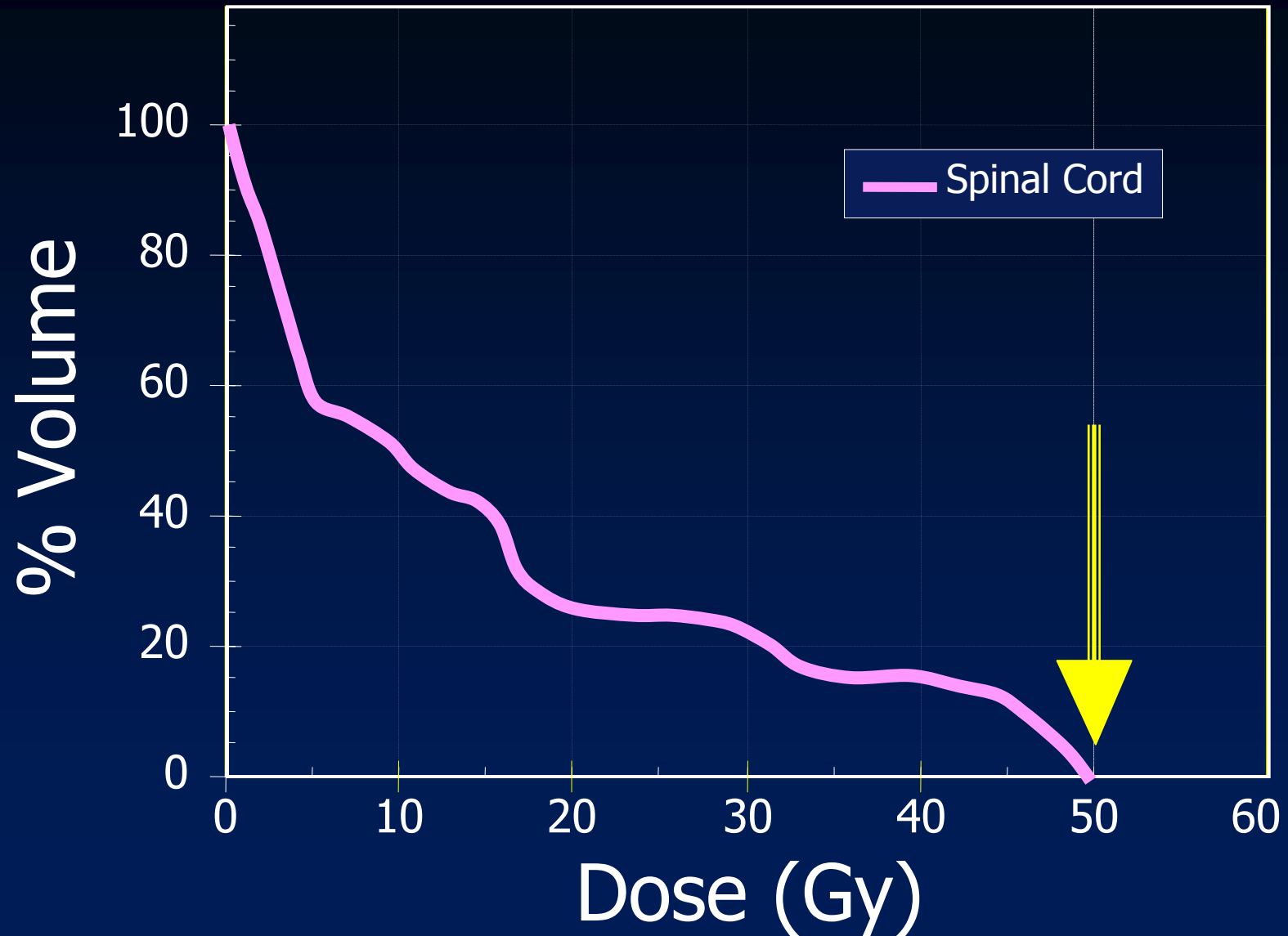


**Who knows?**  
**Depends on tissue type**

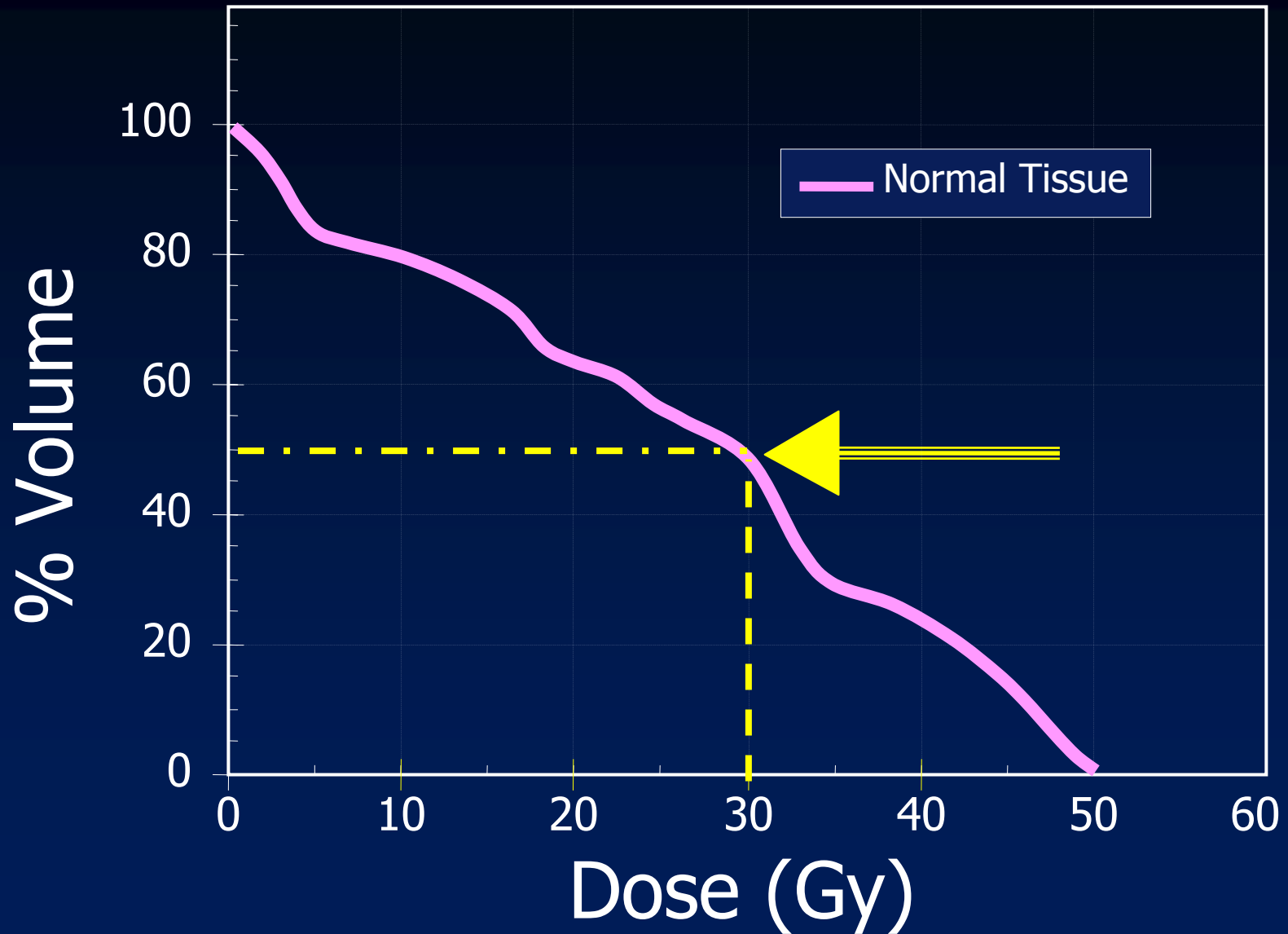
# RTOG normal tissue dose criteria

- Small bowel  $< 30\%$  to receive  $\geq 40$  Gy  
+ minor deviation 30% to 40 Gy
- Rectum  $< 60\%$  to receive  $\geq 30$  Gy  
+ minor deviation 35% to 50 Gy
- Bladder  $< 35\%$  to receive  $\geq 45$  Gy  
+ minor deviation 35% to 50 Gy
- Femoral head  $\leq 15\%$  to receive  $\geq 30$  Gy  
+ minor deviation 20% to 30 Gy

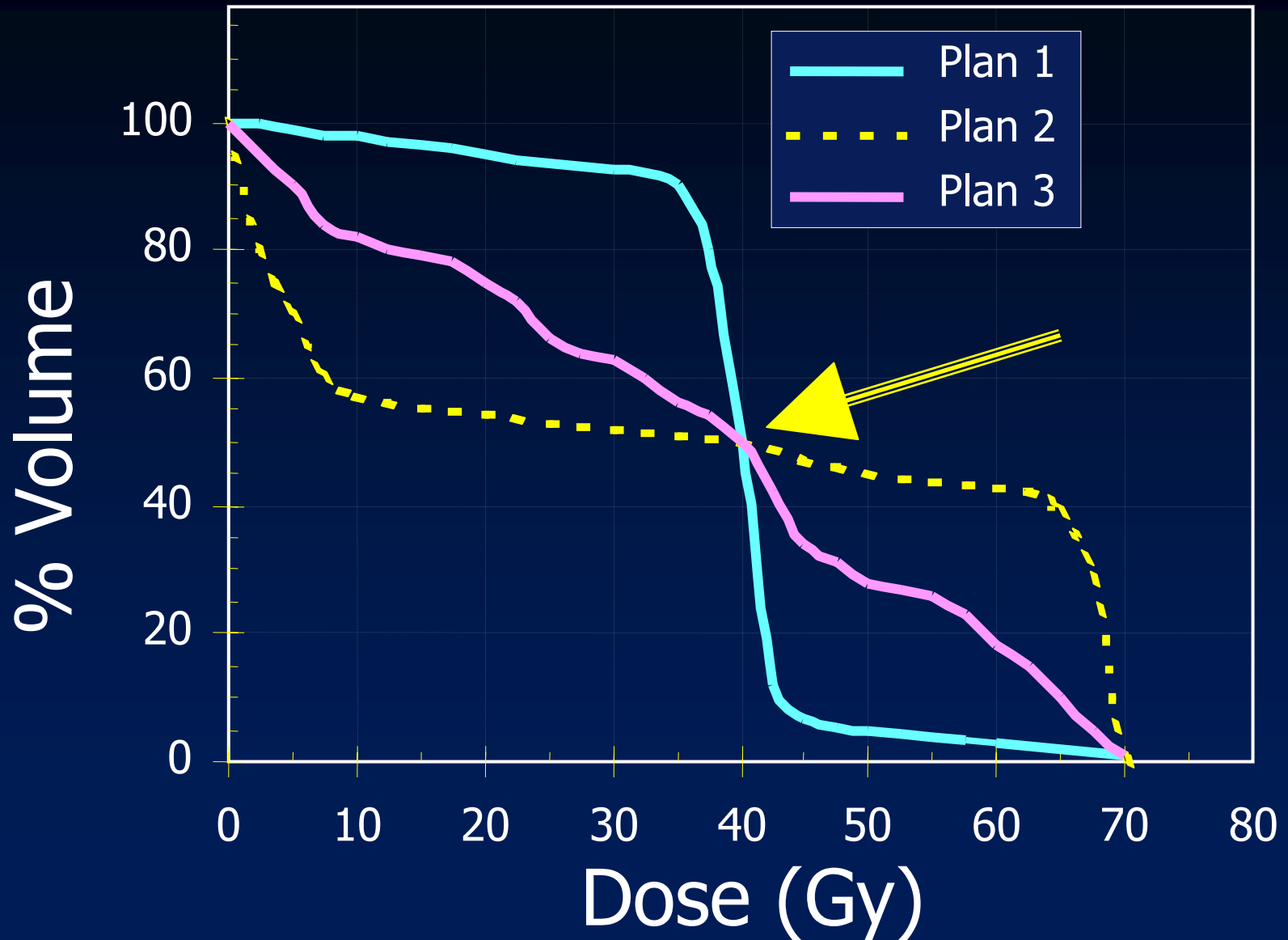
# Normal Tissue (Max Dose Constraint)



# Normal Tissue (Single Point Constraint)



# Normal Tissue (Single Point Constraint)



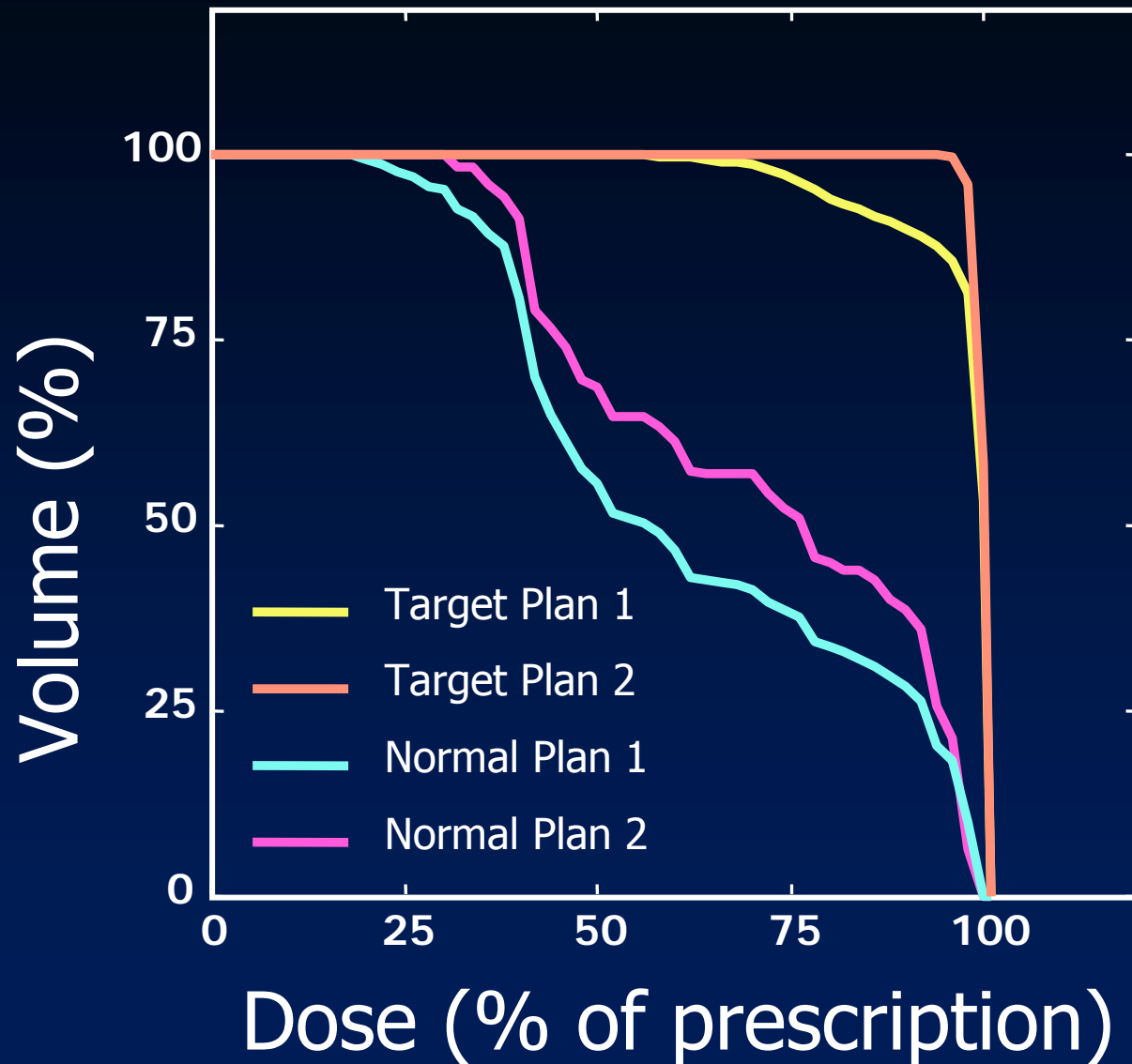
# Normal tissue issues

- The applicability of dose/volume criteria alone is dependent on:
  - + Tissue type
  - + Standardization of technique
- Use of models could assimilate effects of irregular dose distribution across the entire normal tissue/organ under consideration.

# Overall Plan Evaluation

- Optimization of IMRT is an inherently multicriteria problem as it involves multiple planning goals for target volumes and their neighboring critical tissue structures.
- **Successful achievement of one planning goal often competes with those of other planning goals.**

# Overall plan evaluation?





# Models could make things easier

- Thanks to the prevalence of 3D CRT, considerable data exist relating tumor and normal tissue outcomes with planned dose distributions.
- From the purely technical perspective, such information could supplement or replace simple dose-volume criteria for inverse planning and/or treatment plan evaluation.

# Outline

- Normal Tissue Complication Probability (NTCP) models
- Tumor Control Probability (TCP) models
- Equivalent Uniform Dose (EUD) for tumors and normal tissues
- Clinical Response Modeling
  - + Maximum likelihood analysis
  - + Confounding variables and problems

# Why use an NTCP model?

- We would like to be able to fully describe complications as a function of any dose to any volume.
- Most clinical trials will only sample the low portion of any normal tissue complication probability (NTCP) frequency distribution.
- Start with a model based on normal statistical distributions
  - + Try to parameterize the model for future use using a limited amount of information

# The Lyman NTCP Model

Lyman JT: Complication probability – as assessed from dose-volume histograms. Radiat Res 104:S13-S19, 1985.

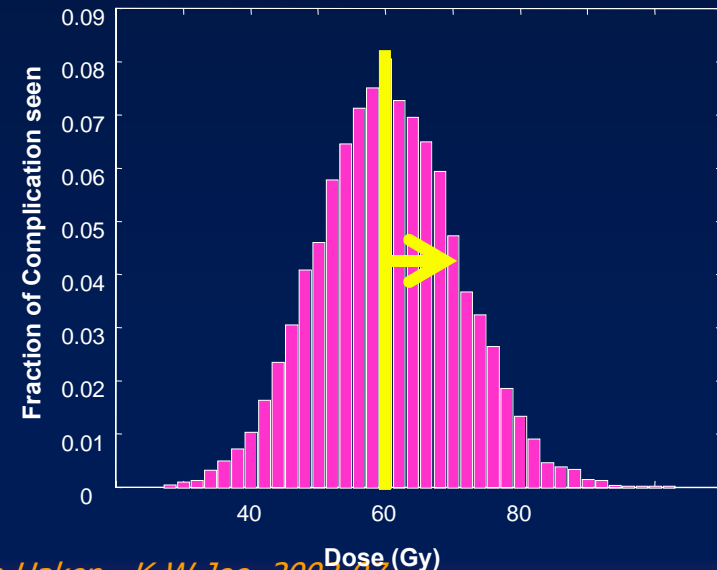
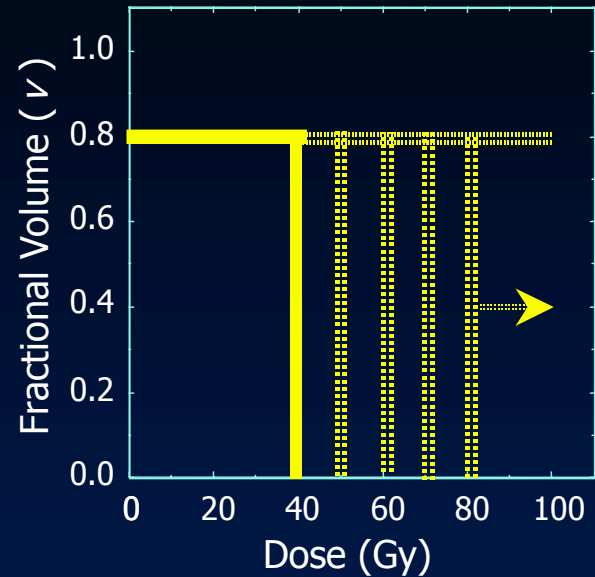
# The Lyman NTCP Model

- The Lyman NTCP model attempts to mathematically describe complications associated with uniform partial organ irradiation.
- This implies:
  - + A fractional volume,  $V$ , of the organ receives a single uniform dose,  $D$ .
  - + The rest of the organ,  $(1 - V)$ , receives zero dose.
  - + i.e., a single step DVH,  $\{D, V\}$

# NTCP vs Dose for a fixed volume

For each uniformly irradiated fractional volume ( $v_i$ ), the Lyman model assumes that the distribution of complications as a function of Dose ( $D$ ) can be described by a normal distribution

- + with mean  $TD_{50}(v_i)$
- + standard deviation  $m \cdot TD_{50}(v_i)$



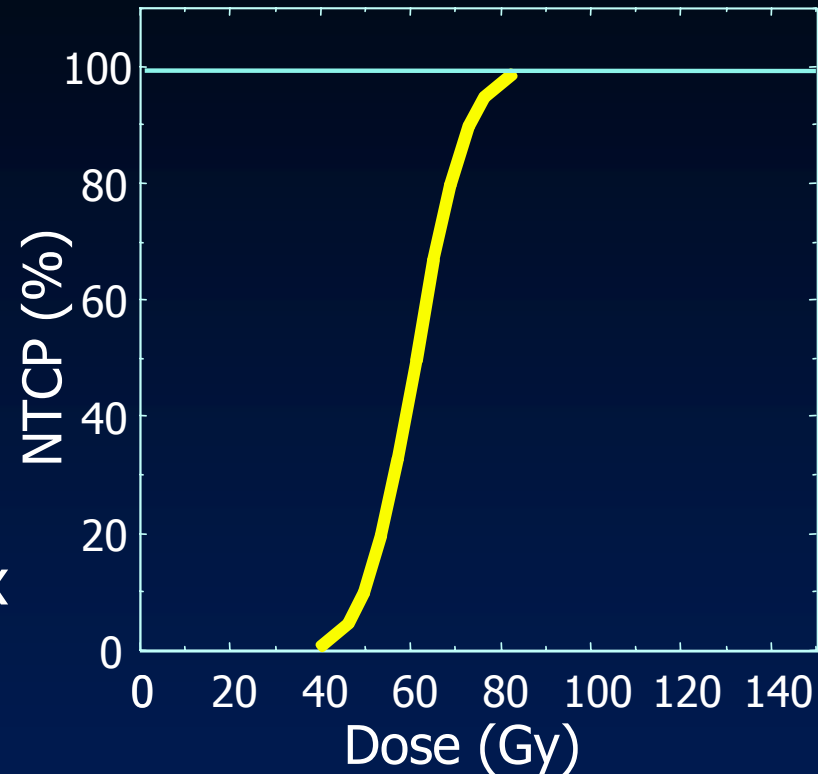
# NTCP vs Dose for a fixed volume

The NTCP as a function of dose,  $D$ , to that uniformly irradiated volume,  $V_i$ , can then be described by the integral probability:

$$\text{NTCP} = (2\pi)^{-1/2} \int_{-\infty}^t \exp(-x^2/2) dx$$

where;

$$t = (D - \text{TD}_{50}(V_i)) / (m \cdot \text{TD}_{50}(V_i))$$



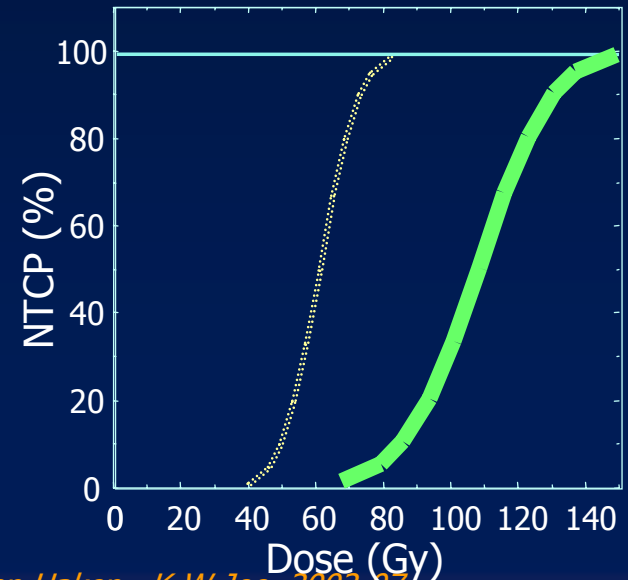
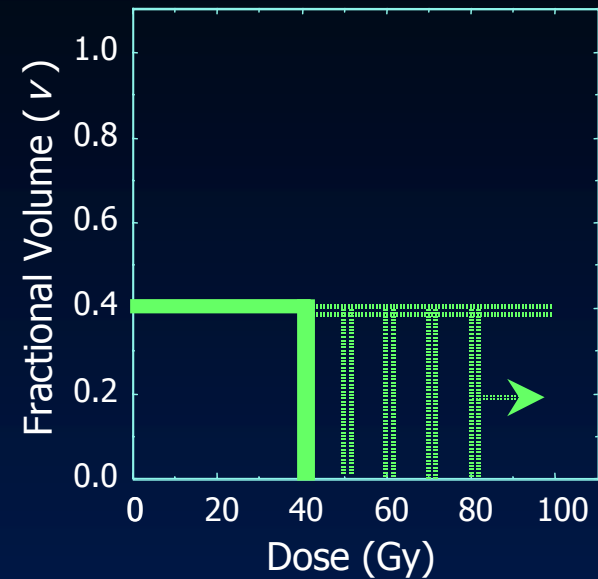
# NTCP vs Dose for a different volume

Similarly for a different uniformly irradiated fractional volume ( $v_j$ ):

$$\text{NTCP} = (2\pi)^{-1/2} \int_{-\infty}^t \exp(-x^2/2) dx$$

where;

$$t = (D - \text{TD}_{50}(v_j)) / (m \bullet \text{TD}_{50}(v_j))$$





# Lyman NTCP description

The final step:

- + assume that the mean dose,  $TD_{50}(v)$ , for the distribution of complications for each uniformly irradiated fractional volume  $v$ ,
- + is related to the mean dose for the distribution of complications for uniform irradiation of the whole organ volume,  $TD_{50}(1)$ ,
- + through a power law “volume effect” relationship:

$$TD_{50}(v) = TD_{50}(1) \cdot v^{-n}$$

# The Lyman NTCP Description

$$\text{NTCP} = (2\pi)^{-1/2} \int_{-\infty}^t \exp(-x^2 / 2) dx,$$

where;

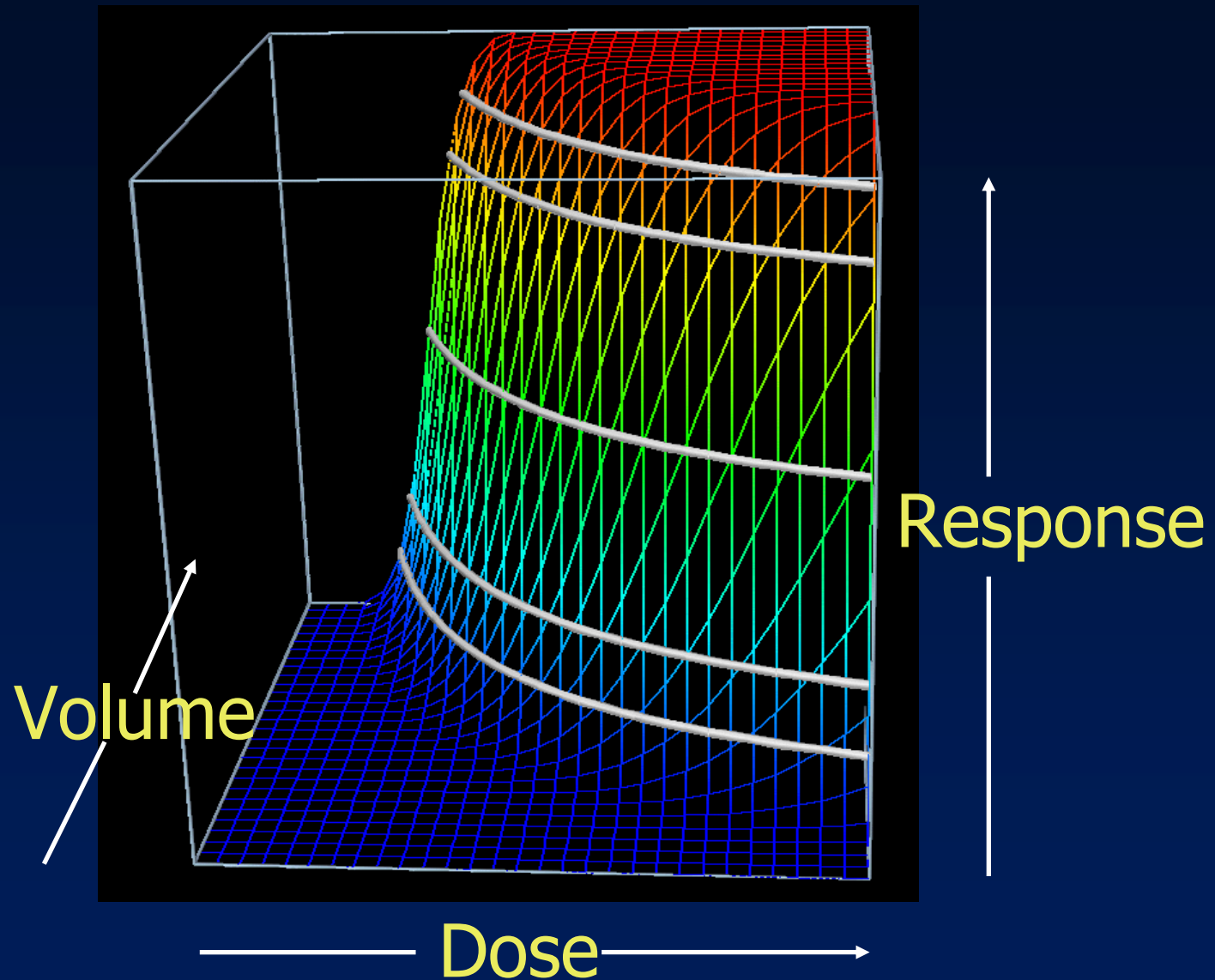
$$t = (D - \text{TD}_{50}(V)) / (m \cdot \text{TD}_{50}(V)),$$

and;

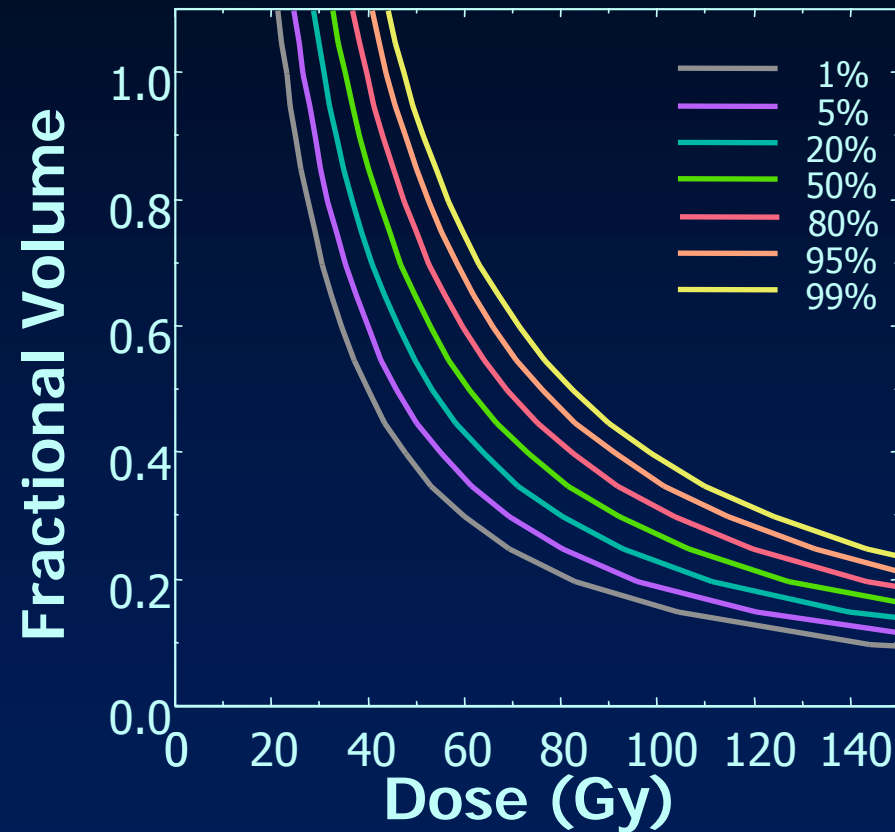
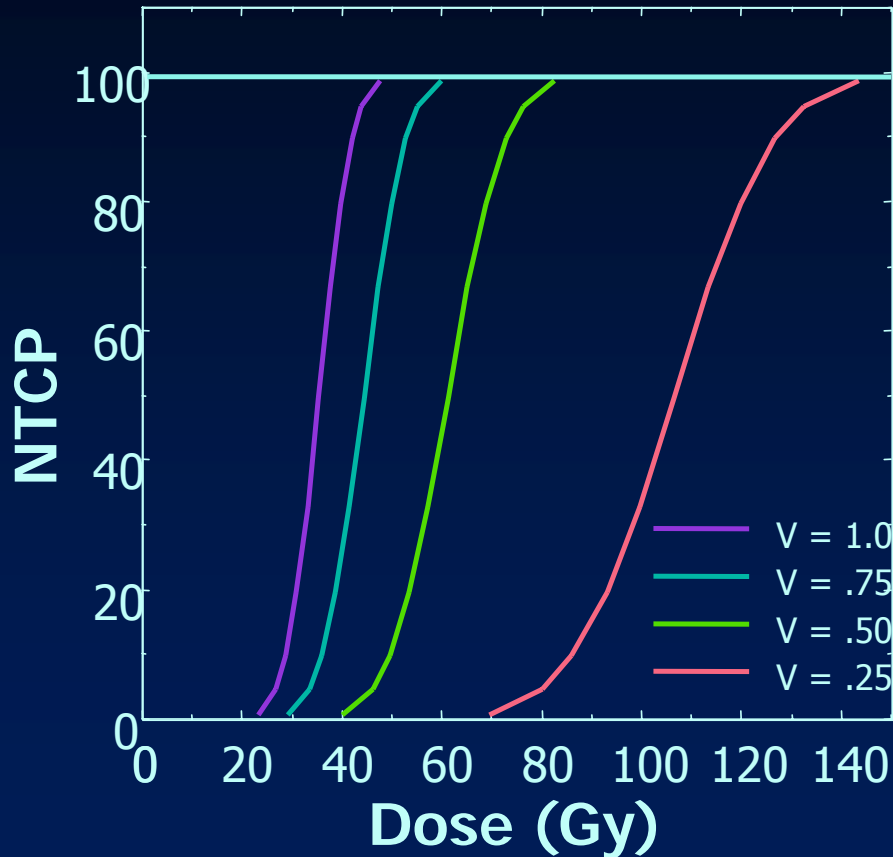
$$\text{TD}_{50}(V) = \text{TD}_{50}(1) \cdot V^{-n}$$

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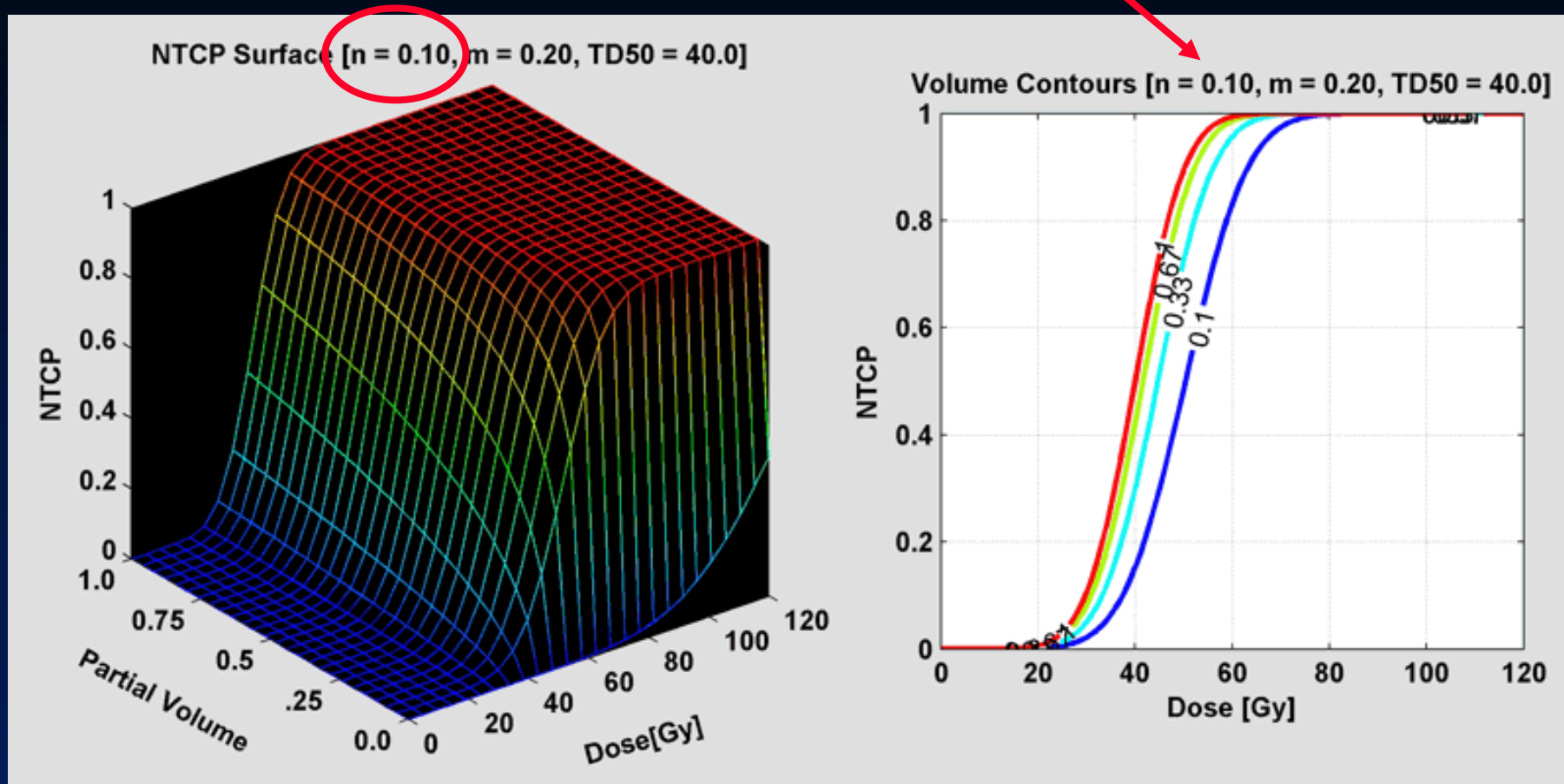
# Lyman Model dose-volume-response surface



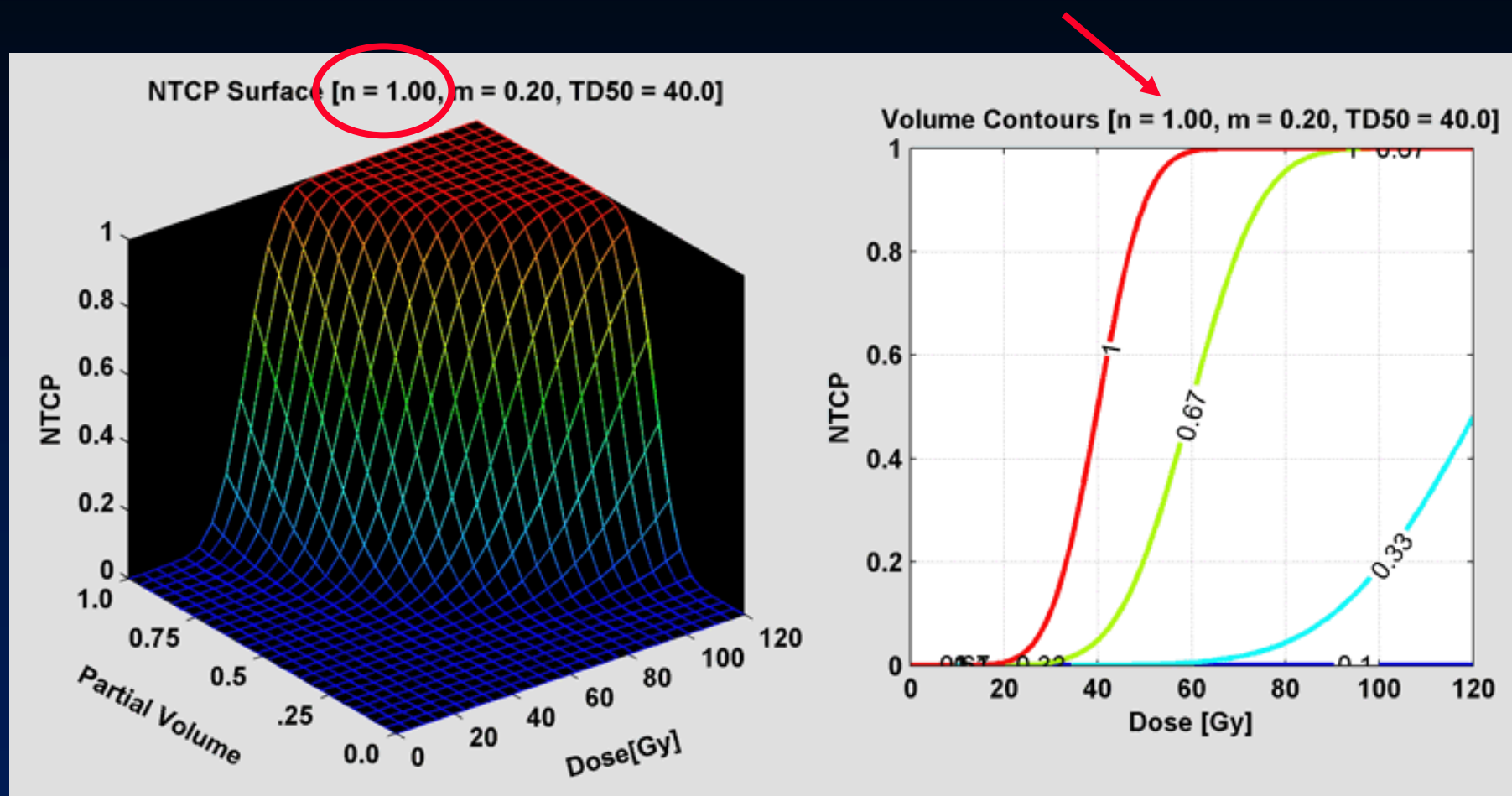
# Dose-volume-response contours for a tissue with a large volume effect ( $n = 0.80$ , $m = 0.15$ , $TD_{50} = 35$ Gy)



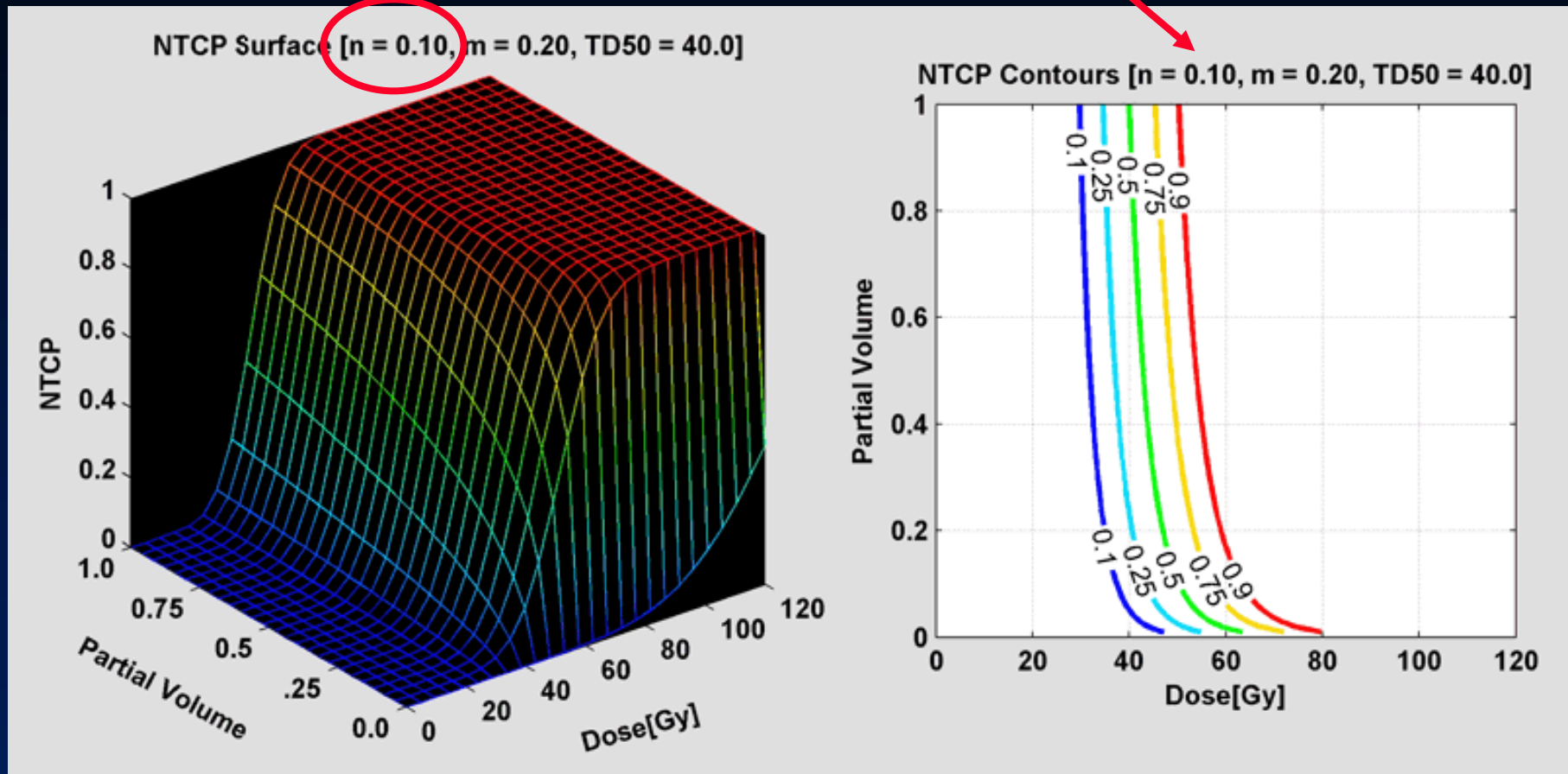
# Volume Effect (partial volume contours)



# Volume Effect (partial volume contours)

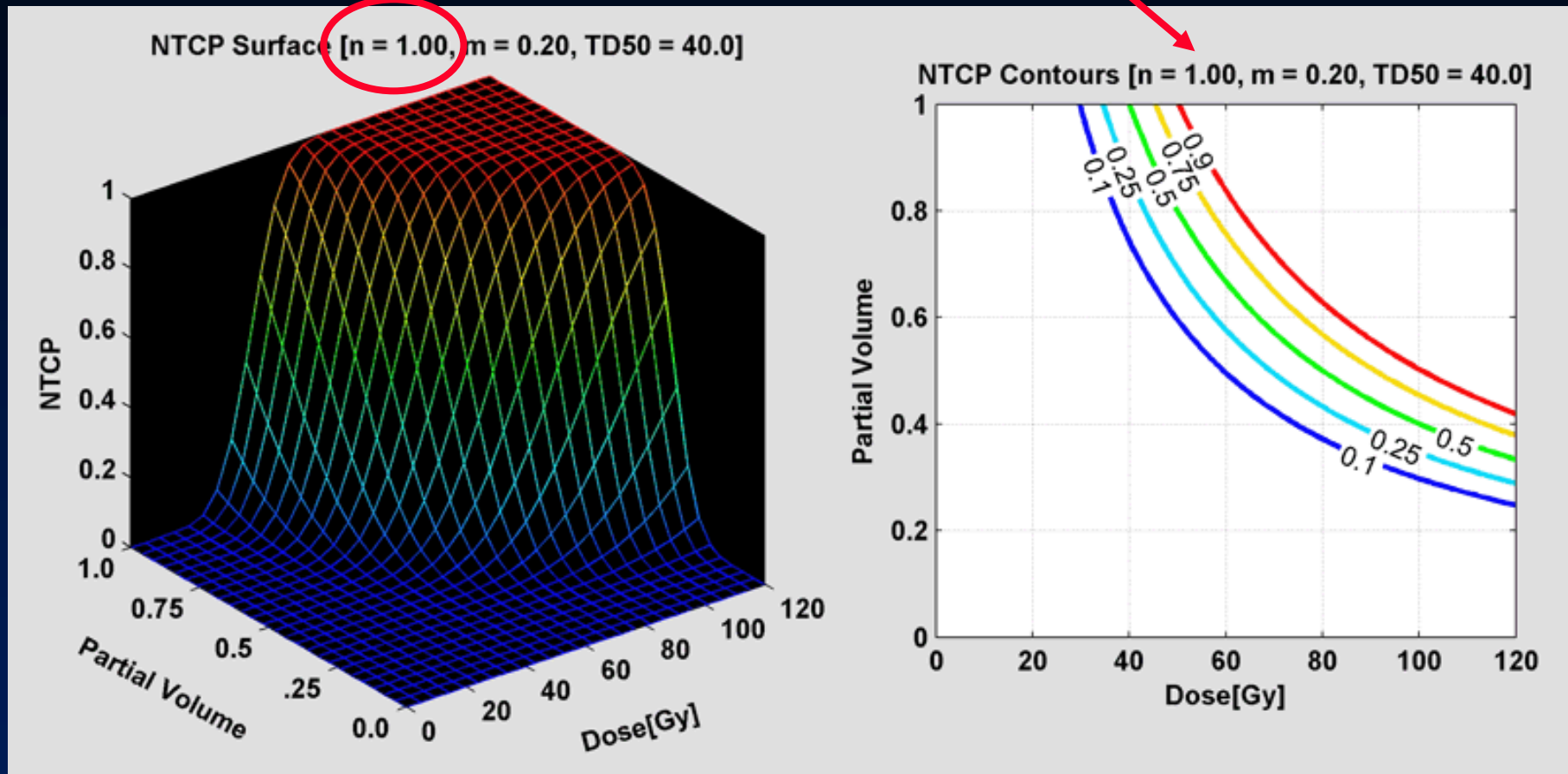


# Volume Effect (Iso-NTCP contours)





# Volume Effect (Iso-NTCP contours)





# Using the Lyman NTCP description

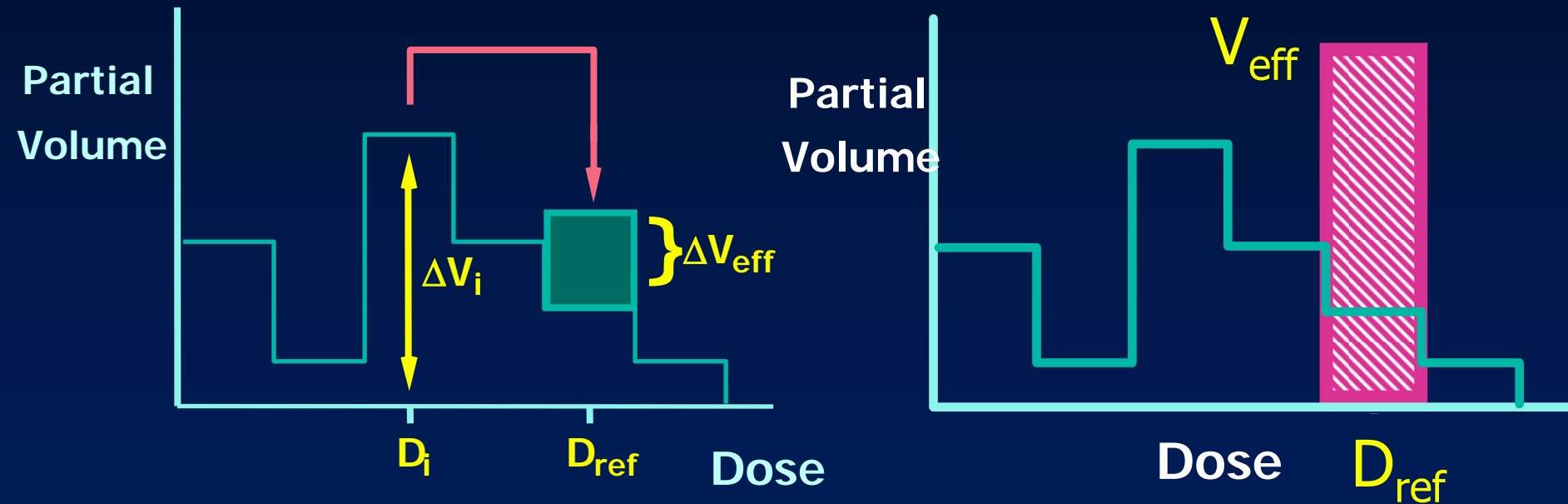
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  - + *i.e.*, a single step DVH,  $\{D, V\}$

# DVH reduction schemes

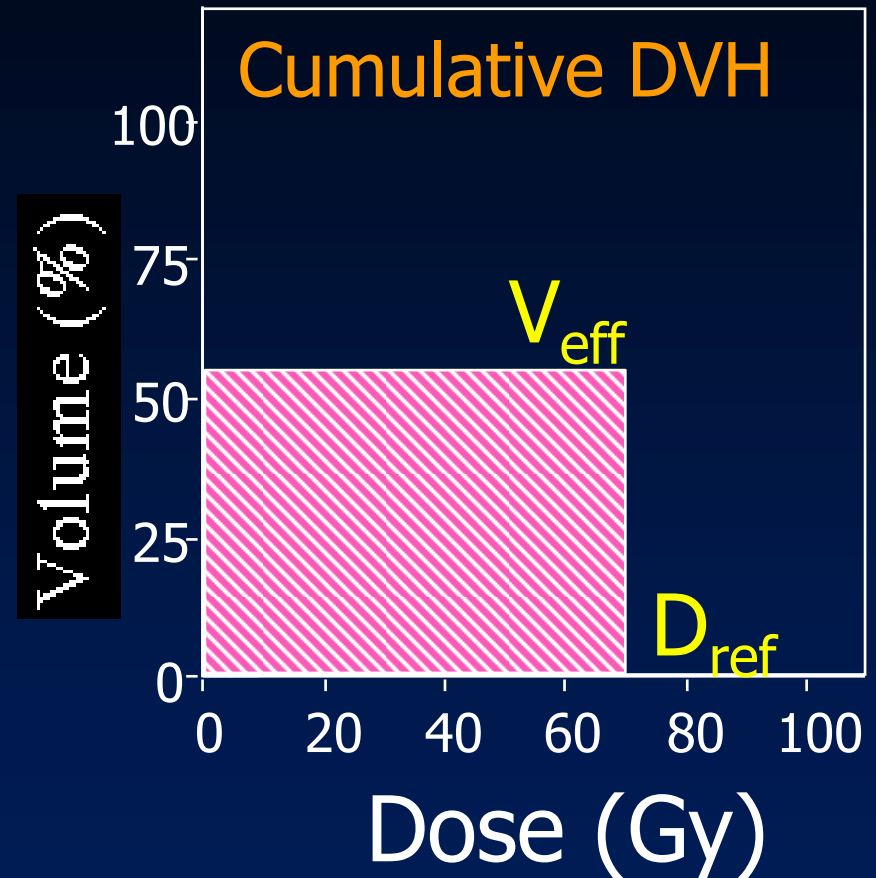
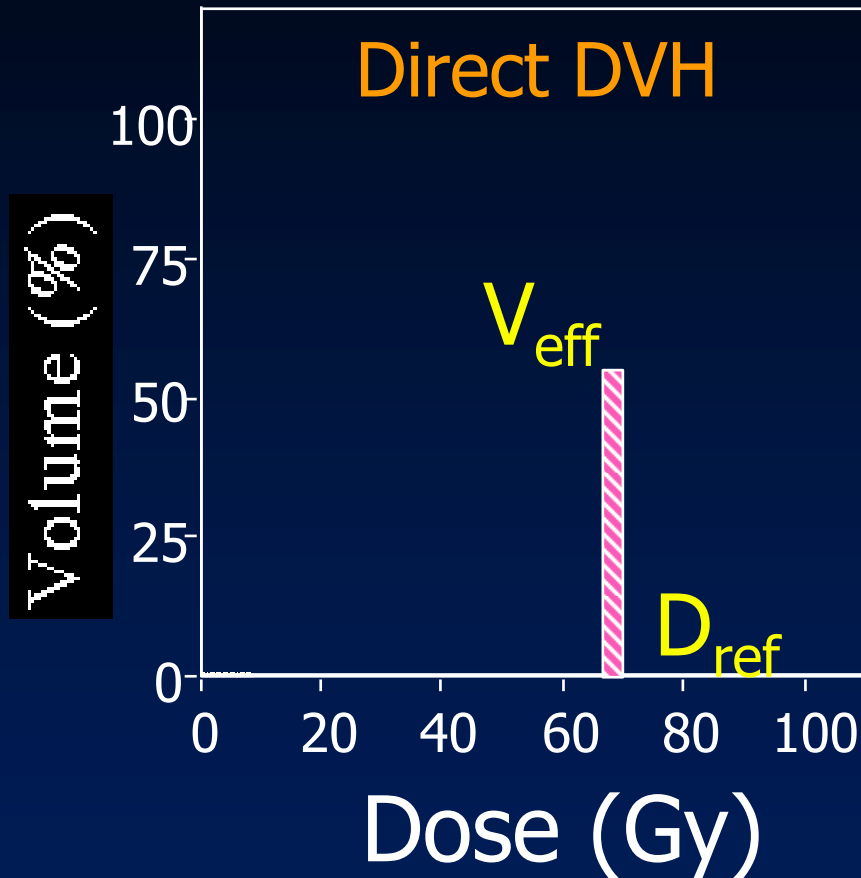
- For non-uniform irradiation, the 3D dose volume distribution (or DVH) must be reduced to a single step DVH that could be expected to produce an identical NTCP.
  - + Wolbarst & Lyman schemes reduce DVHs to uniform irradiation of entire organ ( $V=1$ ) to some reduced effective dose,  $D_{\text{eff}}$ .
  - + Kutcher & Burman scheme reduces a DVH to uniform irradiation of an effective fraction of the organ,  $V_{\text{eff}}$ , to some reference dose,  $D_{\text{ref}}$ .

# Effective Volume DVH reduction scheme

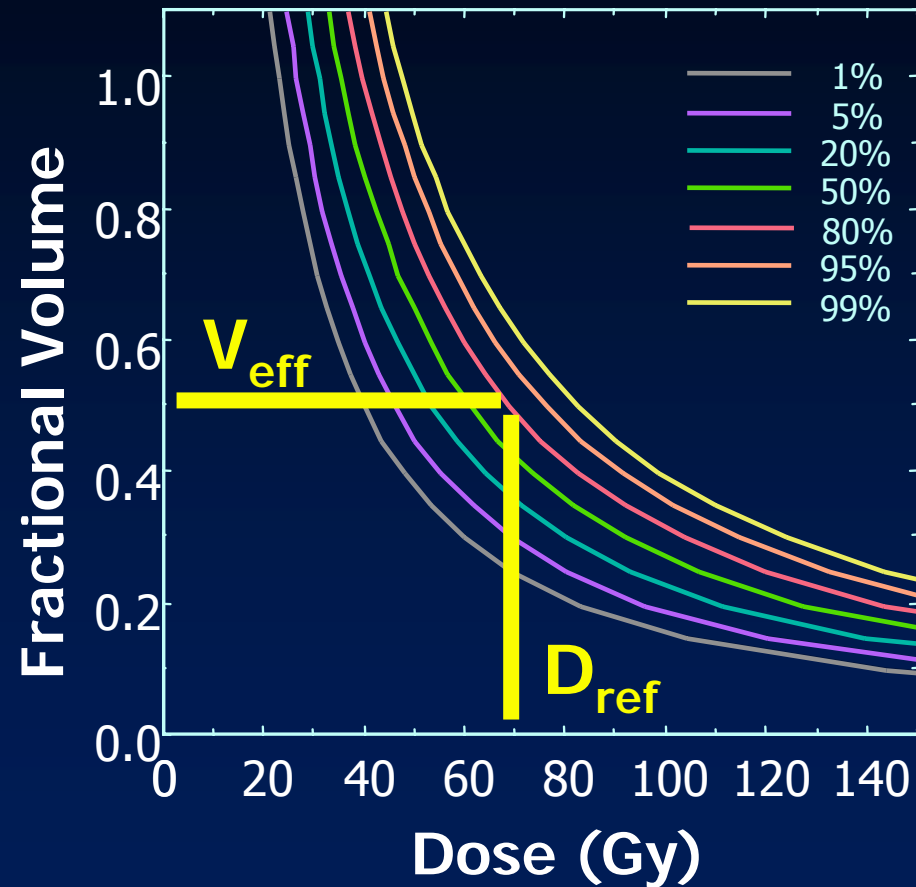
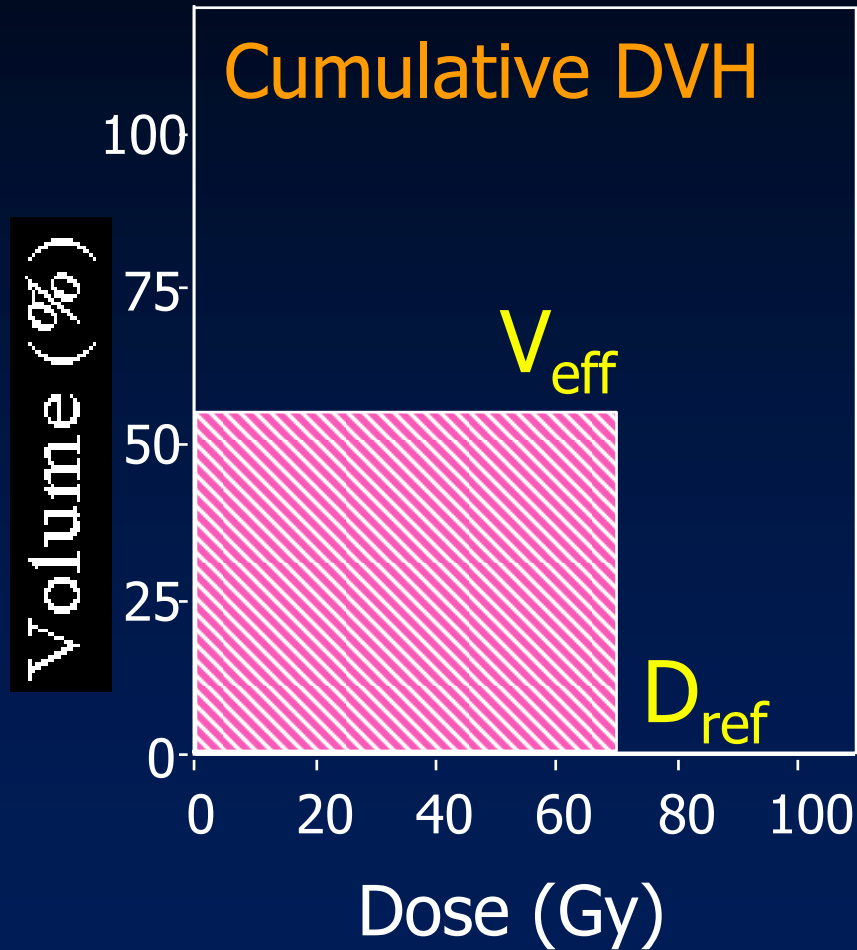
$$V_{\text{eff}} = \sum \{ v_i \cdot (D_i / D_{\text{ref}})^{1/n} \}$$



# Single step $\{D_{\text{ref}}, V_{\text{eff}}\}$ DVHs



# $V_{\text{eff}}$ DVH reduction $\rightarrow$ *NTCP evaluation*



# Local Radiation Response - Organ Functional Reserve Models

- Offer the potential for a more direct visualization of the relationship between the DVH and radiation damage
- May (ultimately) offer the possibility of linking cellular and organ subunit radiobiology to the prediction of radiation complications.

# Local Radiation Response - Organ Functional Reserve Models

- Jackson A, Kutcher GJ, Yorke E. Med Phys 20:613-525, 1993.
- Niemierko A, Goitein M. Int J Radiat Oncol Biol Phys 25:135-145, 1993.

# Local Damage Function

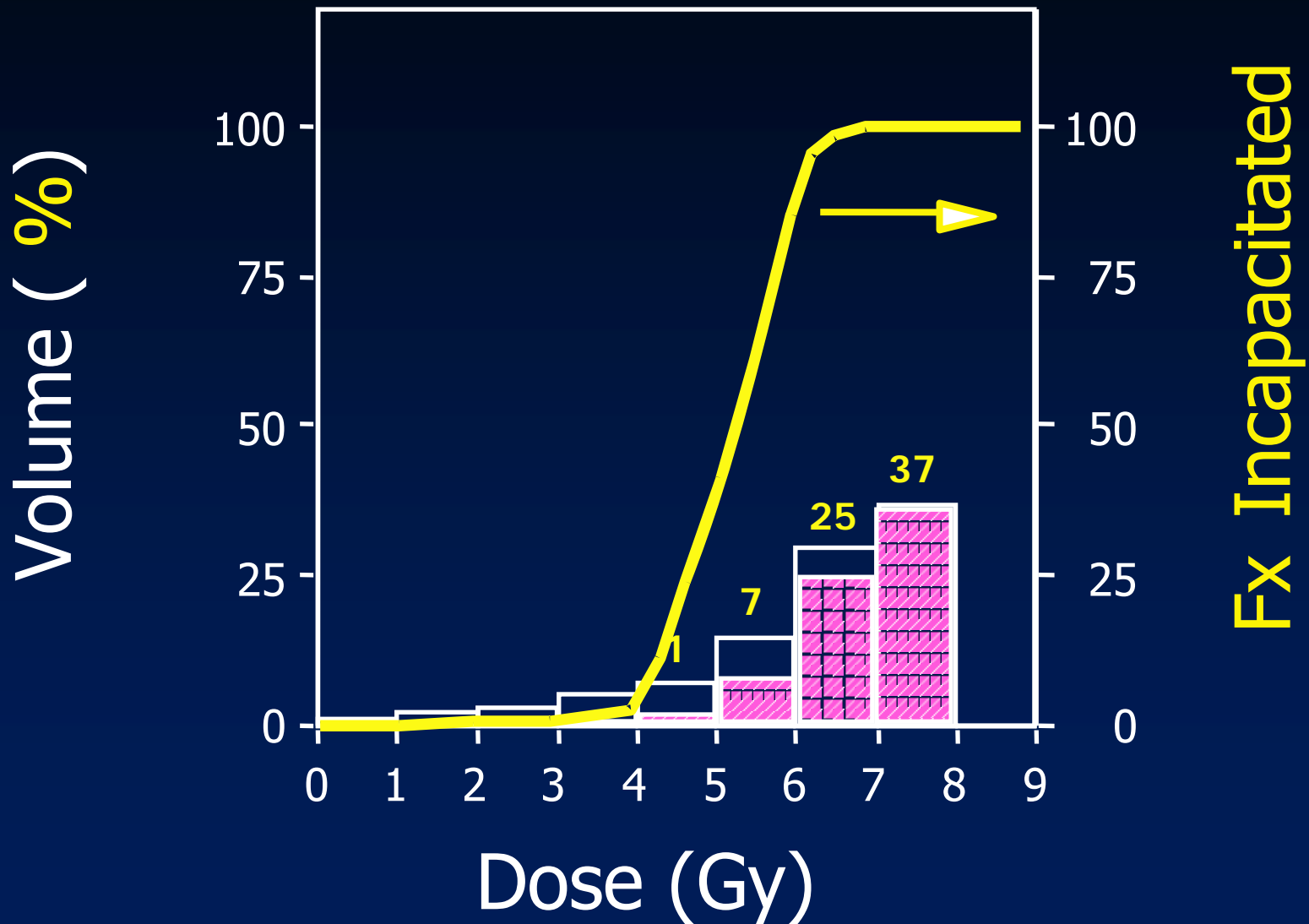
Fraction (f) of a macroscopic volume element incapacitated by a dose D can be described by a simple response function:

$$f = \frac{1}{(1 + (D_{50} / D)^k)}$$

where  $D_{50}$  is the dose which incapacitates half the volume and “k” describes the steepness of the “local damage” function.



# Local Damage Function



# Total Estimated Damage

Total fraction ( $F$ ) of the organ that is incapacitated is equal to the sum of the fractions of the individual macroscopic volume elements destroyed.

$$F = \sum f_i$$

# Organ Injury Function

$$\text{NTCP} = (2\pi)^{-1/2} \int_{-\infty}^t \exp(-x^2 / 2) dx,$$

where

$$t = (F - F_{50}) / \sigma_v$$

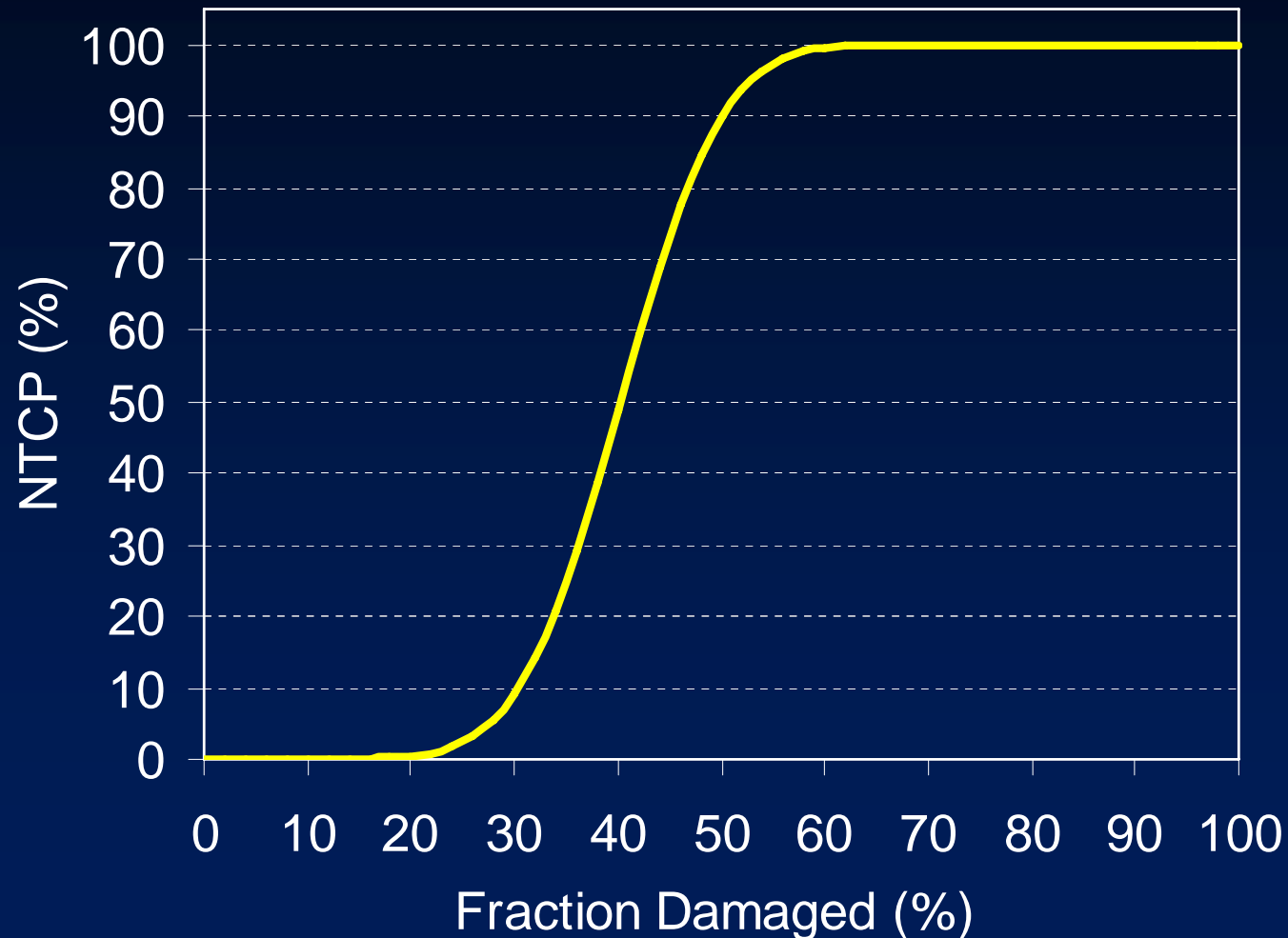
$F_{50}$  is the fraction of the total organ damaged which would produce a 50% complication rate,

$\sigma_v$  describes the steepness of the “organ” response function

# Organ Injury Function

## Cumulative Functional Reserve

( $F_{50} = 0.40$ ;  $\sigma = 0.077$ )



# Tumor Control Probability (TCP) Calculations

# TCP Calculation Assumptions

- An inhomogeneously irradiated tumor volume is composed of smaller volume elements,
  - + each with uniform dose,
  - + each responding independently to radiation.

# Basic TCP Models

- “Tumorlet” model (Goitein, Brahme...
- Survival of clonogenic cells (Webb, Nahum, ...)

# "Tumorlet" TCP Model

- Tumorlet radiosensitivity estimated from the dose-response assumed for the entire tumor.
- Overall TCP predicted by product of the TCPs for each tumorlet.



# "Tumorlet" TCP Assumptions

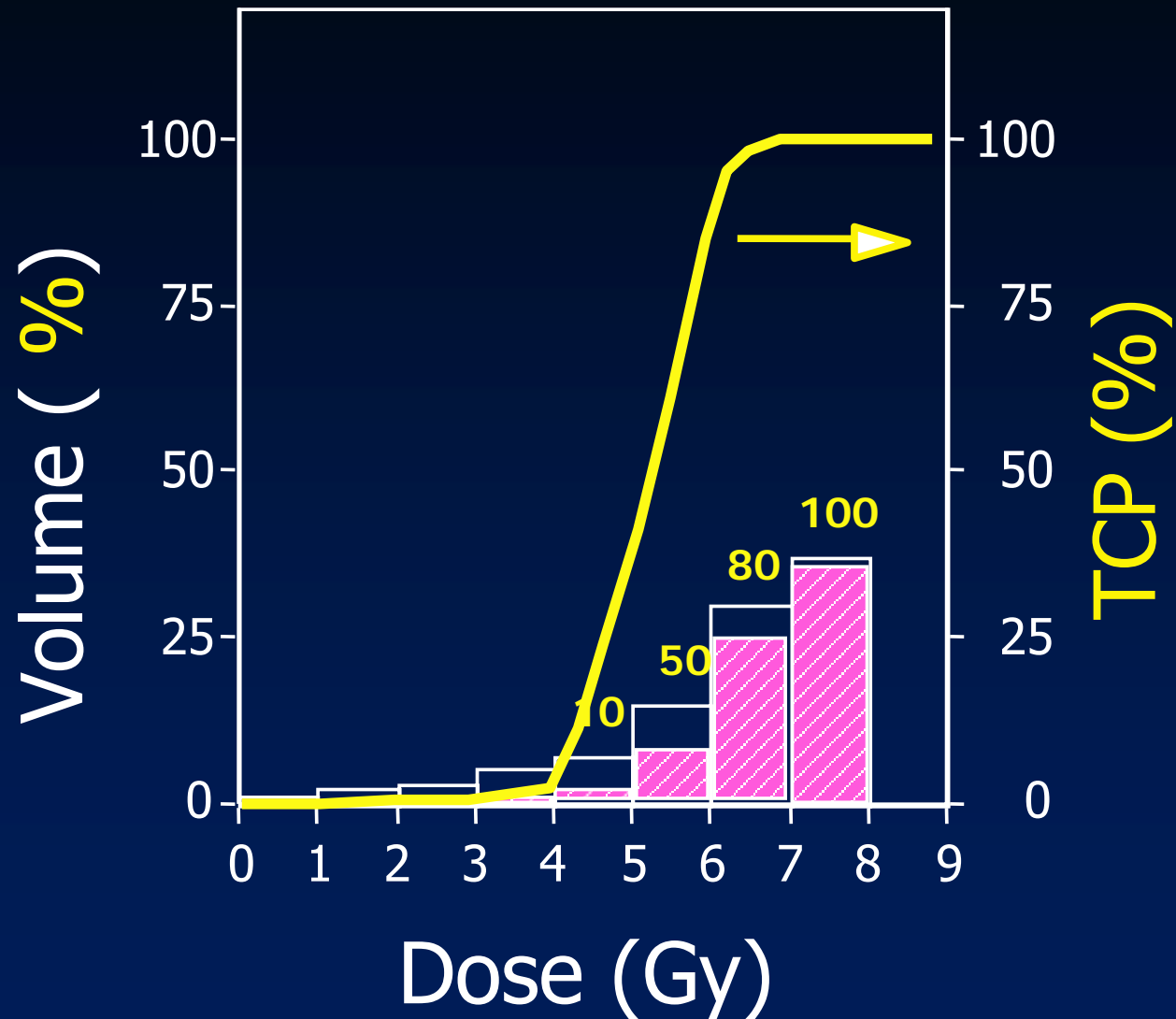
TCP of uniformly irradiated "tumorlet" with partial fractional volume  $V_i$  is estimated from the dose response assumed for uniform irradiation of the entire tumor to the same dose  $D_i$  :

$$\text{TCP} ( D_i , 1 ) = 1 / \{ 1 + ( D_{50} / D_i )^k \}$$

using:

$$\text{TCP} ( D_i , V_i ) = [ \text{TCP} ( D_i , 1 ) ]^{V_i}$$

# Tumorlet TCPs



# “Tumorlet” TCP Assumptions

Overall TCP predicted by product of the TCPs for each tumorlet.

$$\text{TCP}_{\text{total}} = \prod_i \text{TCP} (D_i, V_i)$$

# Clonogenic Cells TCP Model

- Number of surviving clonogenic cells estimated for each dose level and summed to obtain total number of surviving cells
- Overall TCP related to total number of surviving clonogenic cells

# Surviving Clonogenic Cells TCP Calculation

For uniform initial clonogenic cell density  $\rho$ , and uniform radiosensitivity  $\alpha$ , the number of surviving clonogenic cells for each bin of the DVH  $\{V_j \text{ (cm}^3\text{)}, D_j \text{ (Gy)}\}$  is estimated as:

$$N_{s,j} = \rho V_j \exp(-\alpha D_j)$$

# Surviving Clonogenic Cells TCP Calculation

The total number of surviving clonogenic cells is then the sum over all bins of the DVH:

$$N_{s, \text{ tot }} = \sum_j \rho V_j \exp (-\alpha D_j),$$

from which the TCP is estimated:

$$\text{TCP} = \exp (-N_{s, \text{ tot }})$$

# Equivalent Uniform Dose

- Uniform dose distribution that if delivered over the same number of fractions would yield the same radiobiological or clinical effect.
  - + Niemierko 1996
  - + Brahme 1991
  - + Niemierko 1999 (abstract) gEUD

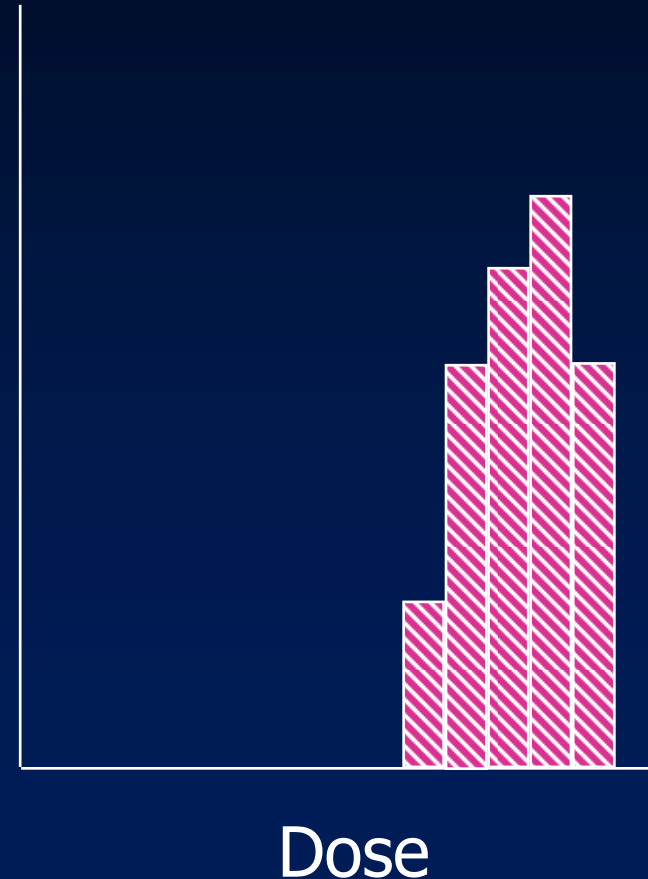
# Equivalent Uniform Dose for Target Volume

$$\text{EUD} = 2 \bullet \ln \{ \sum v_i (\text{SF}_2)^{D_i/2} \} / \ln (\text{SF}_2)$$

$\text{SF}_2$  = Fx of clonogens surviving  
single 2 Gy dose

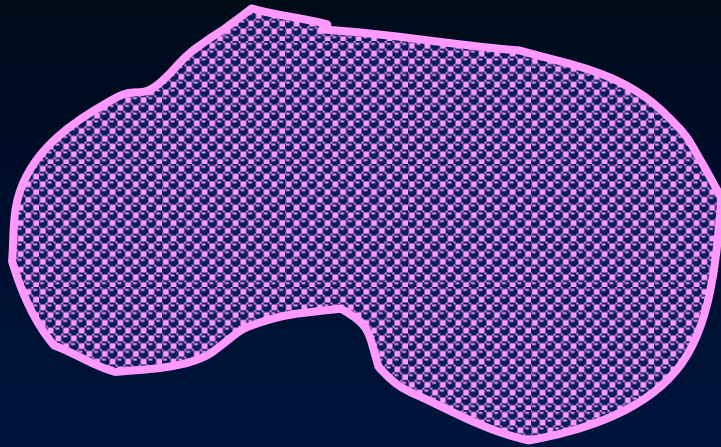
$v_i$  = fractional volume

$D_i$  = uniform dose to  $v_i$       Volume



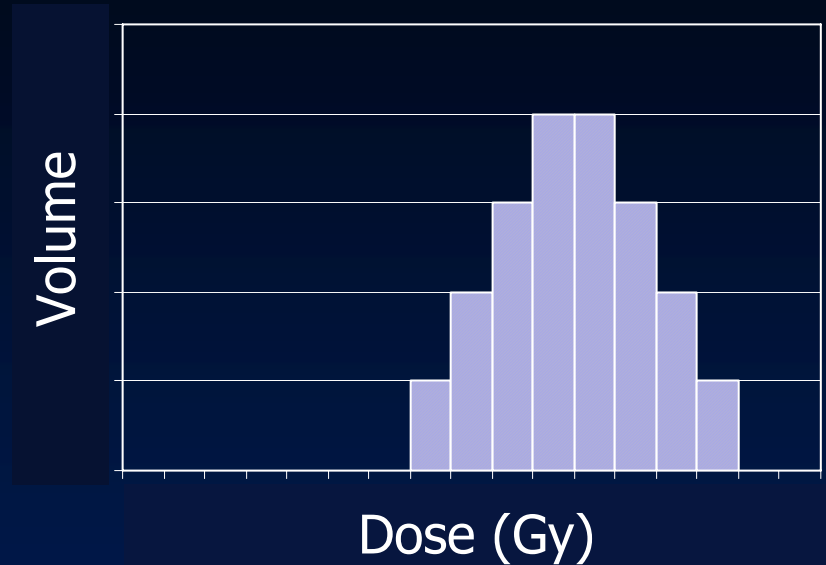


# Generalized Equivalent Uniform Dose (gEUD)



ROI with  $N$  dose points  $d_i$

DVH (fractional volume  $v_i$  receives dose  $d_i$ )



$$gEUD \equiv \left( \frac{\sum_{i=1}^N d_i^a}{N} \right)^{1/a} \equiv \left( \sum_i v_i d_i^a \right)^{1/a}$$

# gEUD

$$gEUD \equiv \left( \frac{\sum_{i=1}^N d_i^a}{N} \right)^{1/a} \equiv \left( \sum_i v_i d_i^a \right)^{1/a}$$

Tumors:  $a$  is a negative number

Normal Tissues:  $a$  is a positive number

For  $a = 1$ ,  $gEUD$  = mean dose

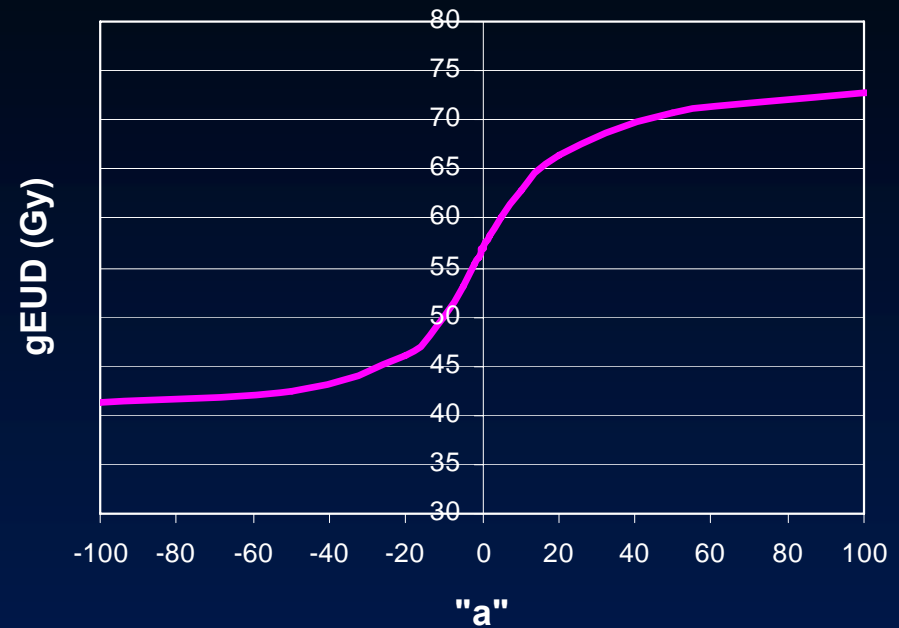
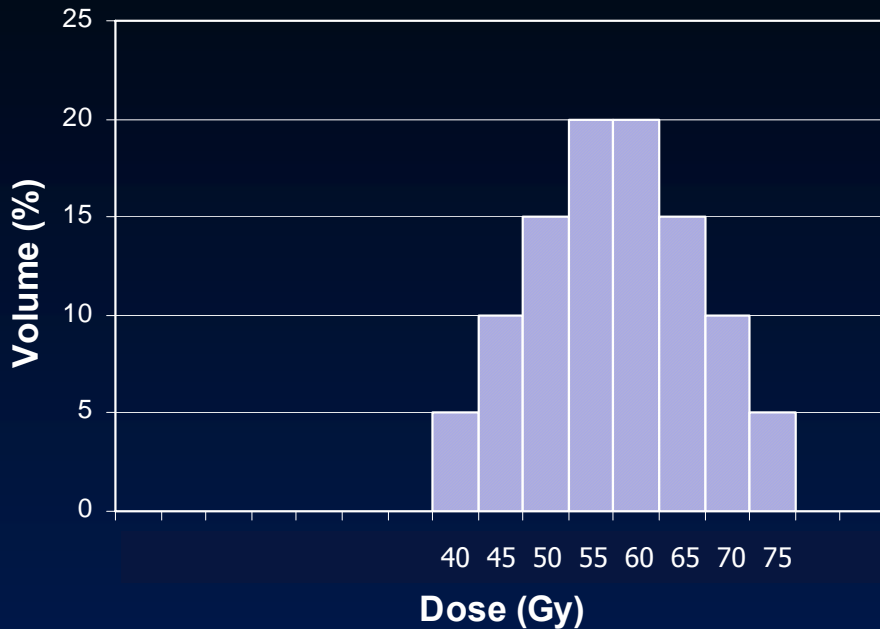
For  $a = 2$ ,  $gEUD$  = rms dose

For  $a = -\infty$ ,  $gEUD$  = minimum dose

For  $a = +\infty$ ,  $gEUD$  = maximum dose

(discontinuous at  $a = 0$ )

$$gEUD \equiv \left( \sum_i v_i d_i^a \right)^{1/a}$$



Tumors:  $a$  is negative  
 aggressive  $a = -20$   
 non  $a = -5$

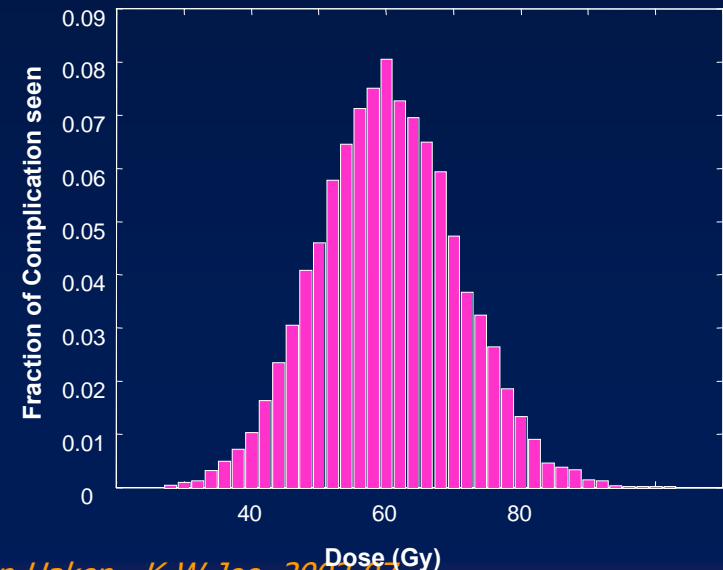
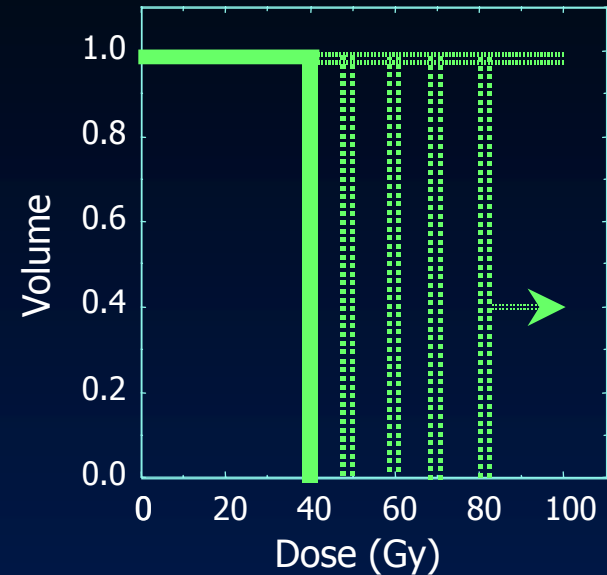
Normal Tissues:  $a$  is positive  
 LKB Model  $a = 1/n$

For  $a = 1$ ,  $gEUD$  = mean dose  
 For  $a = 2$ ,  $gEUD$  = rms dose  
 For  $a = -\infty$ ,  $gEUD$  = minimum dose  
 For  $a = +\infty$ ,  $gEUD$  = maximum dose  
 (discontinuous at  $a = 0$ )

# EUD NTCP description

For uniform irradiation of the whole organ, assumes that the distribution of complications as a function of dose can be described by a normal distribution

- + with mean  $TD_{50}$
- + standard deviation  $m \bullet TD_{50}$



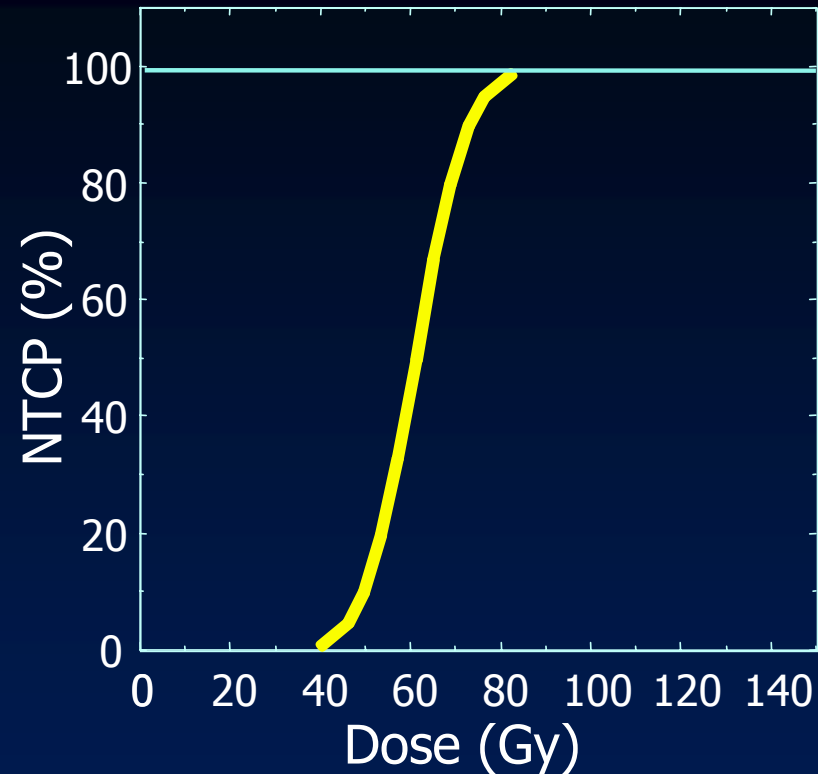
# The EUD NTCP description

The NTCP as a function of uniform dose, *EUD*, to the whole volume can then be described by the integral probability:

$$\text{NTCP} = (2\pi)^{-1/2} \int_{-\infty}^t \exp(-x^2/2) dx$$

where;

$$t = (EUD - EUD_{50}) / (m \cdot EUD_{50})$$



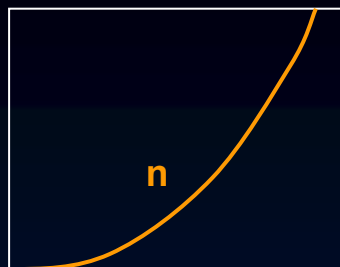
# Local response function

- Required to change non-uniformly irradiated volume to equivalent uniform dose EUD
- gEUD is one very general form of this function (there can be many others):
  - + **Seppenwoolde Y**, Lebesque JV, de Jaeger K, Belderbos JS, Boersma LJ, Schilstra C, Henning GT, Hayman JA, Martel MK, Ten Haken RK:  
*Comparing different NTCP models that predict the incidence of radiation pneumonitis.* **Int J Radiat Oncol Biol Phys** 55:724-735, 2003.

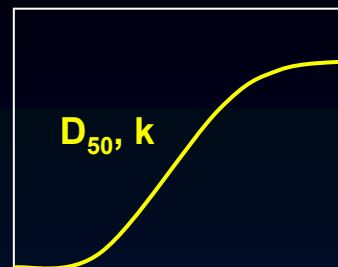
2 parameters



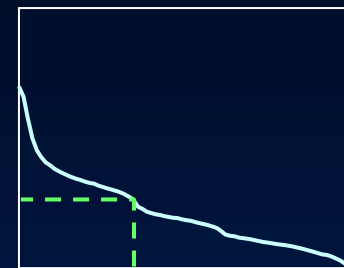
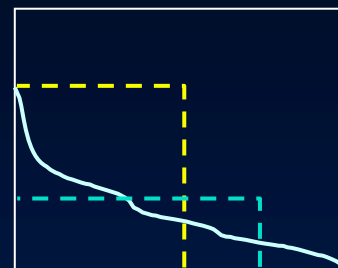
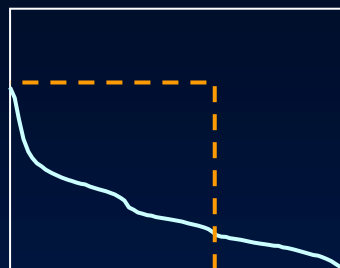
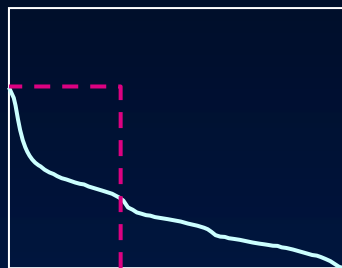
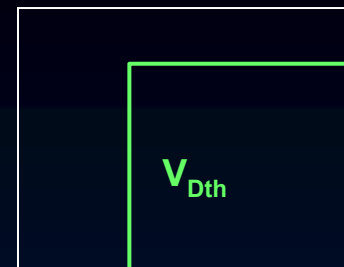
3 parameters



4 parameters



3 parameters



EUD=MLD

$n = 1$

EUD<sub>LKB</sub>

$D_{50} = \infty$

EUD<sub>Logistic</sub>

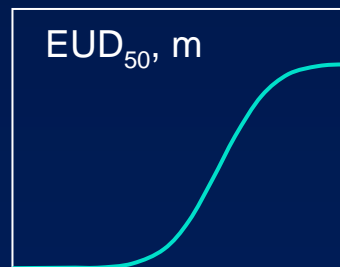
rdV = F<sub>D</sub>

$k = \infty$

V<sub>Dth</sub>

$$NTCP = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^t e^{\frac{-x^2}{2}} dx$$

NTCP

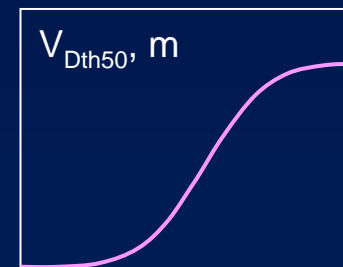


EUD<sub>model</sub>

$$t = \frac{EUD - EUD_{50}}{m \cdot EUD_{50}}$$

$$NTCP = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^t e^{\frac{-x^2}{2}} dx$$

NTCP



V<sub>Dth</sub>

$$t = \frac{rdV - rdV_{50}}{m \cdot rdV_{50}}$$

*cast of thousands here...  
would you believe 100's??  
...maybe tens?*

# **NTCP/TCP modeling**

## **We've come a long way.....**

## **But.....**

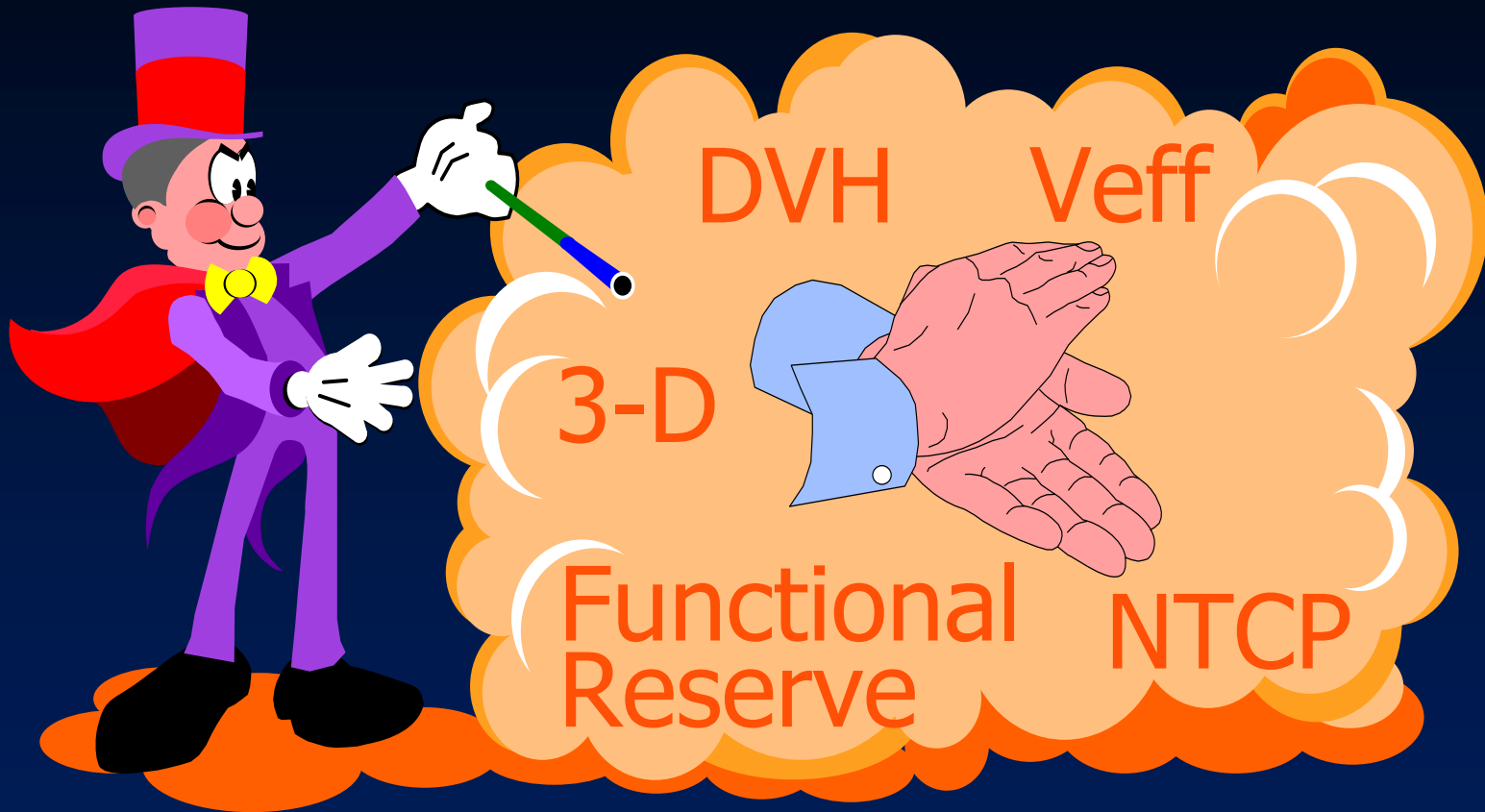
*OK, beware! mostly  
personal opinion  
may follow*



# Conceptually Simple

- Pick a Model
- Look at some Patients
  - + Have 3-D Dose Distributions
  - + Have 3-D Volumes
  - + Have Outcomes
- Use patient data to parameterize and/or test model

# ¿No Problemo?



# Well.....



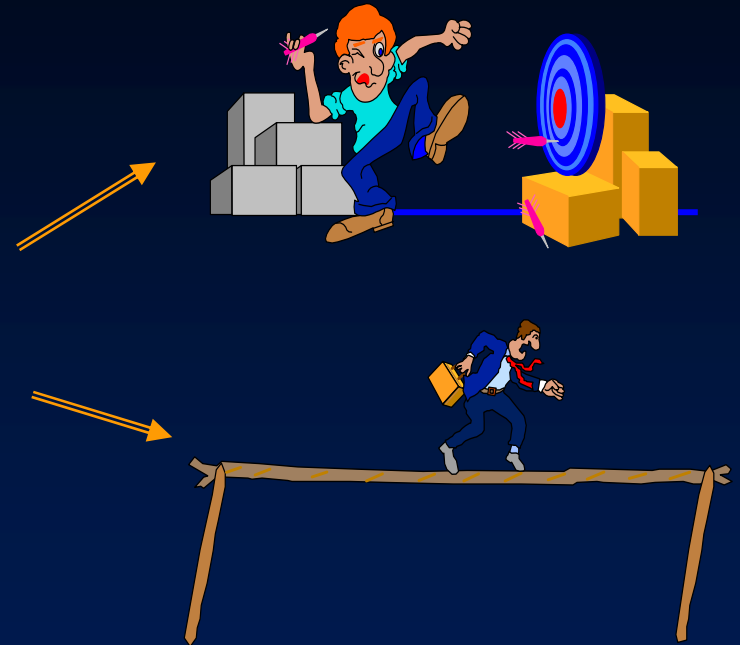
# The Models

Biologists and Physicists and Physicians  
Agree the Models are:

- **Too simple or naive** (*papa bear*)
  - + Biology is more complex than this
  - + Not enough parameters
- **Too complex** (*mama bear*)
  - + Too many parameters
  - + Is this still biology?
- Still looking for *baby bear's* model

# Modeling

- Model vs. Theory
  - + Models interpolate
  - + Theories extrapolate



- Mathematics vs. Biology
  - + K.I.S.S.

# Models?

- Probably best to say that at this point much of this is still phenomenological and “descriptive” rather than predictive.

# Model Fitting

- Generally not enough solid data points (complications) to yield quantitative results
- Large confidence limits on model parameters
- No effective means of determining “goodness of fit”

# Model Fitting (the good news!)

- We now have collaborations with genuine bio-statisticians who are applying valid statistical methods to the data analyses and the new protocol designs.



# Input Data: Dose

- Computational algorithms are better
- Can compute 3-D distributions
- Dose distributions are complex
  - + Non-uniform
  - + Daily variations not easily included

# Input Data: Volume

- 3-D yields Volumes
  - + Physical Volume (size and shape)
  - + Position
- How accurate are the input data?
  - + For first treatment?
  - + As a basis for the whole treatment?

# Input Data: Dose-Volume

- Difficult to track which volume receives what dose
  - + Time factors often ignored
- Changes not easily accommodated
  - + Tumor shrinkage
  - + Inter and Intra treatment changes and processes

# Modeling Summary

- Careful studies of the partial organ tolerance of normal tissues to therapeutic ionizing radiation are emerging, as are attempts to model these data.
- We should be encouraged by the progress in this area.

# Modeling Summary

- However, the ability to use the NTCP models themselves reliably, and in a predictive way is still an area of active research and should be approached with great caution in a clinical setting.

**"All models are wrong,  
but some are useful."**

G.E.P. Box, 1979\*

\*"Robustness in the Strategy of Scientific Model Building." IN: Robustness in Statistics. 201-236. R. L. Launer and G. N. Wilkinson, eds. Academic Press, NY. 1979

# A clinical example

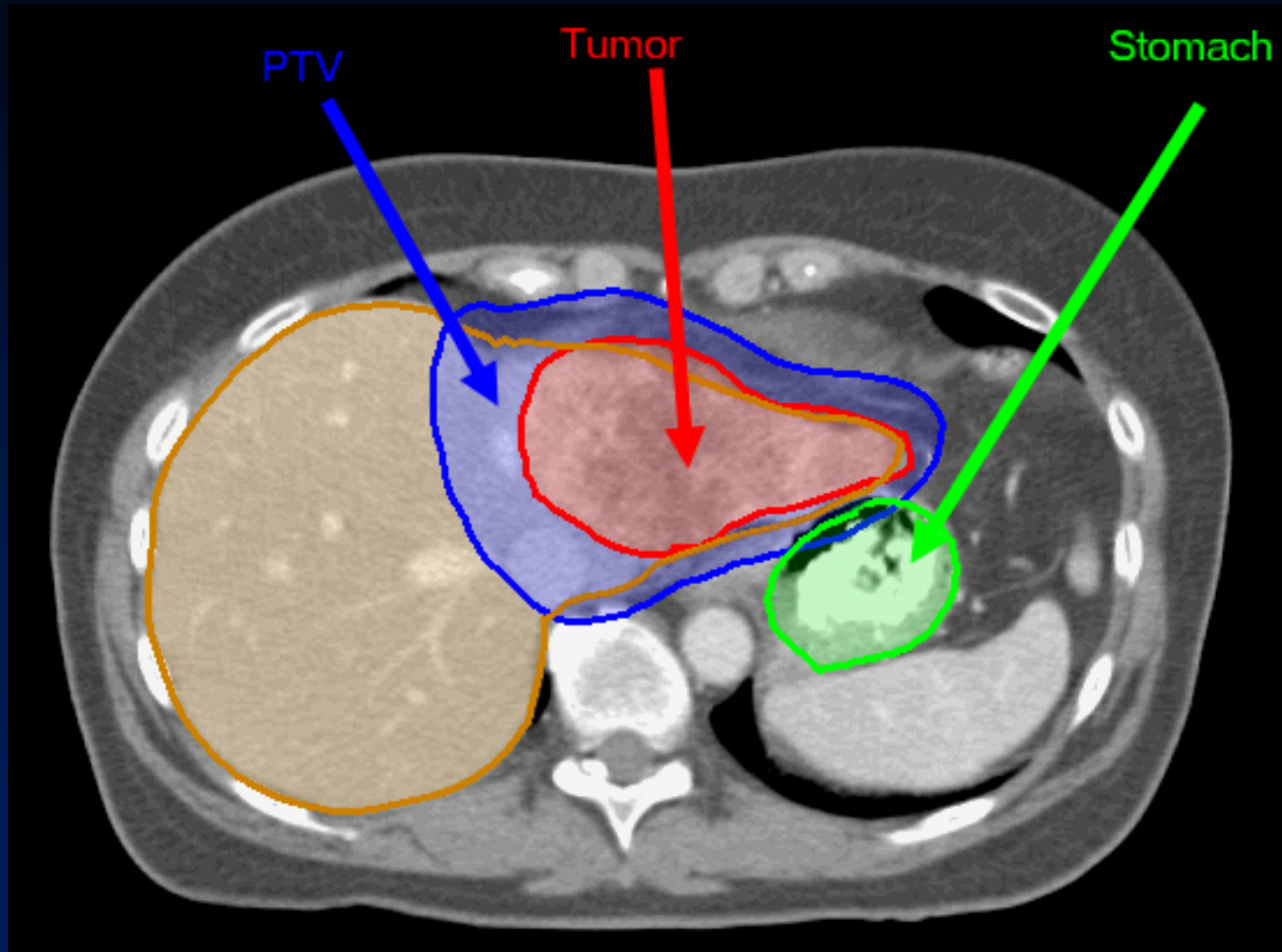
- Patients at our institution with tumors in the liver or lung have been treated according to IRB approved protocols that seek to escalate *homogeneous* dose (+7%, -5%) to the PTV at a fixed normal liver/lung iso-NTCP.

# Difficulties in implementation

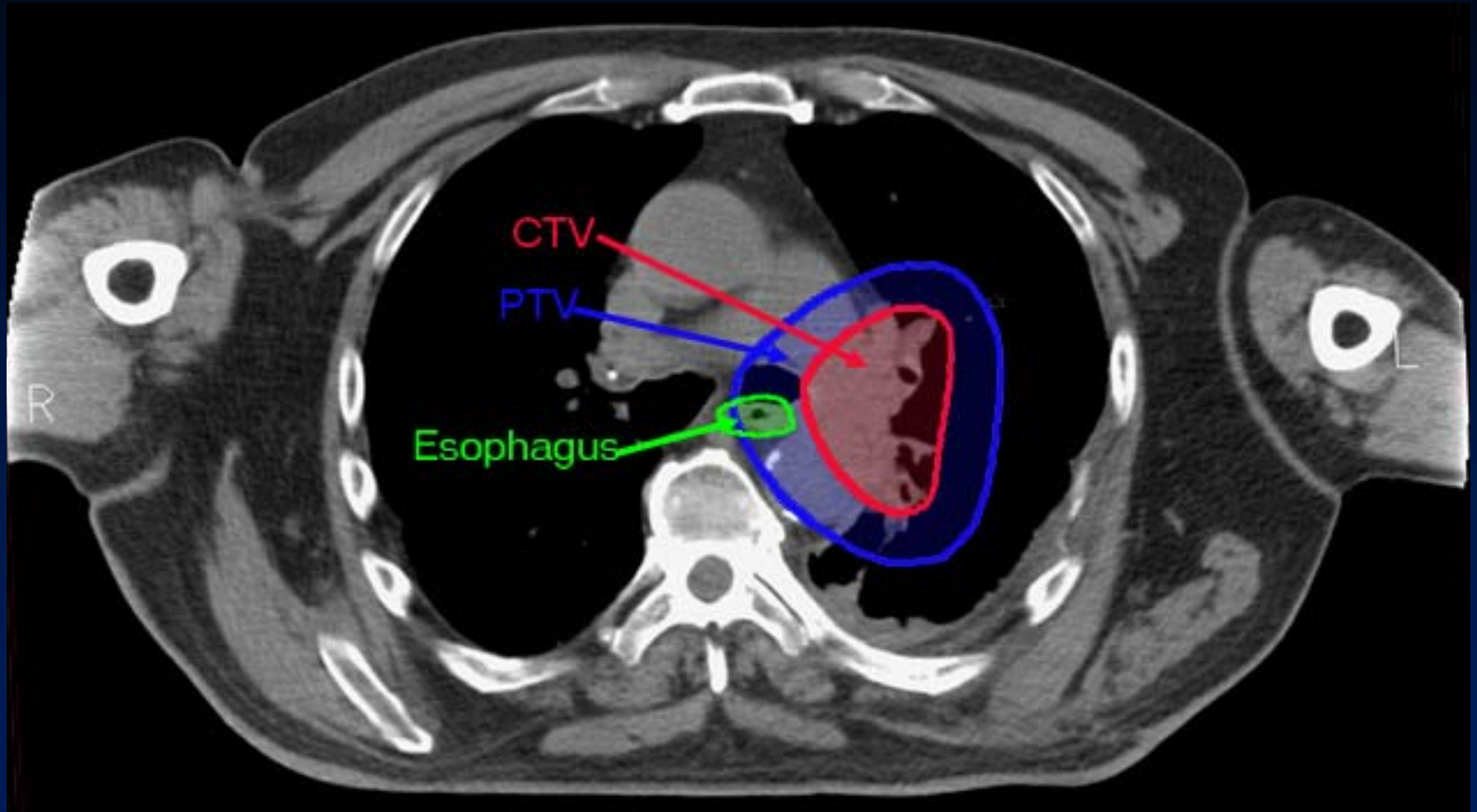
- Frequently the risk to other OARs (e.g., stomach-duodenum / esophagus) limits the tumor dose to below that which could be justified based solely on liver/lung NTCP,
  - + especially when there is an overlap between the PTV and an external (to the liver/lung) OAR.



# Liver tumor PTV-OAR overlap



# Lung tumor PTV- OAR overlap



# Can we do better?

- Optimized beamlet IMRT may benefit these patients.
- However, even with IMRT, in order to increase the mean PTV dose above the maximum tolerated dose of one of these OARs, it is necessary to *relax PTV homogeneity constraints*.
- But, how does one do this in a logical – meaningful way?

# Use of models in optimization

- Models for target and normal tissues could aid in planning, as their use would integrate the contributing effects of all parts of target and normal tissues dose distributions.

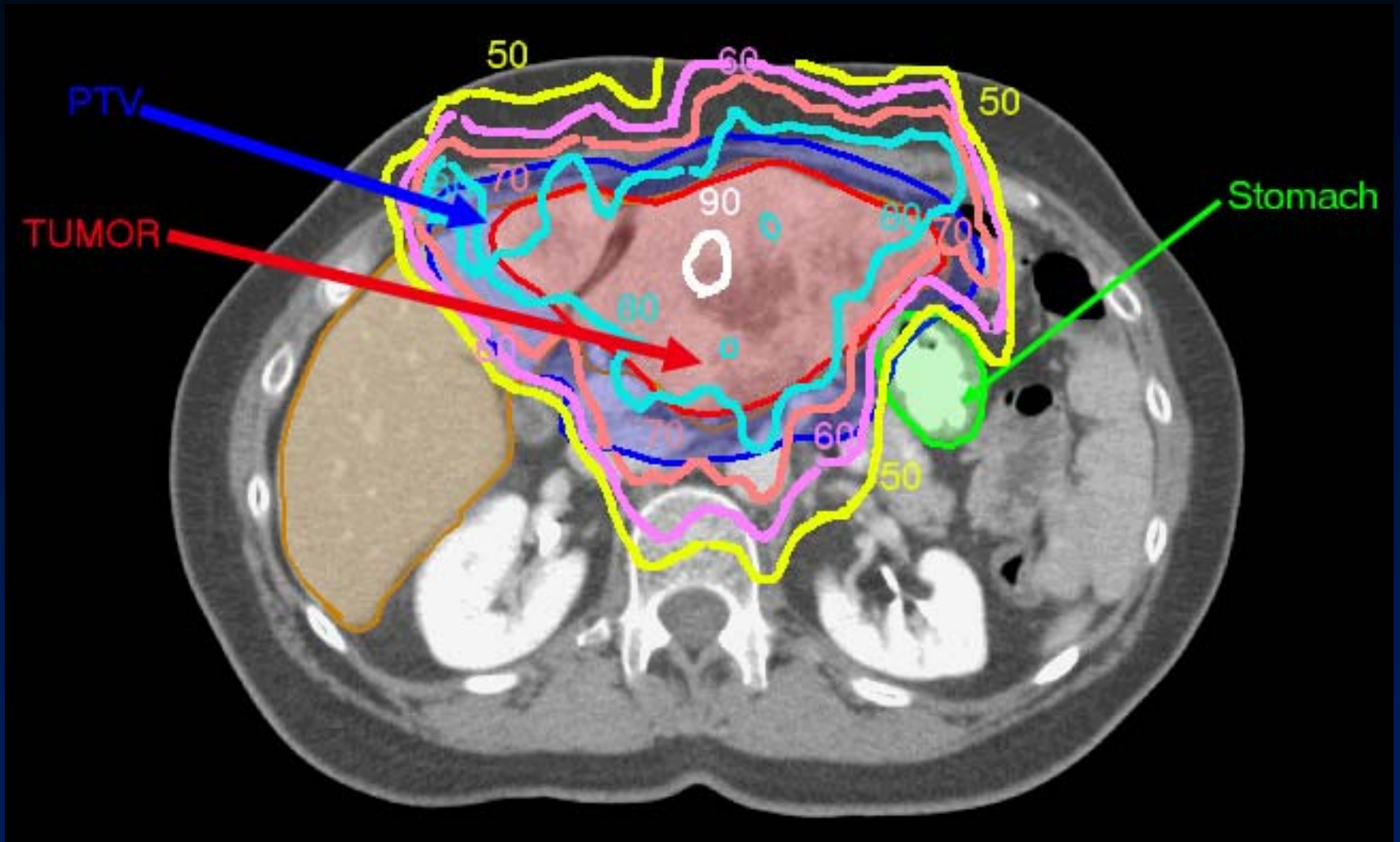
# Use of models in optimization

- We explored IMRT optimization utilizing:
  - + gEUD costlets for the PTVs to maximize anti-tumor effects,
  - + NTCP costlets to maintain OAR doses within protocol limits.

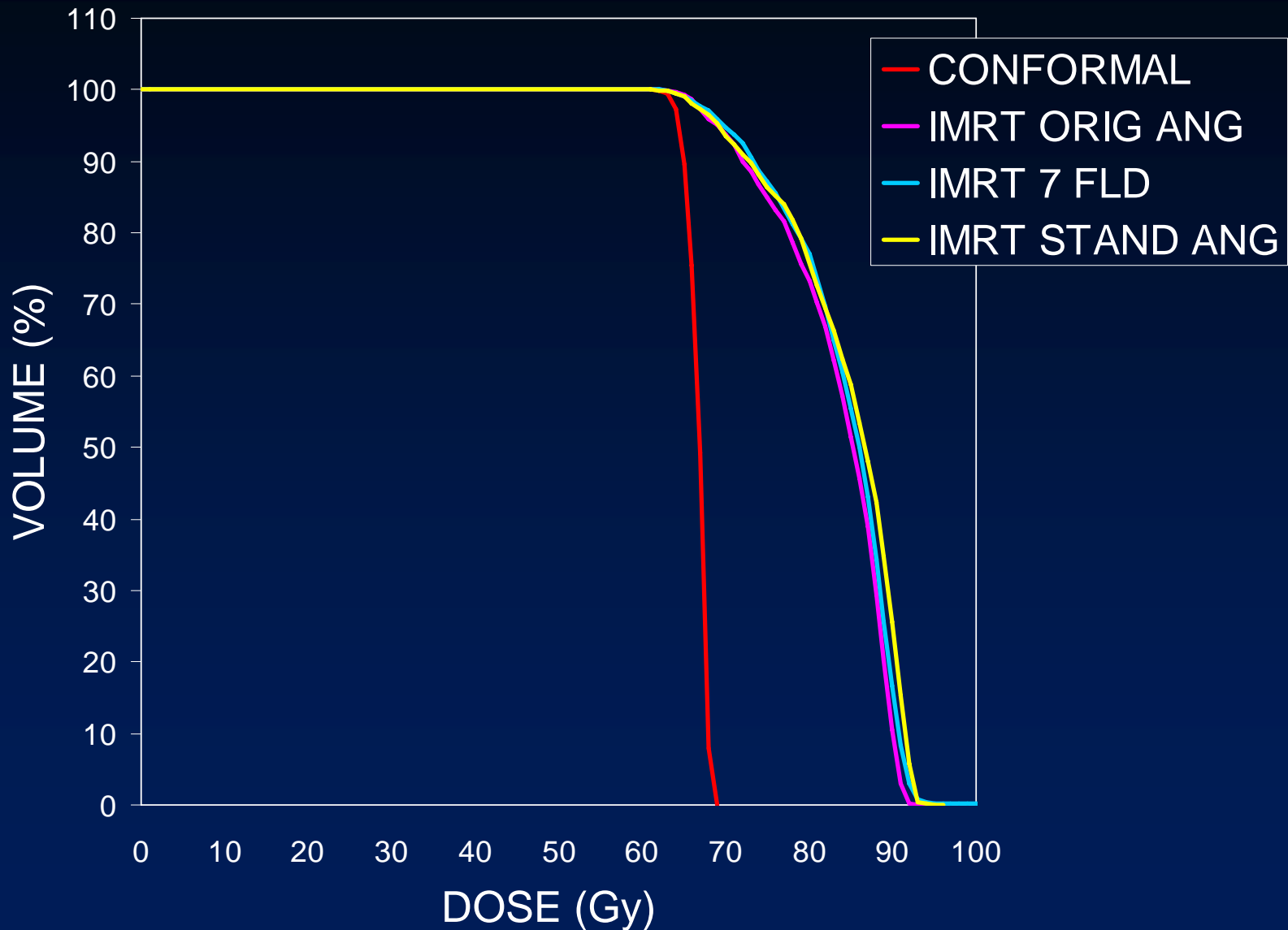
Thomas E, Chapet O, Kessler ML, Lawrence TS, Ten Haken RK: The benefit of using biological parameters (EUD and NTCP) in IMRT optimization for the treatment of intrahepatic tumors. *Int J Radiat Oncol Biol Phys* 62:571-578, 2005.

Chapet O, Thomas E, Kessler ML, Fraass BA, Ten Haken RK: Esophagus sparing with IMRT in lung tumor irradiation, an EUD-based optimization technique. *Int J Radiat Oncol Biol Phys* 63:179-187, 2005.

# Non-uniform liver PTV irradiation



# PTV DVHs for liver patient





# Heterogeneous PTV dose assessment

Patient number	gEUD $a = -20$ CRT (Gy)	gEUD $a = -20$ IMRT (Gy)	gEUD $a = -5$ CRT (Gy)	gEUD $a = -5$ IMRT (Gy)
1	59.2	63.8	60.7	69.3
2	66.5	75.7	66.6	82.0
3	56.0	69.0	57.3	71.1
4	55.5	64.1	57.3	73.7
5	55.6	66.8	58.3	68.6
6	66.6	73.1	67.0	78.1
7	73.9	96.8	75.3	117.7
8	60.5	73.3	66.9	92.7
mean	<b>61.7</b>	<b>72.8</b>	<b>63.7</b>	<b>81.7</b>
t test	p=0.001		p=0.003	



# IMRT optimization conclusions

- We suggest that the use of biological parameters directly as costlets within the optimizing process should be able to produce IMRT plans that:
  - + utilize heterogeneous PTV coverage to maximize tumor gEUD,
  - + while maintaining NTCP limits for dose limiting normal tissues and other OARs.

# Implementation

- Issues related to implementing and using the biological models within optimization systems
- Short survey of existing software tools that utilize the biological models

# General optimization problem

Opt. Variables  $\rightarrow$   $\min_{x \in \mathbb{R}^n} f(x)$   $\swarrow$  Objective Function

subject to  $\begin{cases} c_i(x) = 0, & i \in \mathcal{E}, \\ c_i(x) \geq 0, & i \in I. \end{cases}$

$\uparrow$  Constraints

# IMRT Optimization problem

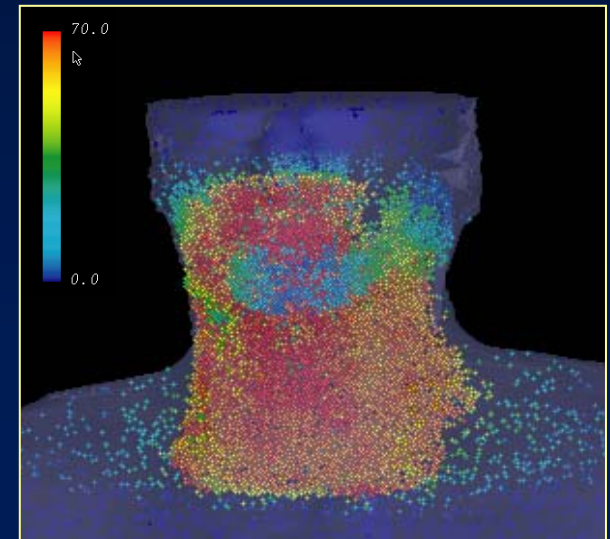
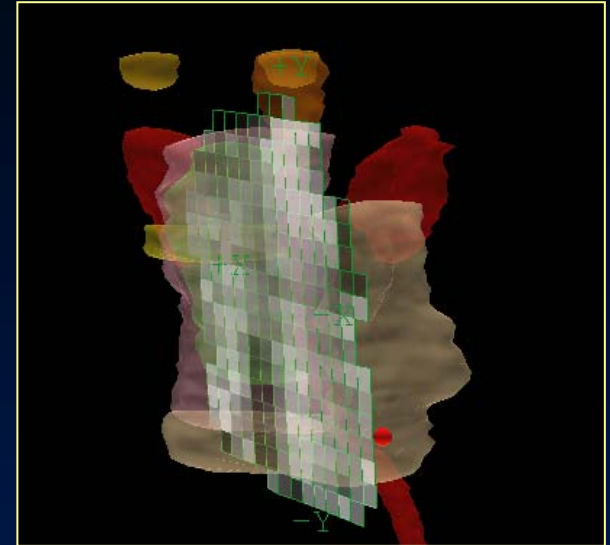
1. Beamlet intensities,  $x$   
Opt. variables (100s ~ 1000s)

↓ Dose-to-Point calculations  
(Linear)

2. Dose distributions,  $d_i(x)$

↓ Biological Models  
(Nonlinear)

3. Obj. & Constraint functions ,  
 $f(d_i), c(d_i)$



# Example functions to minimize

- Physical Dose

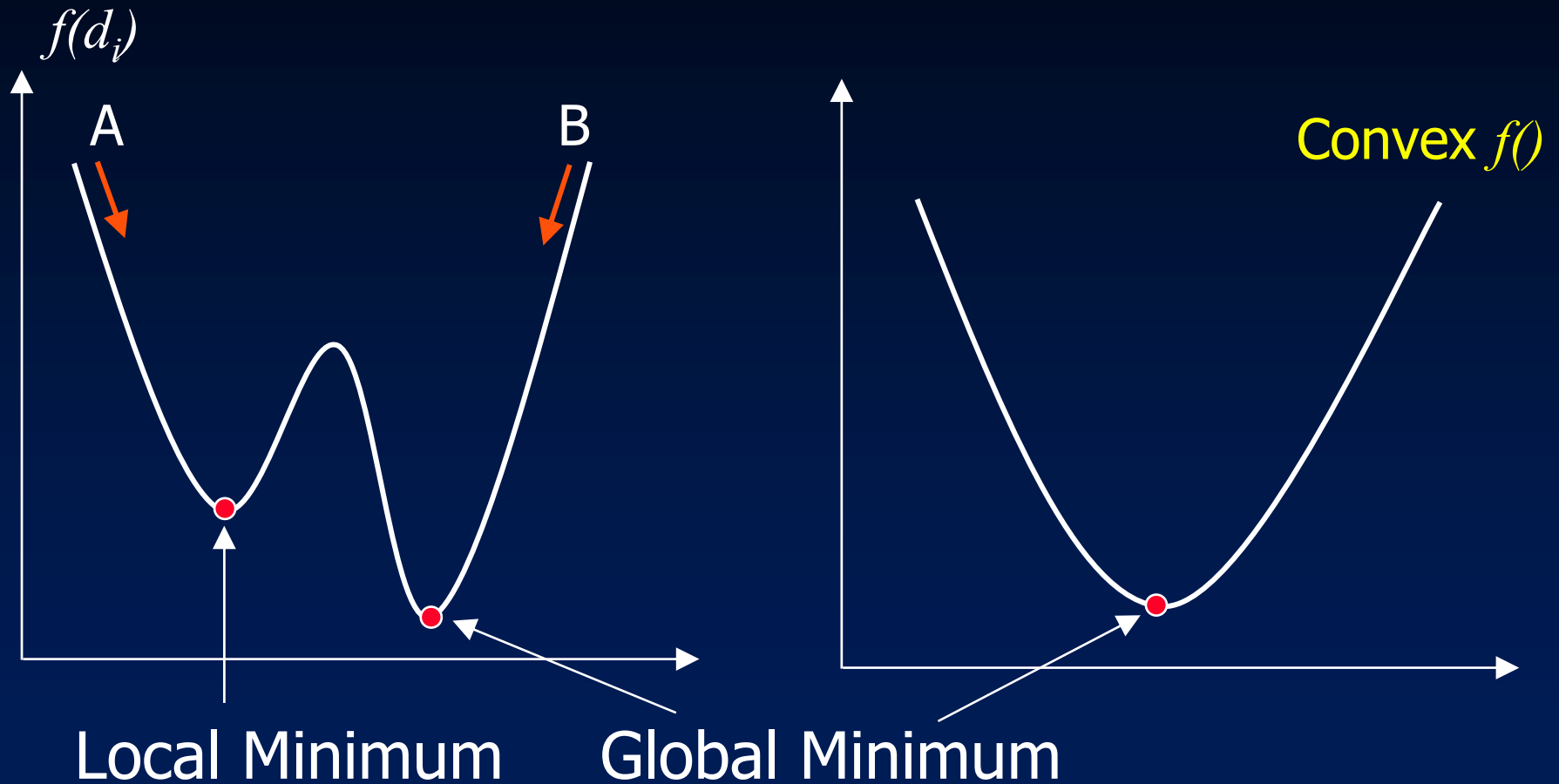
$$\sum_{i \in PTV} w_{PTV} (d_i - d_{PTV})^2 + \sum_{i \in OAR} w_{PTV} (d_i - d_{OAR})^2 + \dots$$

- Biological Models

$$\prod_{OAR} NTCP_{OAR} - TCP_{PTV}$$

# Minima are not necessary

## Global minimum.



# Are biological models convex?

$gEUD(d; a)$	concave	$-\infty \leq a \leq 0$
	convex	$0 \leq a \leq \infty$

*Choi B. and Deasy J. 2002 Phys. Med. Biol. 47 3579-89*

$EUD(d; \alpha)$	concave	$0 \leq \alpha$
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*Romeijn H. 2004 Phys. Med. Biol. 49 1991-2013*

$NTCP\text{-}Lyman$	quasi-convex
---------------------	--------------

*Börger C 1997 Proceedings of IMA Workshop*

$TCP\text{-}Poisson$	locally concave at high dose regions
$\ln(TCP\text{-}Poisson)$	strictly concave

*Choi B. and Deasy J. 2002 Phys. Med. Biol. 47 3579-89*

# But a little can be said about the obj. function itself...

$$P_+ = TCP - \prod_i NTCP_i + \delta(1 - TCP) \prod_i NTCP_i$$

*Brahme A. 1993 Med. Phys. 20 1201-10*

non-convex

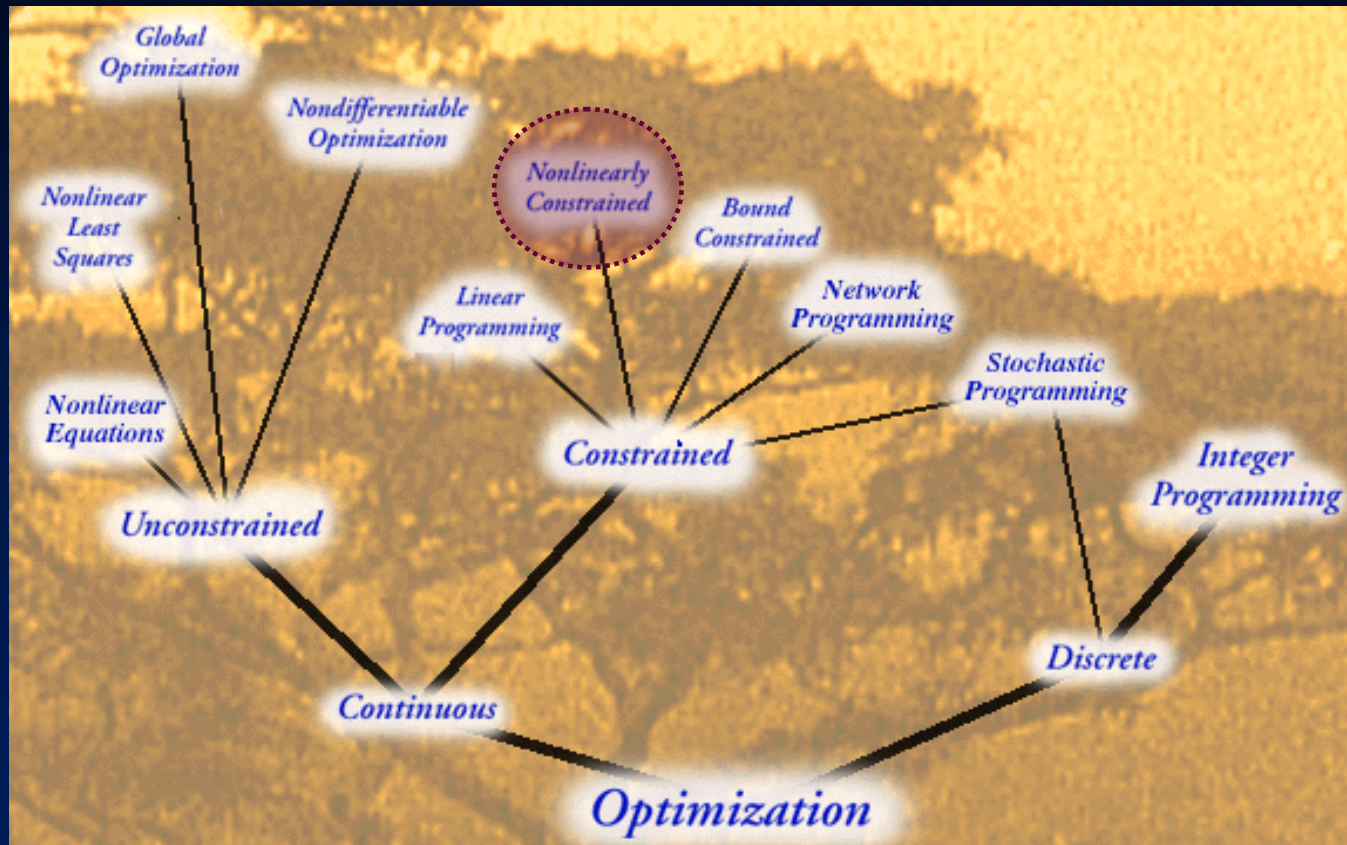
$$f = \left[ 1 + \left( \frac{EUD_{t,0}}{EUD_t} \right)^n \right]^{-1} \prod_i \left[ 1 + \left( \frac{EUD_{OAR,i}}{EUD_{OAR,0,i}} \right)^n \right]^{-1}$$

*Wu Q. 2002 Int. J. Rad. Onc. Biol. Phys. 52 224-235*

non-convex



# Most TPS solves nonlinearly constrained optimization problem



<http://www-fp.mcs.anl.gov/otc/GUIDE/OptWeb/>

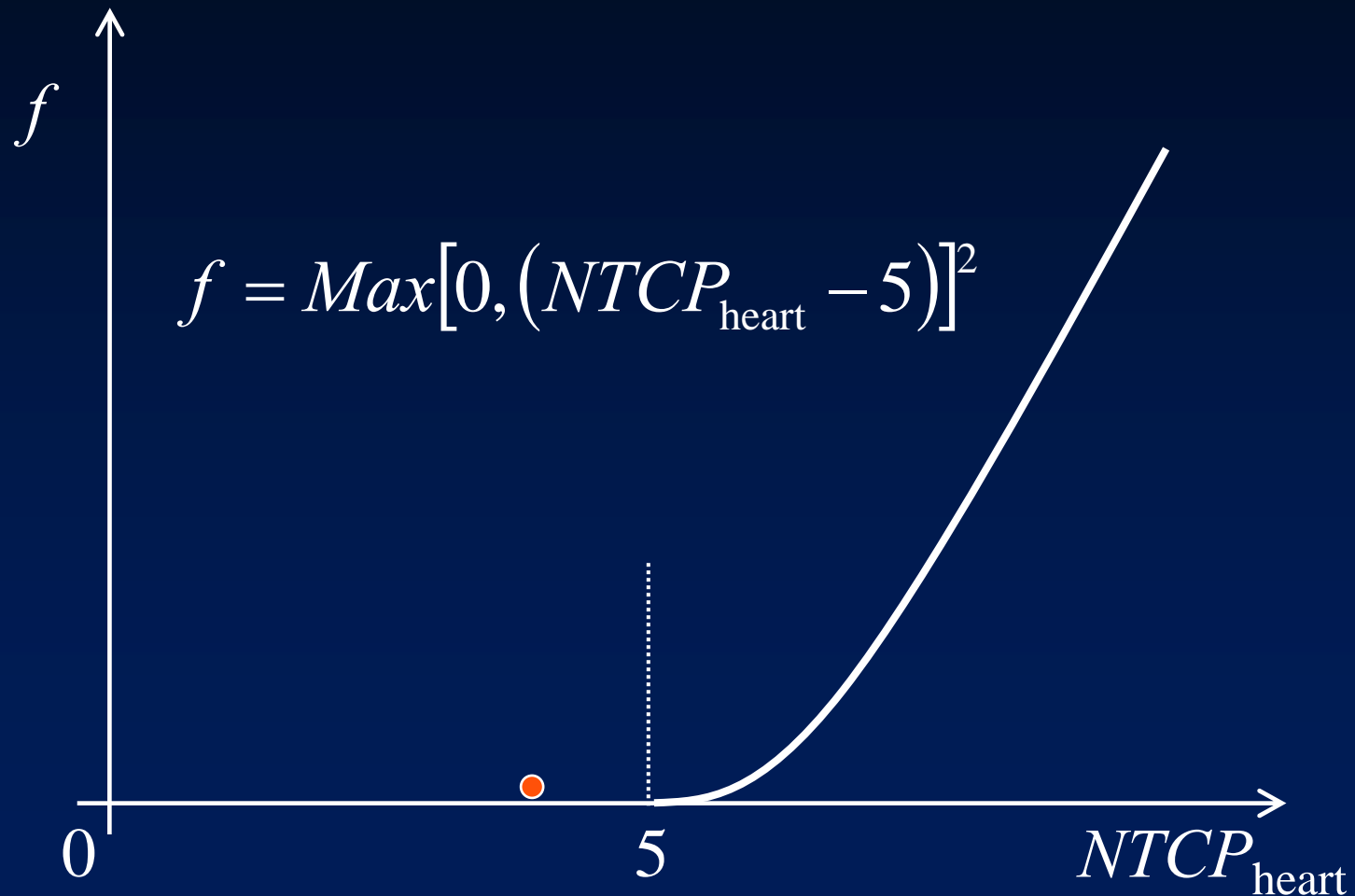
# What we do..

## Preemptive NL-Goal programming

- Multicriteria optimization strategies based on soft-constraints with priority
- Solves a sequence of nonlinearly constrained optimization sub-problems (SQP)
- Maintains convexity at least locally...

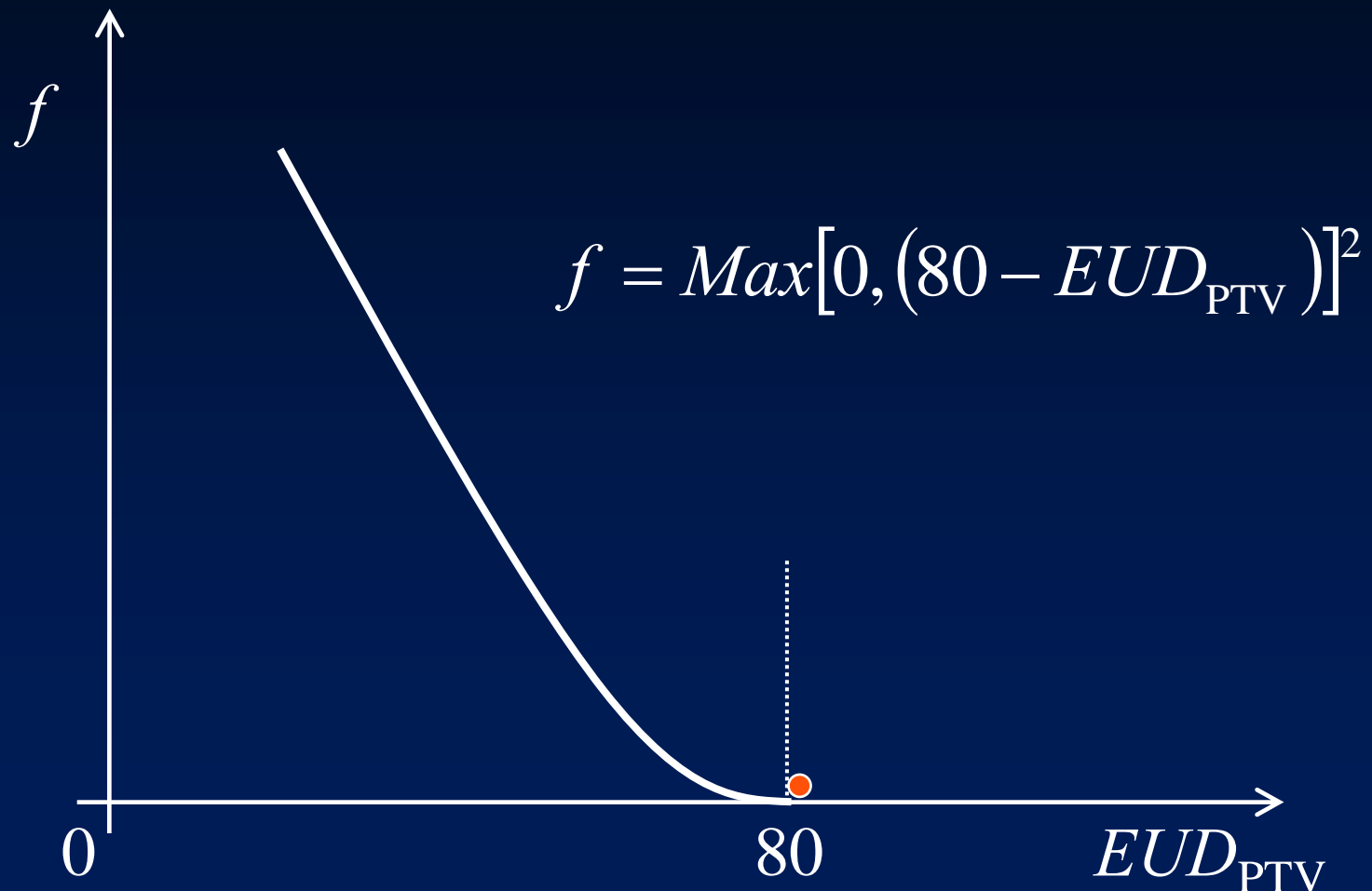
# Soft-constraint example

Make the heart NTCP less than 5 %



# Soft-constraint example

Make the PTV EUD greater than 80 Gy



# NSCLC Example

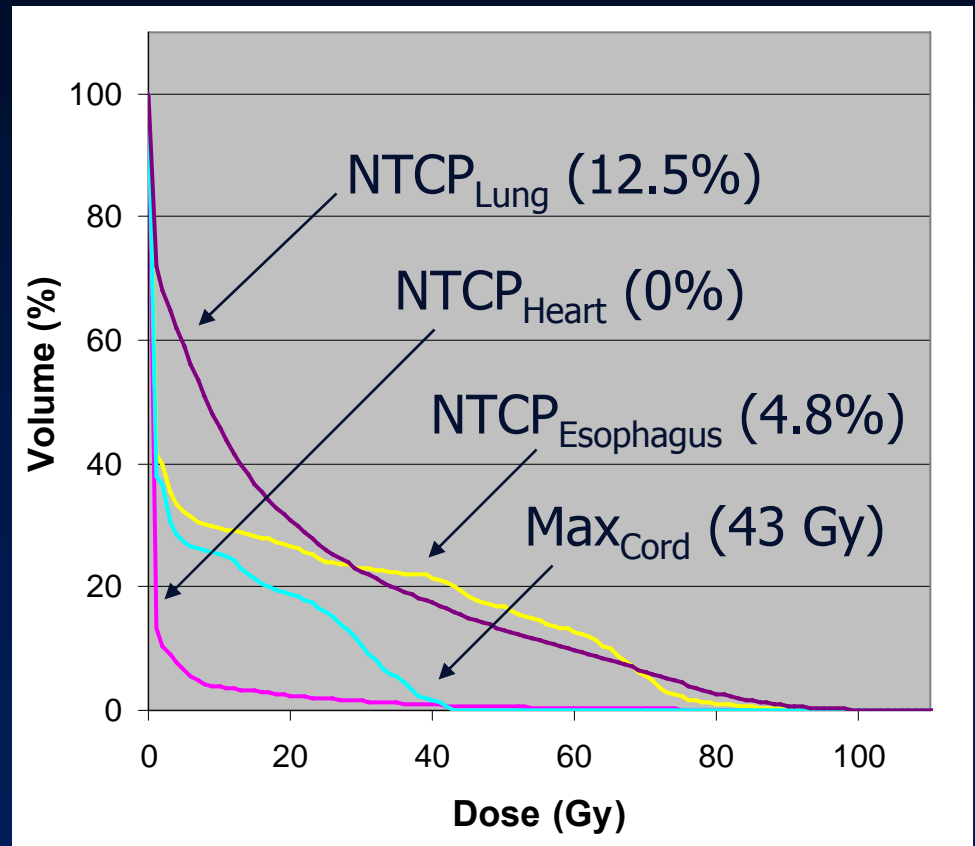
## Priority 1: Protect Critical Tissues

$\text{NTCP}_{\text{Lung}} < 15\%$

$\text{NTCP}_{\text{Heart}} < 5\%$

$\text{NTCP}_{\text{Esophagus}} < 5\%$

$\text{Max}_{\text{Cord}} < 45 \text{ Gy}$



# NSCLC Example

## Priority 2: Achieve Target Dose

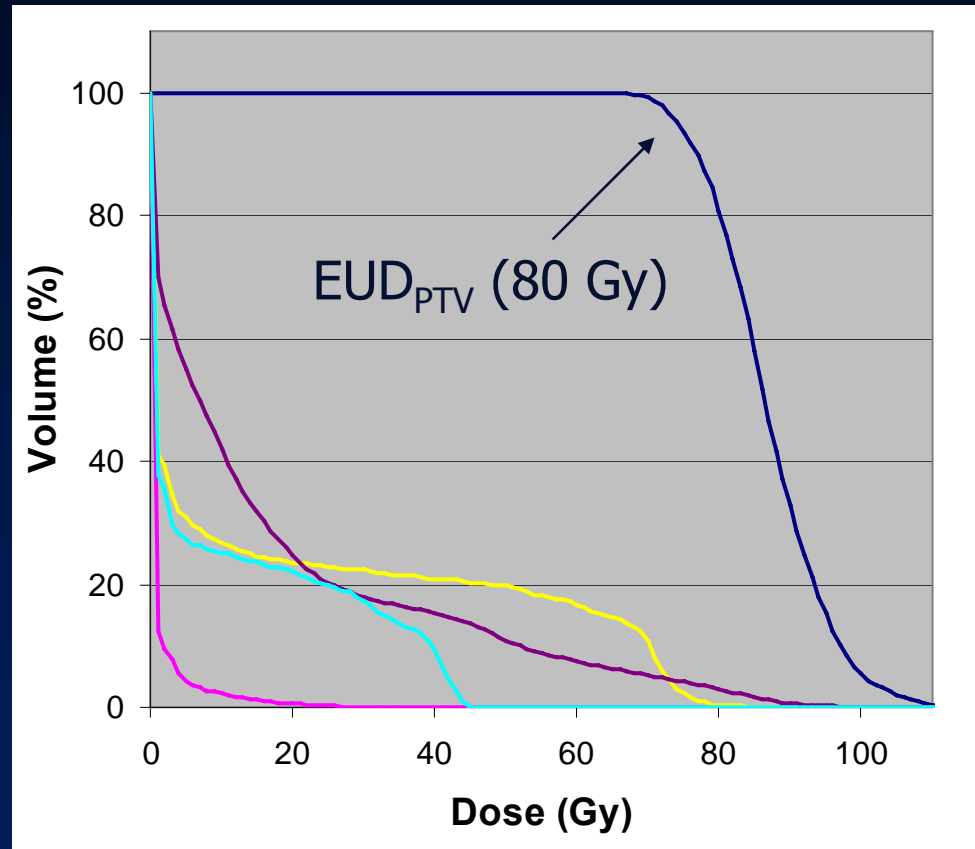
$$\text{EUD}_{\text{PTV}} > 80 \text{ Gy}$$

$$\text{NTCP}_{\text{Lung}} = 8.3\%$$

$$\text{NTCP}_{\text{Heart}} = 0\%$$

$$\text{NTCP}_{\text{Esophagus}} = 3.2\%$$

$$\text{Max}_{\text{Cord}} = 44.3 \text{ Gy}$$



# Plan Evaluation Software

- Adelaide Bioeffect Planning System (Wigg D)
  - Bioplan  
(Sanchez-Nieto B, Nahum A at Royal Marsden)
  - TCP\_NTCP\_CALC module  
(Warkentin B, Fallone B at U of Alberta)
  - Albireo  
(Wals A at Regional U. Carlos Haya Hospital)
  - DREES (Naqa I, Deasy J at Washington U.)
  - EUCLID (Gayou O, Mifftten M at Drexel U.)
- and probably more..

# Bioplan TCP\_NTCP\_CALC module Albireo

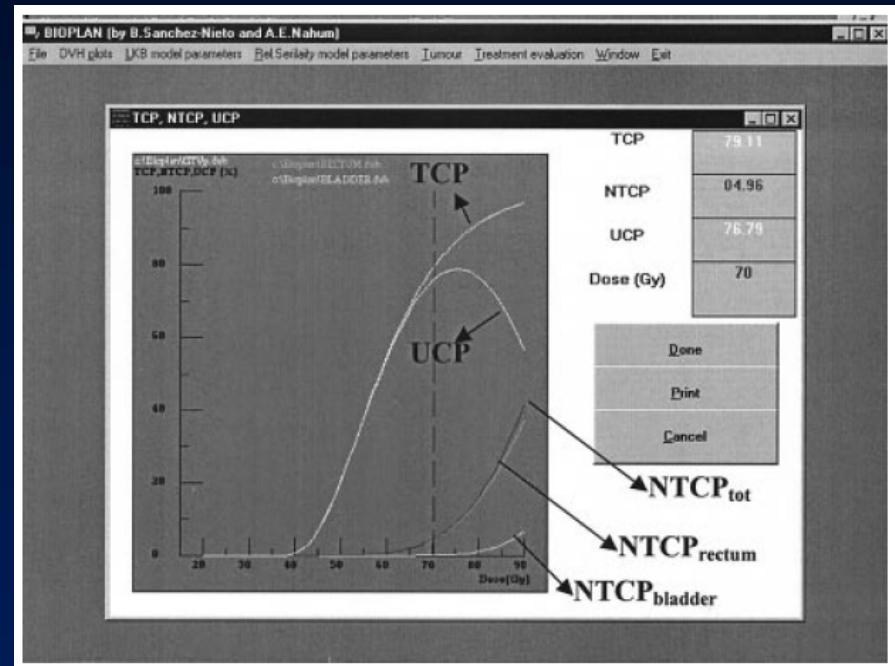
## Inputs:

formatted text or  
TPS exported plans

## Outputs:

fx size normalized dose,  
Seriality, Critical Volume,  
Poisson NTCP & TCP

Model parameter database



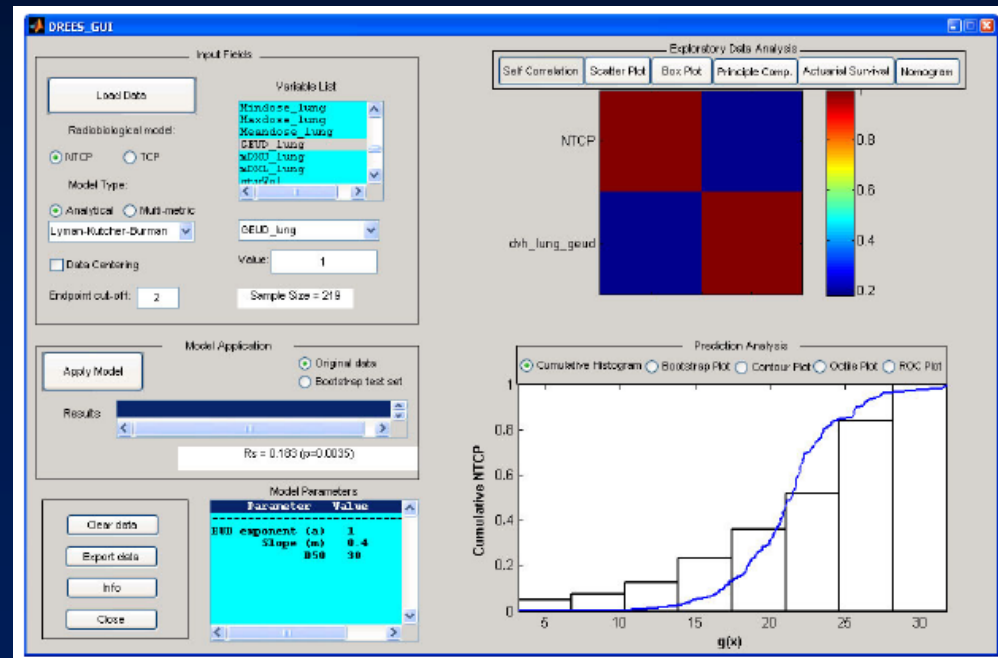
*Sanchez-Nieto B. Medical Dosimetry,  
Vol. 25, No. 2, pp. 71–76, 2000*



# DREES, [radium.wustl.edu/drees](http://radium.wustl.edu/drees) EUCLID

## Outcome Model Building Tools

- Multivariate regression
- Fitting to NTCP/TCP
- Uncertainty Estimation



*Naqa I. Phys. Med. Biol. 51 (2006) 5719–5735*

# IMRT optimization conclusions

- It appears that the direct use of “outcome” cost functions for both target and normal tissues should allow:
  - + significant (*i.e., multi-fraction*) increases in the calculated gEUD for the PTV,
  - + in a much more intuitive (and efficient) manner than might be realized using multiple dose/volume based optimization sessions.