



# New Developments in Proton Treatment Planning Systems

Daniel Yeung



# Statement of Disclosure

---

## Funded Research & Development:

Philips Medical Systems




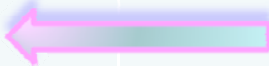

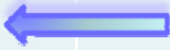


IBA





# Proton Planning Systems

	Commercial Systems		Academic Systems
Analytical/Semi-Analytical	CMS - Xio 		MGH, HCL
	Varian - Eclipse Proton 		PSI
	Varian - EyePlan 		Clatterbridge
	Optivus - Odyssey 		Loma Linda
	Dosigray 		Orsay
	Others...		Others...
* Under Development	Philips - Pinnacle* 		UF*
Monte Carlo	CMS*, Varian*,...		MGH, DKFZ,...



# Proton Pencil Beam Algorithms

Hong et al, MGH 1996

## A pencil beam algorithm for proton dose calculations

Linda Hong<sup>†‡</sup>, Michael Goitein<sup>†</sup>, Marta Bucciolini<sup>§</sup>, Robert Comiskey<sup>†</sup>,  
Bernard Gottschalk<sup>||</sup>, Skip Rosenthal<sup>†</sup>, Chris Serago<sup>†</sup> and Marcia Urie<sup>†</sup>

<sup>†</sup> Department of Radiation Oncology, Massachusetts General Hospital, Boston, MA, USA

<sup>‡</sup> Department of Radiation Oncology, Mt Sinai Medical Center, New York, NY, USA

Szymanowski et al, Institut Curie 2001

## Experimental determination and verification of the parameters used in a proton pencil beam algorithm


H. Szymanowski<sup>a)</sup>

*Service de Physique Médicale, Institut Curie, 26 rue d'Ulm, 75005 Paris, France*

A. Mazal

*Service de Physique Médicale, Institut Curie, 26 rue d'Ulm, 75005 Paris, France  
and Centre de Protonthérapie d'Orsay (C.P.O.), B.P. 65, 91402 Orsay, France*

## Beam Model

- ❖ dosimetry in water
- ❖ inhomogeneity correction
  - water equivalent thickness (wet)
  - HU  stopping power



# Proton Pencil Beam Algorithm

## Beam Model

### CAX Depth Dose (DD)

- ❖ broad beam
- ❖ pristine peak  sobp

### Radial Spread (RS)

- ❖ multi coulomb scatter
  - beamline: degrader, nozzle elements (wet only)
  - compensator: material dependent scattering power
  - patient: wet only

- ❖ gaussian kernel

$$\sigma_{\text{total}}^2 = \sigma_{\text{line}}^2 + \sigma_{\text{comp}}^2 + \sigma_{\text{patient}}^2$$



# Proton Pencil Beam Algorithm

## Dose Calculation

- ❖ Dose from a pencil beam

$$D_{PB}(x, y, z) = C(z_{eq}, x_0, y_0) \times \frac{1}{2\pi\sigma_{tot}^2(z_{eq})} \times \exp\left(-\frac{(x-x_0)^2 + (y-y_0)^2}{2\sigma_{tot}^2(z_{eq})}\right),$$

- ❖ Convolve DD with RS for each pencil
- ❖ Sum dose from all pencils



# Bortfeld Model of Pristine Peak

## An analytical approximation of the Bragg curve for therapeutic proton beams

Thomas Bortfeld<sup>a)</sup>

*Deutsches Krebsforschungszentrum (DKFZ), Abteilung Medizinische Physik and Universität Heidelberg,  
Fakultät für Physik und Astronomie, Heidelberg, Germany*

(Received 28 October 1996; accepted for publication 17 September 1997)

$$D(z) = \Phi_0 \frac{e^{-\zeta^2/4} \sigma^{1/p} \Gamma(1/p)}{\sqrt{2\pi} \rho p \alpha^{1/p} (1 + \beta R_0)} \left[ \frac{1}{\sigma} \mathcal{D}_{-1/p}(-\zeta) + \left( \frac{\beta}{p} + \gamma\beta + \frac{\epsilon}{R_0} \right) \mathcal{D}_{-1/p-1}(-\zeta) \right].$$

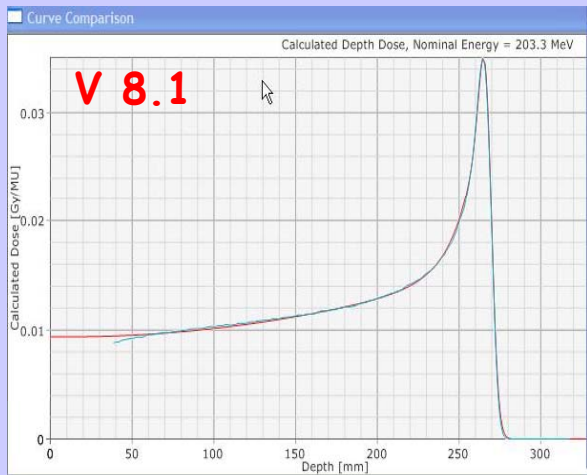
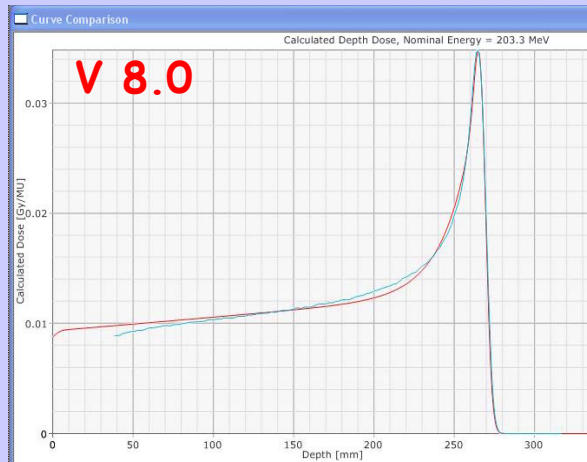
### Analytical model of proton BP (up to $\sim 200$ MeV)

- accounts for energy spread
- empirical model of nuclear fragmentation (data fitting)
- numeric depth dose calculation of fitted BP
- assumption – range straggling ‘constant’ with depth

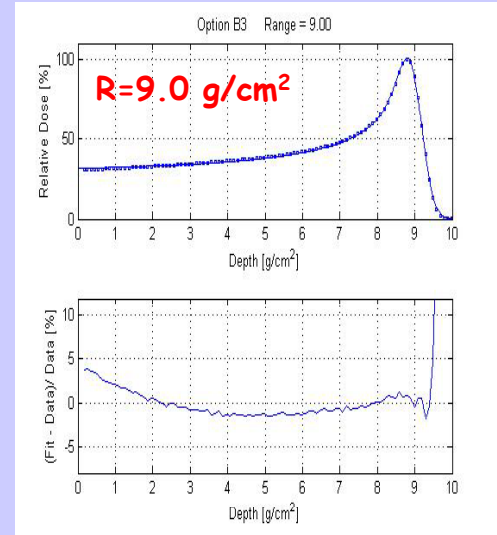
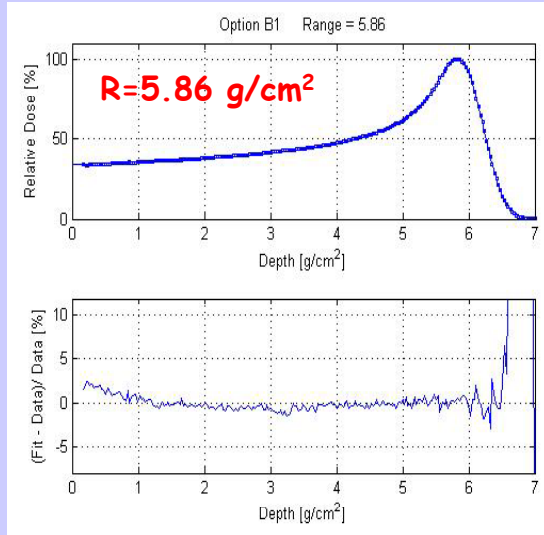


# Pristine Peak - Analytical Model

$R=21.86 \text{ g/cm}^2$



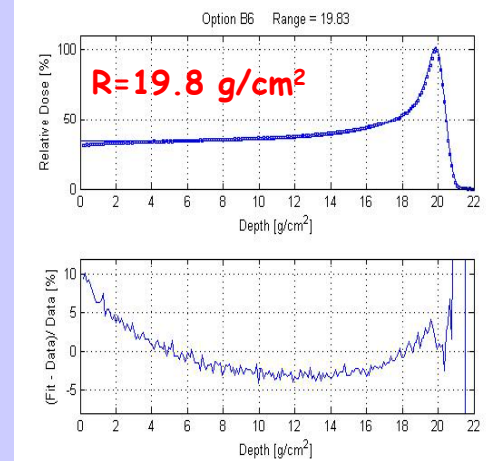
Eclipse Model



For  $R > 15 \text{ g/cm}^2$ ,  
Range straggling  $\uparrow$  depth

Error in Bortfeld model

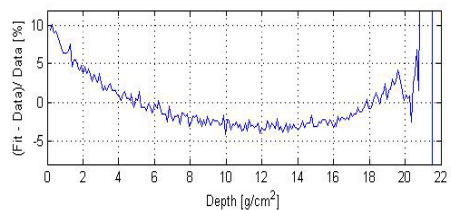
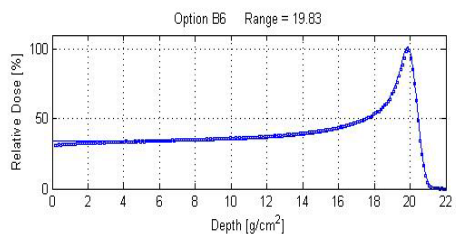
Bortfeld Model



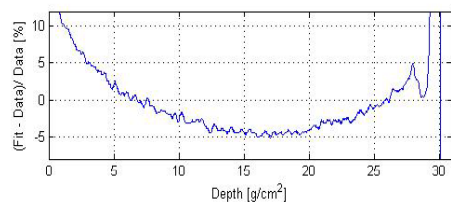
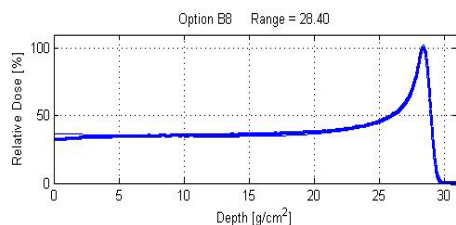
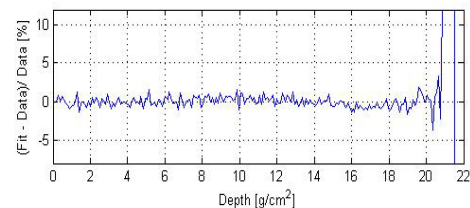
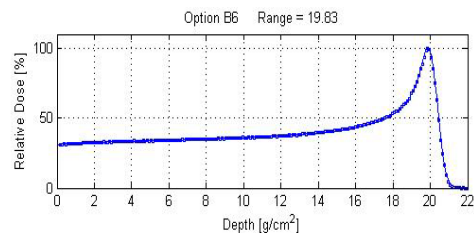




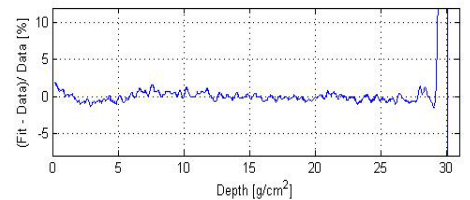
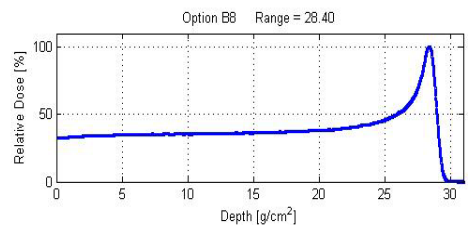
# Pristine Peak – Bortfeld Model



$R=19.8 \text{ g/cm}^2$



$R=28.4 \text{ g/cm}^2$



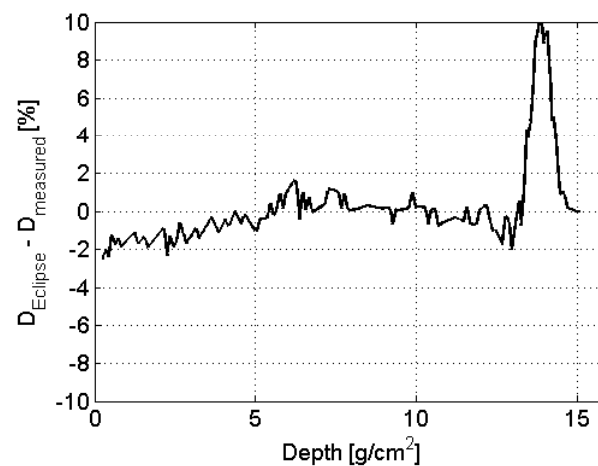
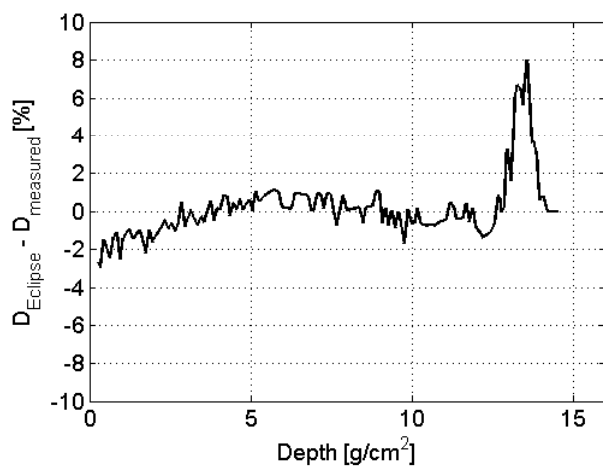
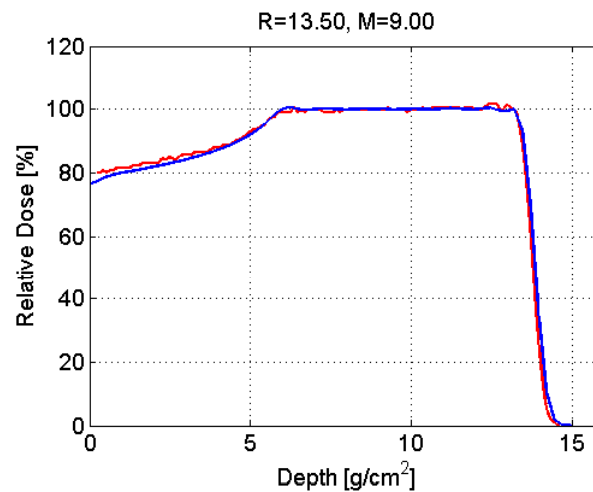
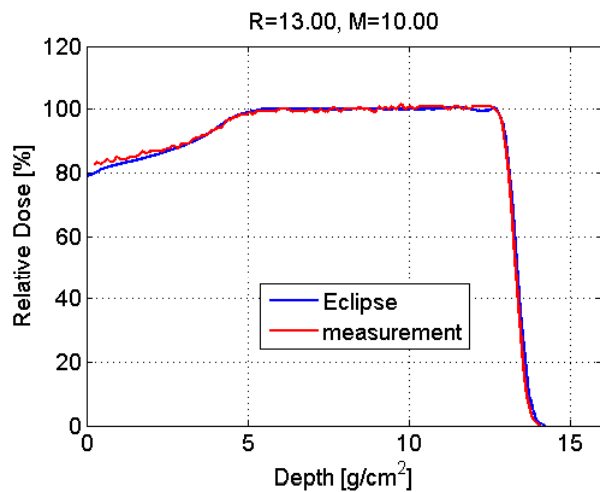
Bortfeld Model

Bortfeld - Enhanced



# SOBP

## Calc vs Measurement

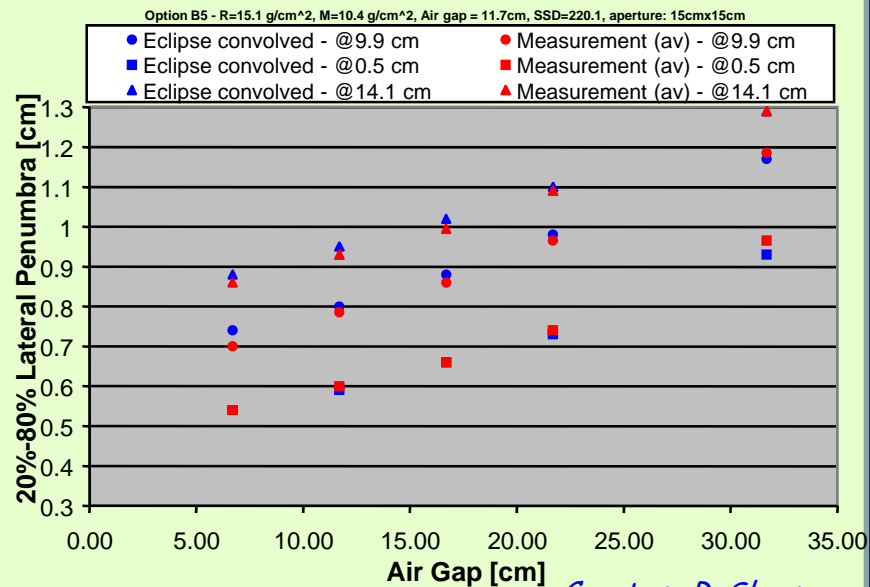
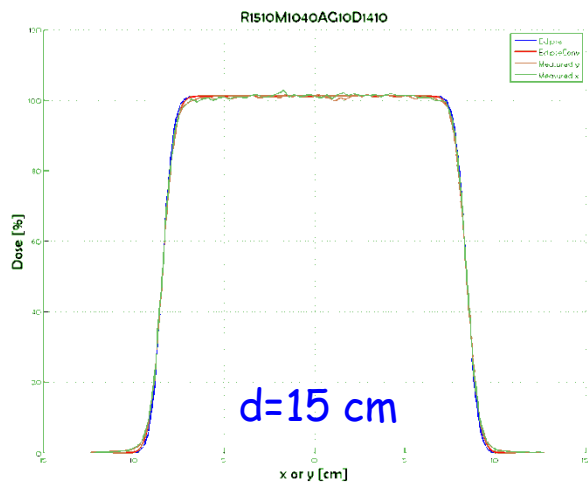
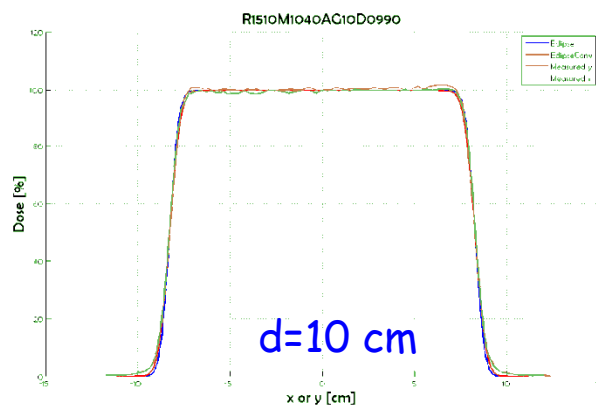
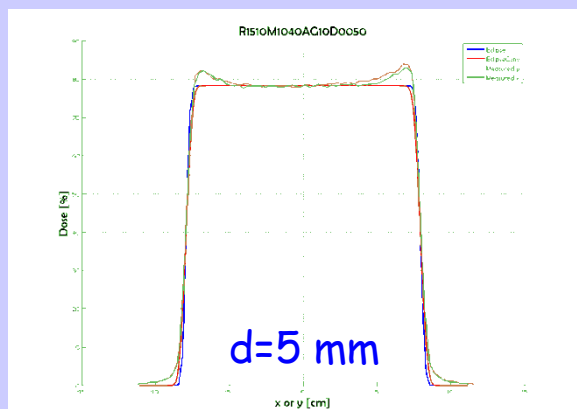


Courtesy R. Slopsema



# Beam Profile Calc vs Measurement

R=15.1 M=10.4



Courtesy R. Slopesma

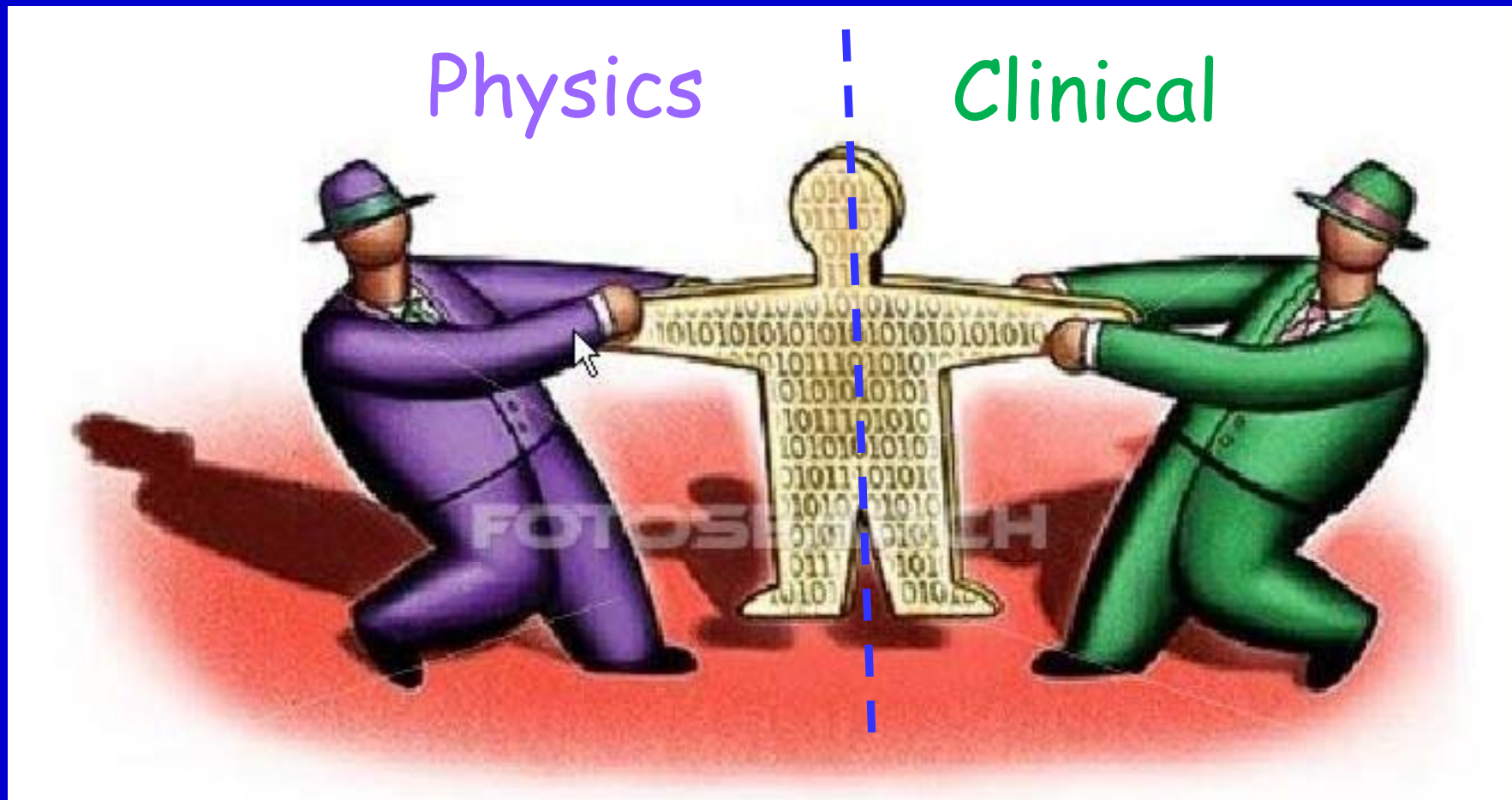


---

Proton Dose Calc in water is generally accurate!



# ...ok, what about Clinical Issues?





## Match & Patch Fields

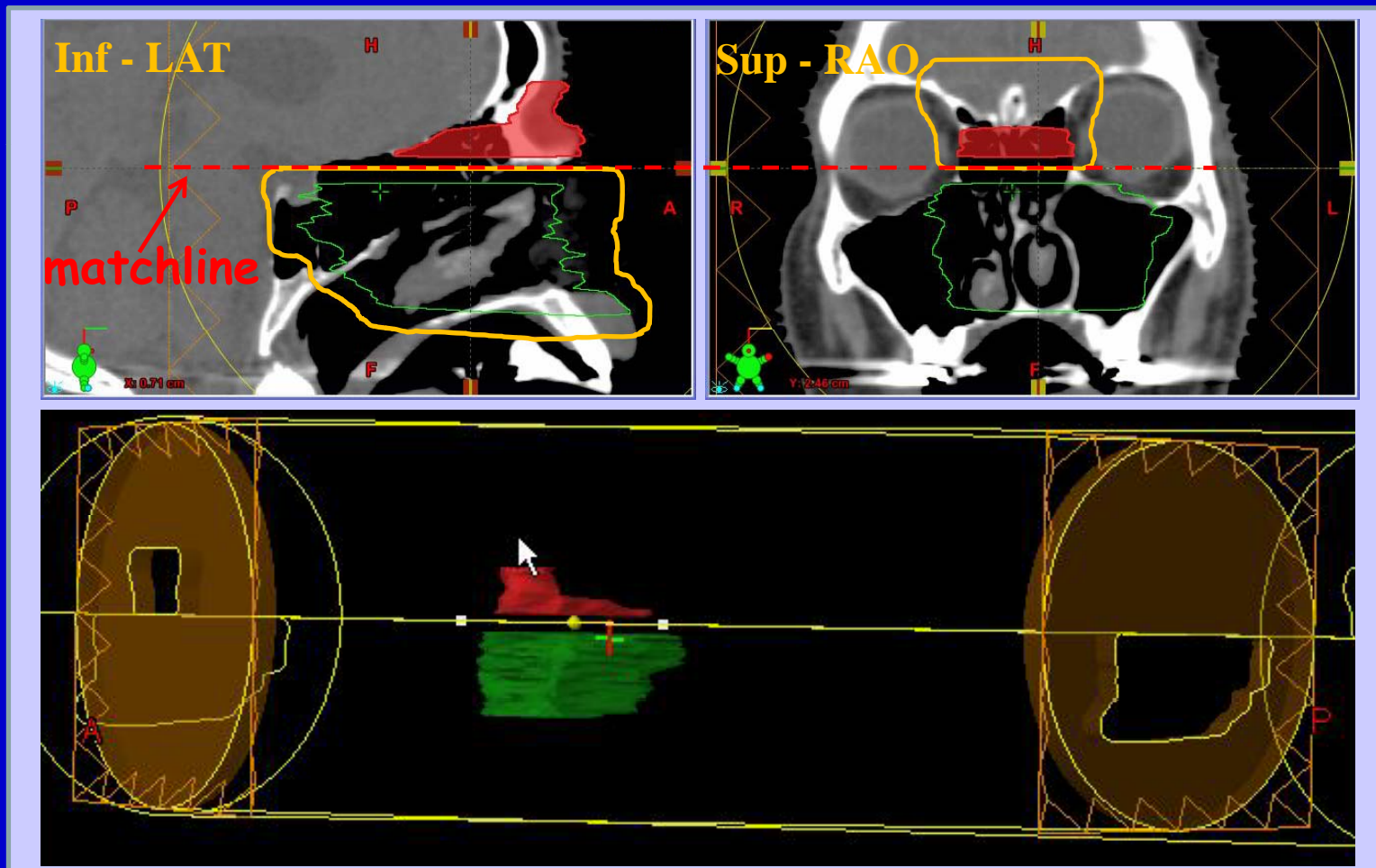
---

- ❖ used to avoid OARs adjacent to target
- ❖ partition target into segments (sub-targets)
  - sub-targets treated with ‘sub-beams’
  - angle sub-beams to avoid OARs
- ❖ combined with other fields for dose uniformity



# Match Fields

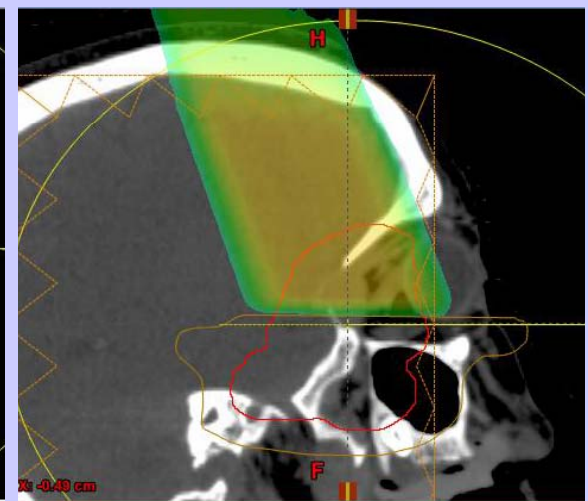
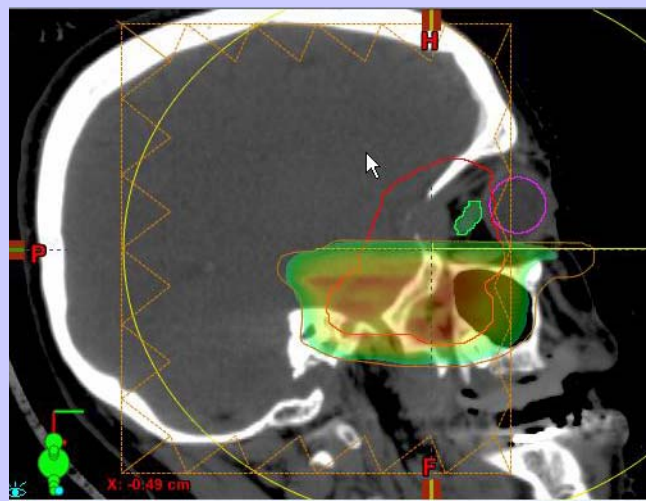
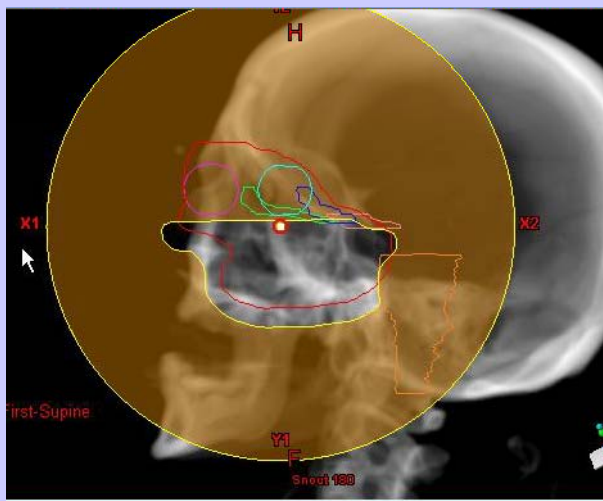
- ❖ match fields abutting each other
- ❖ penumbra matching penumbra





# Patch Fields

- ❖ thru beam txt partial target
- ❖ residual txt with patch
- ❖ lateral penumbra (t-beam) 'matched' with distal falloff (p-beam)
- ❖ LPO beam (inferior) patched with SPO (superior)



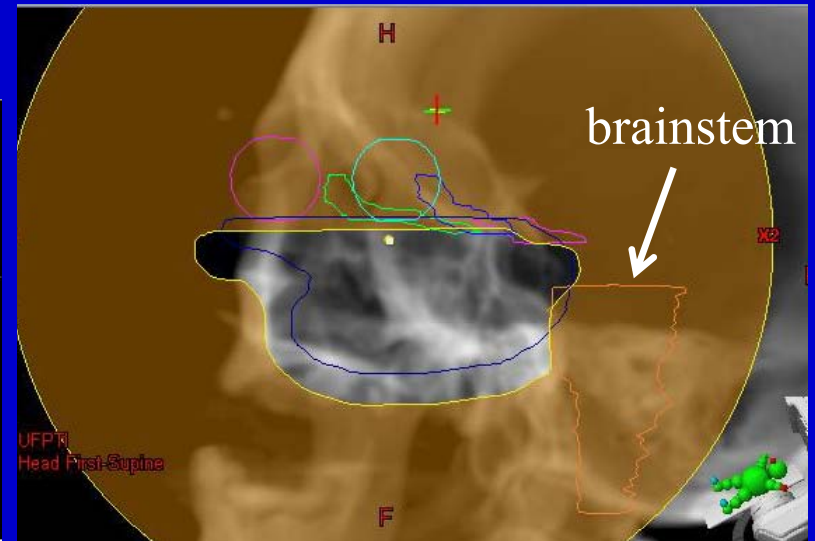
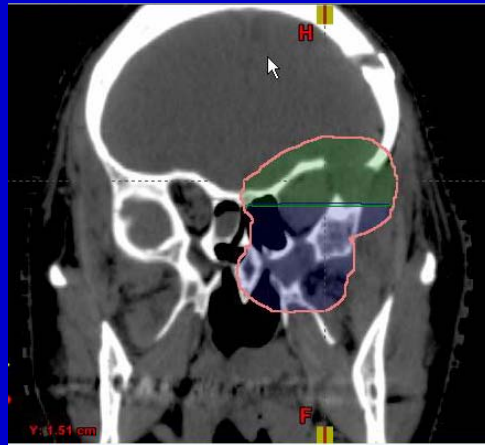
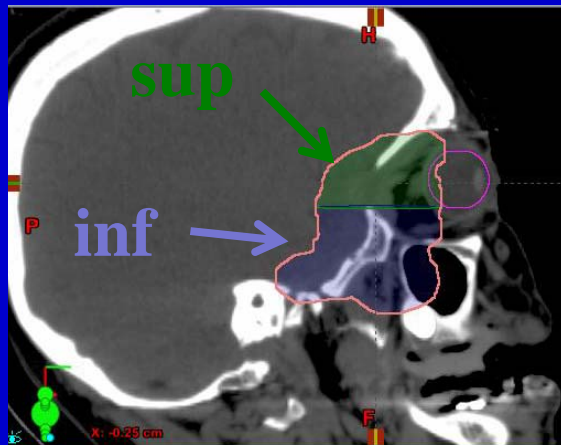




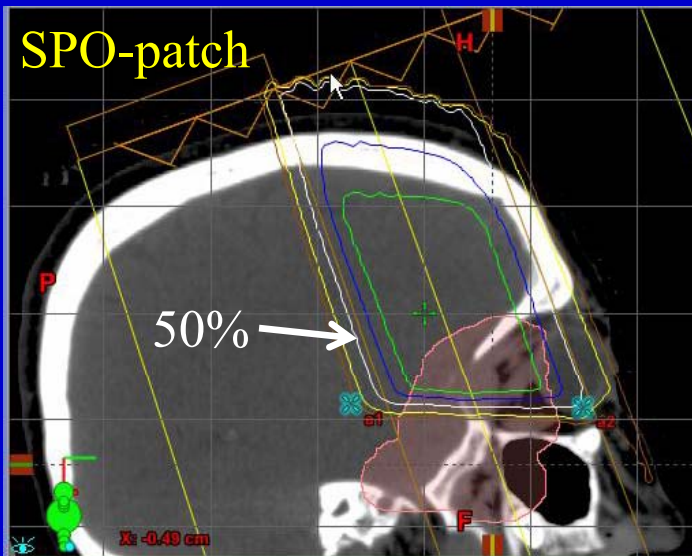
# Lacrimal Gland Carcinoma

## PTV 50.4

partition into sup + inf targets



LPO-Inf (spare optics & BS)



PTV50.4: 5 fields with match & patch

Target	Fields	
PTV	LAO	LPO
PTV(Inf)	LPO-Inf	
PTV(Sup)	LAO-match	SPO-patch



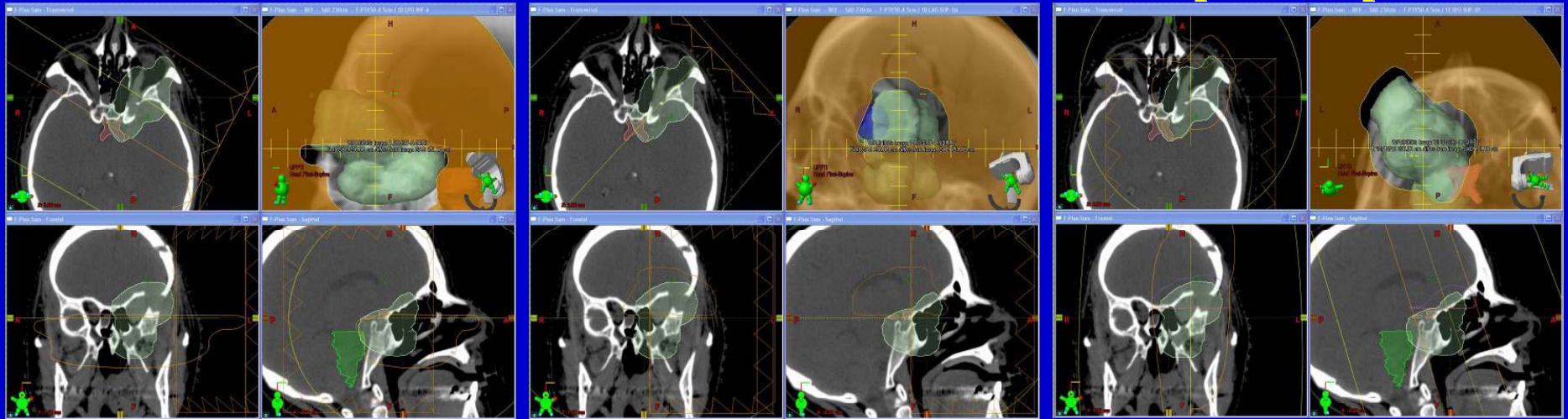
# Lacrimal Gland Carcinoma

## PTV50.4 – 5 Fields

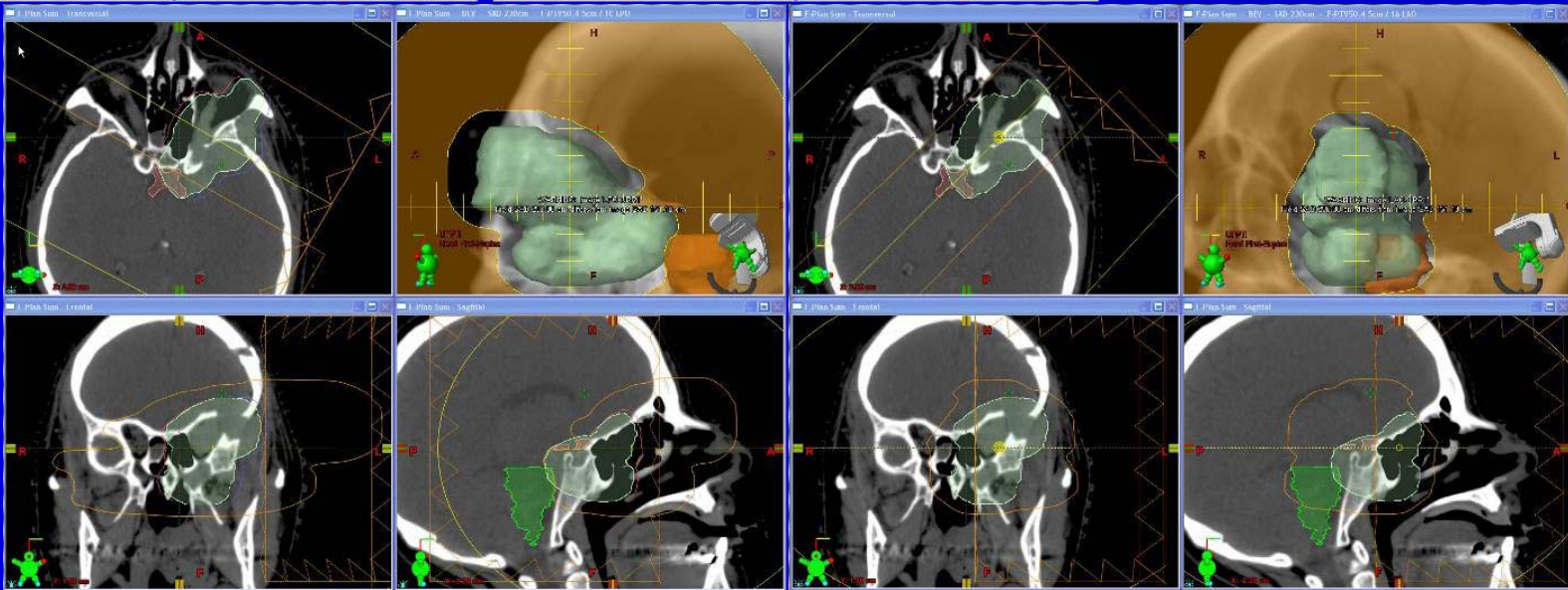
**Inf - LPO**

**Sup – LAO match**

**Sup – SPO patch**



**LPO**



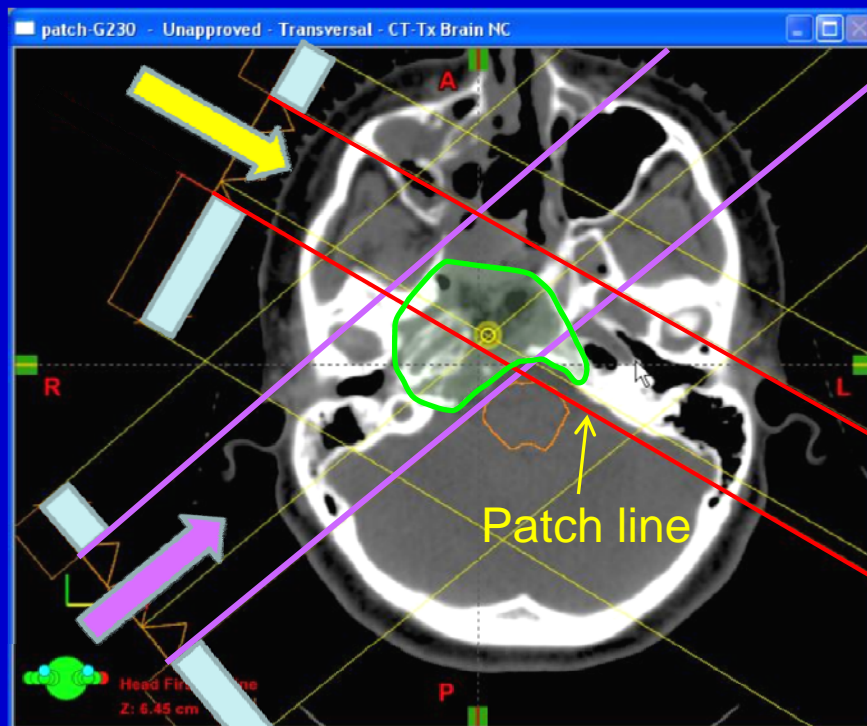
**LAO**



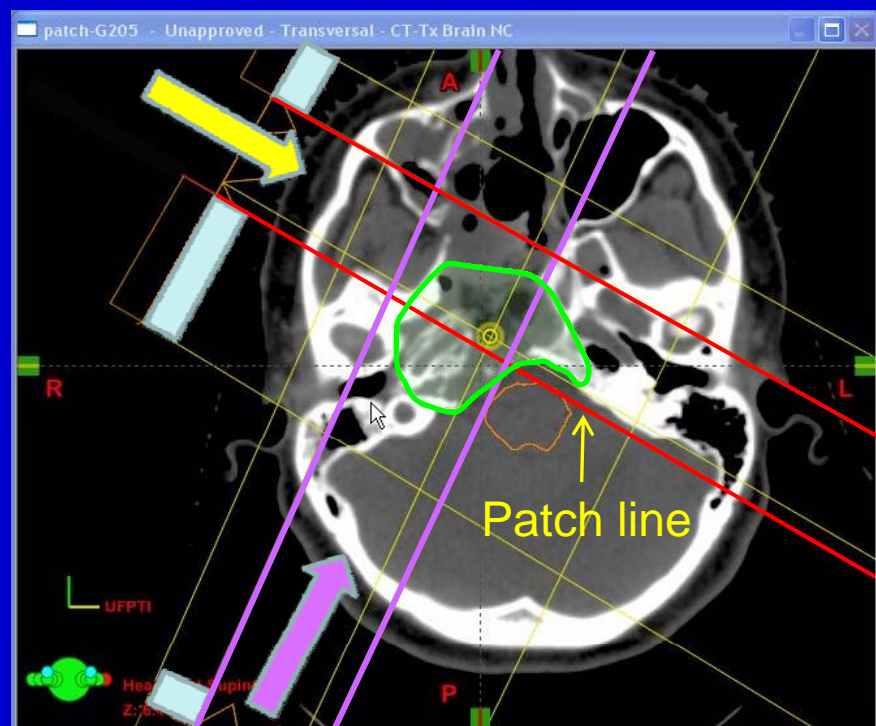
# Patch Field Selection

## Patch Field Angle Selection

- ❖ optimal geometric coverage ( $G = 230^\circ$ )
- ❖ avoid inhomogeneity along path ( $G = 205^\circ$ )



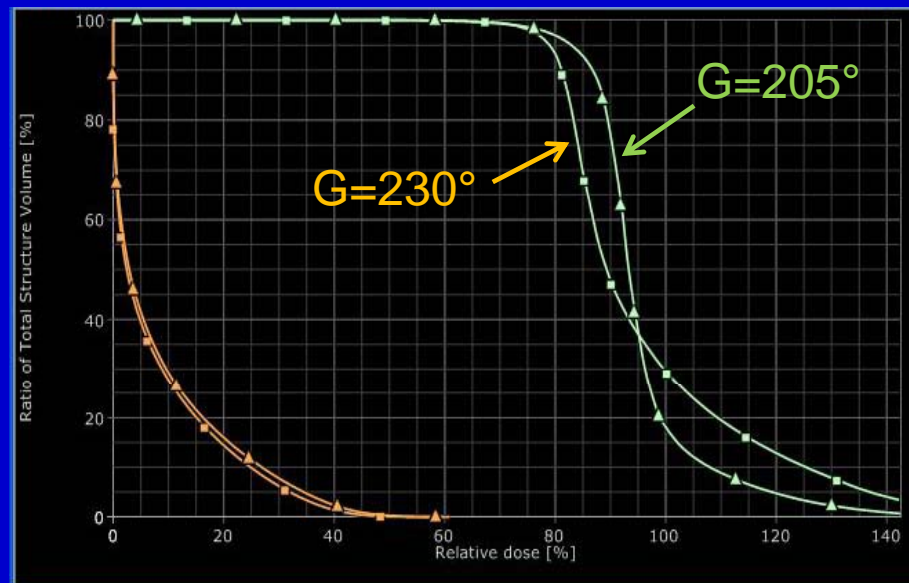
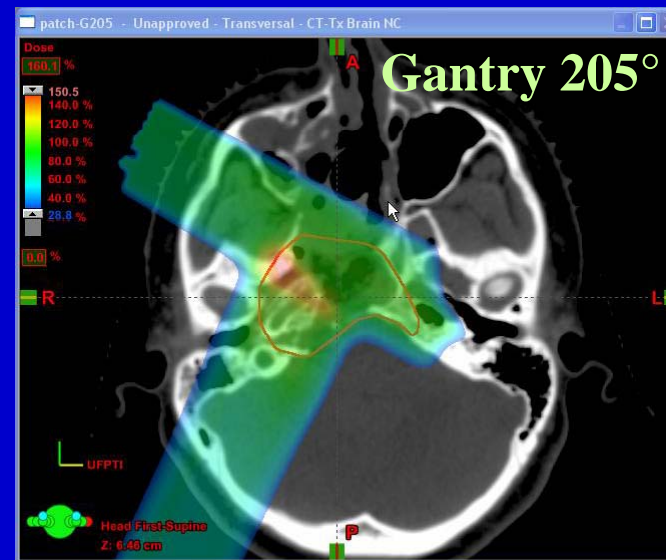
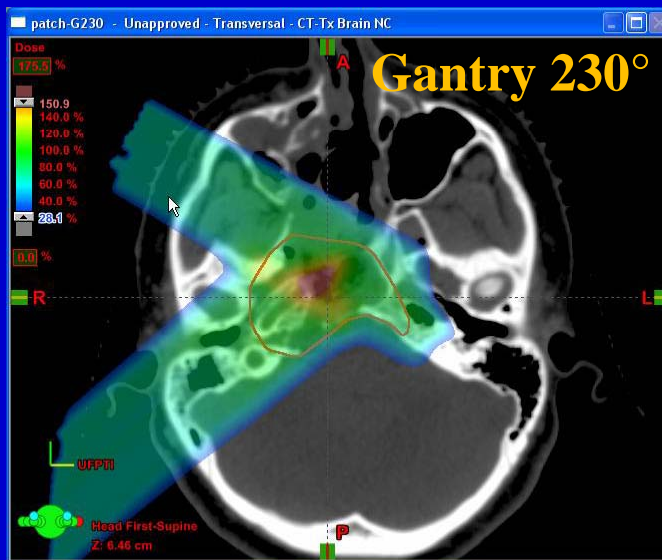
**Gantry 230**



**Gantry 205**



# Patch Field – Beam Angle Selection





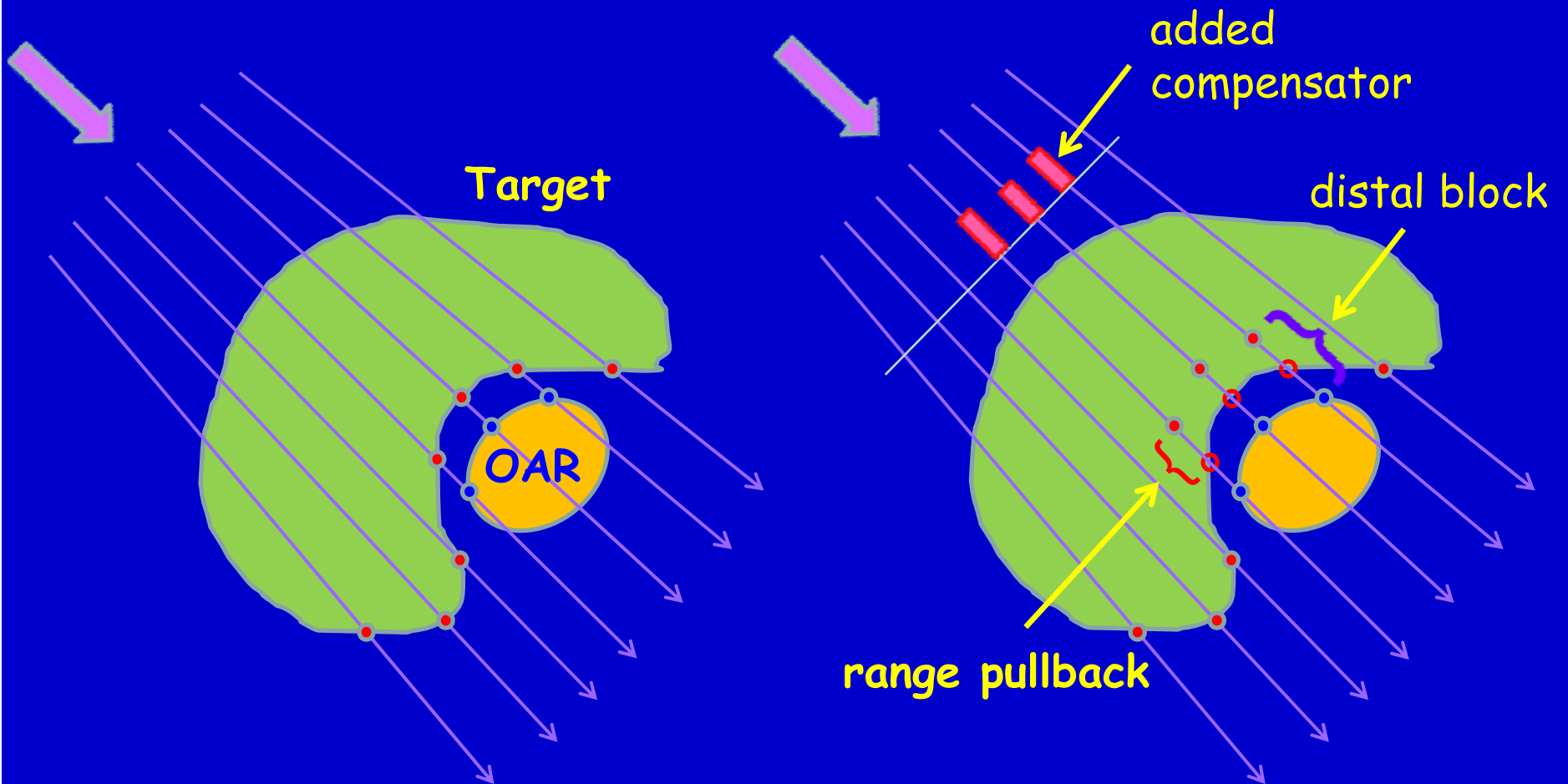
# Distal Blocking

---

- ❖ selective pullback of range to spare OARs
- ❖ pullback achieved with added compensator
- ❖ potential pitfalls
  - setup error or motion may nullify sparing
  - 'simple' distal blocking may compromise target coverage
- ❖ assess robustness of approach

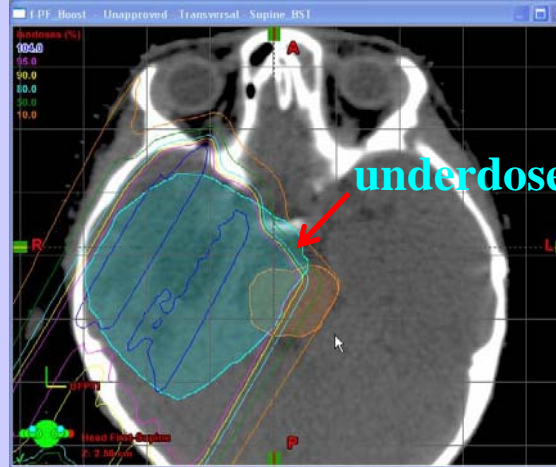
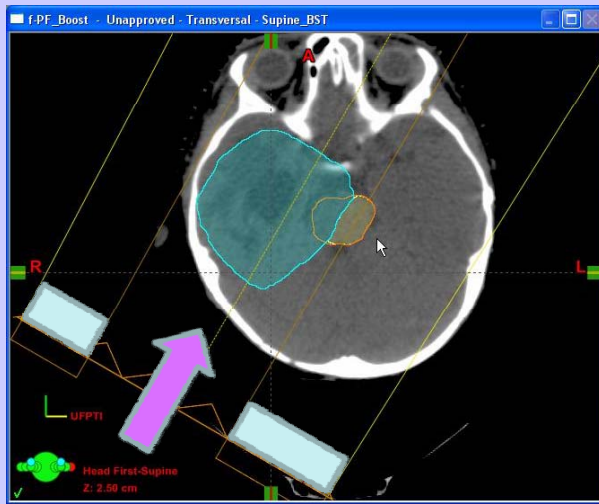


# Distal Blocking



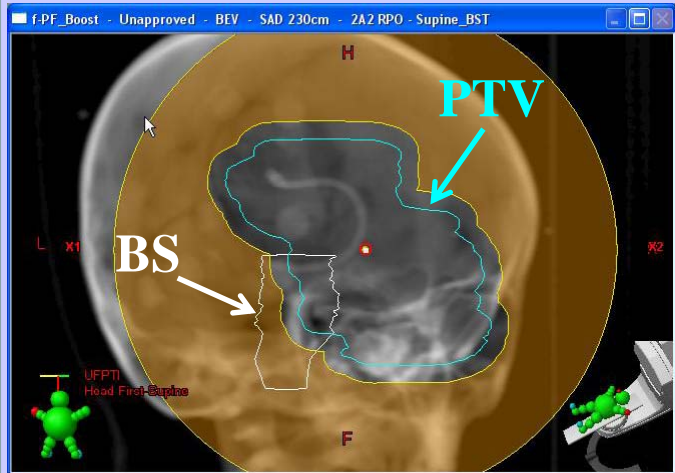


# Distal Blocking

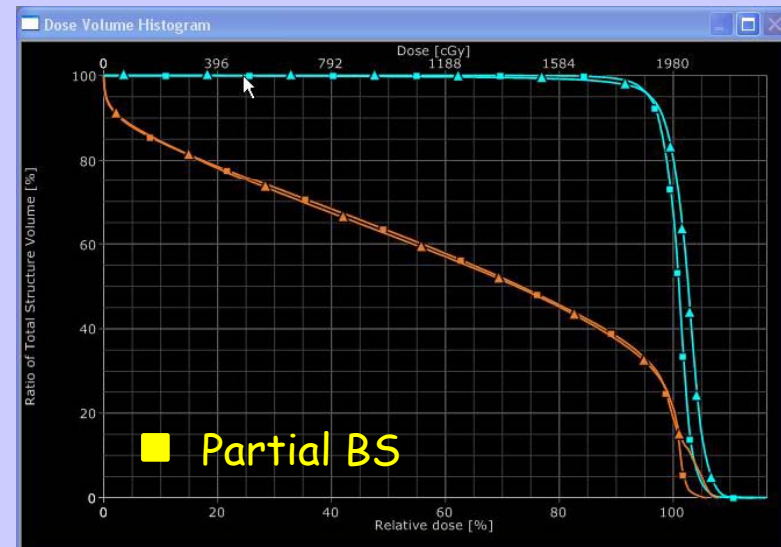


Whole BS

Partial BS



RPO Field





# Clinical Tools

---

## Integrate Clinical workflow

- ❖ clinical database
- ❖ web-based applications
  - mu model
  - physics qa
  - plan evaluation
- ❖ quality assurance
- ❖ clinical efficiency & efficacy





# Plan/Dvh Evaluation



## H&N Treatment Dosimetry Check List

Name: UFPTI Patient ID: 12345 Dosimetrist: \_\_\_\_\_ MD: \_\_\_\_\_  
 Age: \_\_\_\_\_ Disease Site: Nasal Cavity Tx. Room: 02  
 Scan Date: \_\_\_\_\_ Start Date: \_\_\_\_\_ Final Plan Name: PNS

Site Protocol #	Oropharynx UFPTI 0601-OP01 <input type="checkbox"/>	Paranasal sinuses/ Nasal Cavity UFPTI 0604-SI01 <input checked="" type="checkbox"/>	Nasopharynx UFPTI 0605-NP01 <input type="checkbox"/>	Skin with perineural invasion UFPTI 0606-SK01 <input type="checkbox"/>
<b>Initial Dose</b>	Standard risk: 49.5 Gy@ 1.65 Gy/fx QD Intermediate/high risk: 54 Gy@ 1.8 Gy/fx QD IMRT	Pre-Op: 50.4 - 60 Gy@ 1.2 Gy/fx BID Post-Op: 69.6 - 74.4 Gy@ 1.2 Gy/fx BID	50.4 Gy@ 1.2 Gy/fx BID	Local RT: BID (-) skin invasion: 60 Gy@ 1.2 Gy/fx (+) skin invasion: 66 Gy@ 1.2 Gy/fx Local RT = involved nerve to skull base: BID 60 Gy@ 1.2 Gy/fx photons Local RT = involved nerve to skull base: BID 14.4 Gy@ 1.2 Gy/fx
<b>Boost Dose</b>	High Risk: concomitant boost 18 Gy@ 1.5 Gy/fx proton	(low neck photons) <input type="checkbox"/> Y <input type="checkbox"/> N 50 Gy@ 2Gy/fx QD	24 CGE@ 1.2 Gy/fx BID	

**4D CT/ Image Fusion checked:** Physician: \_\_\_\_\_ Date: \_\_\_\_\_ Physicist: \_\_\_\_\_ Date: \_\_\_\_\_  
 CT without contrast, CT with contrast, MR: \_\_\_\_\_

**Contours checked:** Physician: \_\_\_\_\_ Date: \_\_\_\_\_ Physicist: \_\_\_\_\_ Date: \_\_\_\_\_

Normal Organs:

Dosimetrist:	Mandible <input type="checkbox"/>	Spinal Cord <input checked="" type="checkbox"/>	Cord+5 <input checked="" type="checkbox"/>	Retinas <input checked="" type="checkbox"/>
Physician:	Brainstem+3 <input checked="" type="checkbox"/>	Brain <input checked="" type="checkbox"/>	LT/RT O.N. <input checked="" type="checkbox"/>	Other: _____ <input type="checkbox"/>
Physician:	LT/RT SMG <input type="checkbox"/>	Brainstem <input checked="" type="checkbox"/>	LT/RT Lacrimal Gland <input checked="" type="checkbox"/>	Other: _____ <input type="checkbox"/>
Targets:	LT Parotid <input type="checkbox"/>	RT Parotid <input type="checkbox"/>	Chiasm <input checked="" type="checkbox"/>	Other: _____ <input type="checkbox"/>
	GTV <input type="checkbox"/>	CTVs <input checked="" type="checkbox"/>	PTVs <input checked="" type="checkbox"/>	Other: _____ <input type="checkbox"/>

**DVH** Physician: \_\_\_\_\_ Date: \_\_\_\_\_ Physicist: \_\_\_\_\_ Date: \_\_\_\_\_

PTV D95% = 100%? 95%  YES  NO PTV D99% ≥ 93%? 93%  YES  NO

PTV Hotspot: V110% ≤ 20%? 6%  YES  NO PTV D2% = 112%

BS Max Dose 0.1cc = 30 CGE  
 Brainstem Surface ≤ 64 CGE  Middle ≤ 55  Post < 50  Cord D0.1cc ≤ 50 Gy? 2  YES  NO

Chiasm 0.1cc = 31 < 55 CGE?  YES  NO f OC+3mm(exp) 0.1cc = N/A  
 (functional Chiasm: will effect vision)

RT O.N. 0.1cc = 32 < 55 CGE?  YES  NO LT O.N. 0.1cc = 37 < 55 CGE?  YES  NO

RT Retina (posterior globe) D0.1cc ≤ 50 Gy? 41  YES  NO  
 LT Retina (posterior globe) D0.1cc ≤ 50 Gy? 41  YES  NO

**Chart:** Physician: \_\_\_\_\_ Date: \_\_\_\_\_ Physicist: \_\_\_\_\_ Date: \_\_\_\_\_

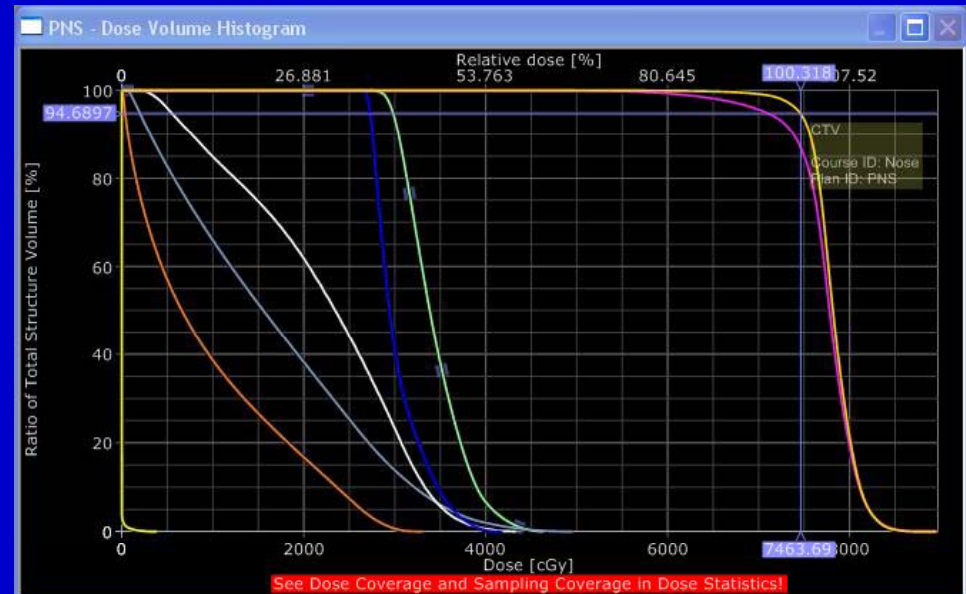
Prescription signed

Dose: Initial: 744 CGE Boost: 0 CGE # Fields/Day: 3

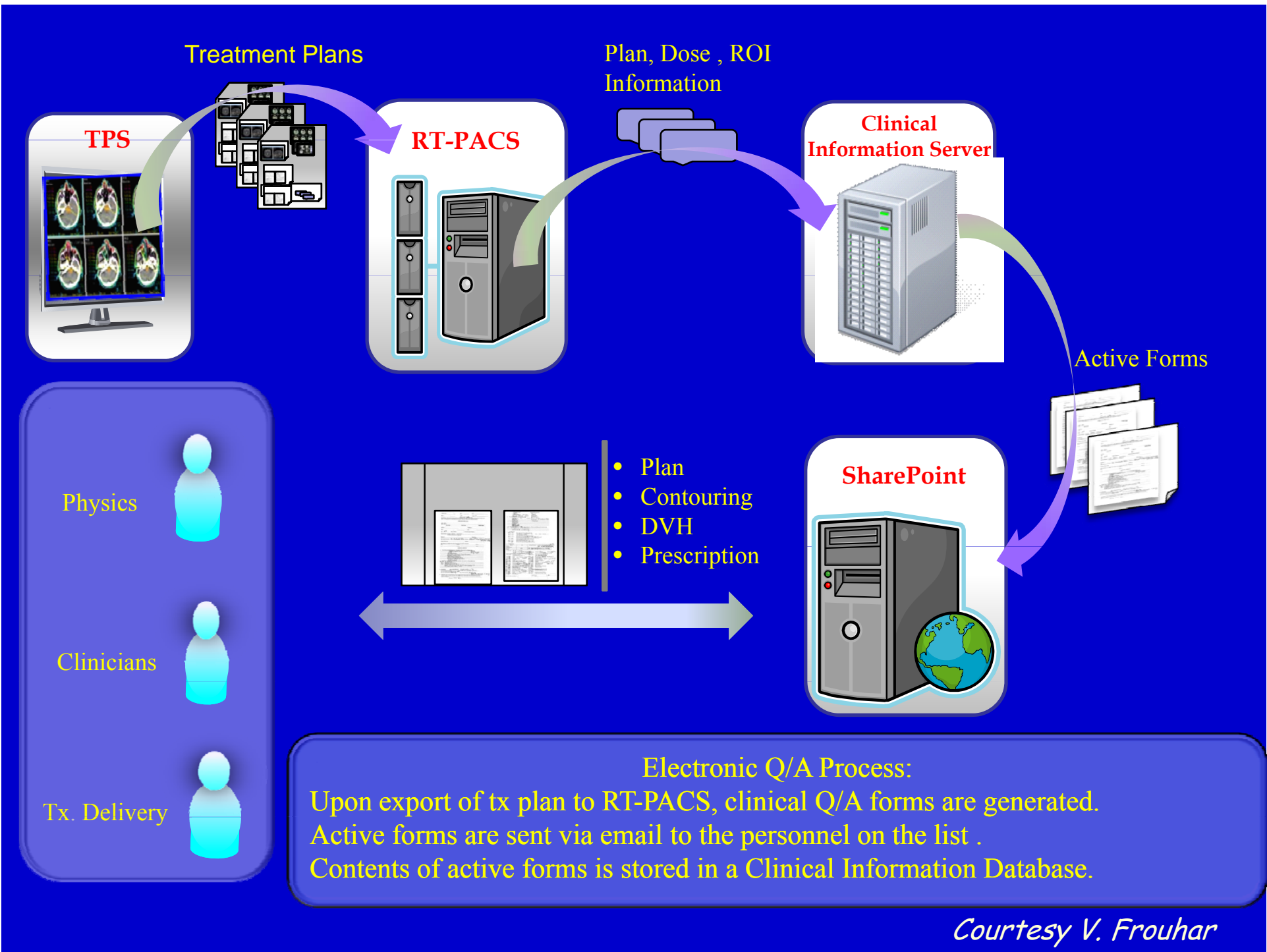
Distal Blocking Structure Margins on Back

Rev. 9/24/07

Courtesy R. Malyapa, C.McKenzie, Z. Li



Dvh statistics for CTV, PTV, OARs are extracted manually from plots



**PROTON THERAPY**

H&N Treatment Dosimetry Automated Report Sheet

Patient Name: UFPTI Patient ID: 12345 Age: 32

Physicist: Physician A Dosimetrist: Dosimetrist C Physician: Physician B

Disease Site: Nasal Tx. Room: P2 Final Plan Name: PNS

Scan Date: 2/2/2009 Start Date: 2/14/2009

Site Protocol #	<input type="checkbox"/> Oropharynx UFPTI 0801-Op01	<input checked="" type="checkbox"/> Paranasal Sinus/Nasal Cavity UFPTI 0801-Op01	<input type="checkbox"/> Nasopharynx UFPTI 0806-AP01	<input type="checkbox"/> Site with petrous invasion UFPTI 0806-AP01
Standard risk	48.6 Gy @ 1.86 Gy/fx QD	Pre-Op 60.4-60 Gy @ 1.2 Gy/fx BID	Standard risk 48.6 Gy @ 1.86 Gy/fx QD	Standard risk 48.6 Gy @ 1.86 Gy/fx QD
Initial Dose	Intermediate/High risk 64 Gy @ 1.8 Gy/fx QD/MRT	Post-Op 69.8-74.4 Gy @ 1.2 Gy/fx BID	Post-Op 69.8-74.4 Gy @ 1.2 Gy/fx BID	Post-Op 69.8-74.4 Gy @ 1.2 Gy/fx BID
Boost Dose	High Risk: Cone omnifield boost 18 Gy @ 1.6 Gy/fx proton	None (low neck photons)	High Risk: Cone omnifield boost 18 Gy @ 1.6 Gy/fx proton	High Risk: Cone omnifield boost 18 Gy @ 1.6 Gy/fx proton

4D CT/Image Fusion

Physician: Select... Date: Physicist: Select... Date:

CT with/without contrast CT with/without contrast MR

Contours

Physician: Physician B Date: 2/10/2009 Physicist: Physician A Date: 2/10/2009

Physicist	<input type="checkbox"/> Airway	<input type="checkbox"/> Esophagus	<input checked="" type="checkbox"/> Eye	<input checked="" type="checkbox"/> Eye	<input type="checkbox"/> Eye	<input type="checkbox"/> Eye
Dosimetrist	<input checked="" type="checkbox"/> Paranasal Sinus	<input type="checkbox"/> Brainstem	<input checked="" type="checkbox"/> Chiasm	<input checked="" type="checkbox"/> Other	<input type="checkbox"/>	<input type="checkbox"/>
Physician	<input type="checkbox"/> LDRRT SMO	<input type="checkbox"/> Brainstem	<input checked="" type="checkbox"/> Chiasm	<input checked="" type="checkbox"/> Other	<input type="checkbox"/>	<input type="checkbox"/>
Physicist	<input type="checkbox"/> LDRRT SMO	<input type="checkbox"/> Brainstem	<input checked="" type="checkbox"/> Chiasm	<input checked="" type="checkbox"/> Other	<input type="checkbox"/>	<input type="checkbox"/>
Physicist	<input type="checkbox"/> PIVs	<input type="checkbox"/> PIVs	<input checked="" type="checkbox"/> PIVs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

DVH Analysis

Physician: Physician B Date: 2/10/2009 Physicist: Physician A Date: 2/10/2009

Final Rx Dose (CGE)	PTV CGE = 90% ?	95%	<input type="checkbox"/>
	PTV Hotspot: V110% ≤ 20% ?	0%	<input type="checkbox"/>
	PTV D99 ≥ 93% ?	83%	<input type="checkbox"/>
	Brainstem Surface ≤ 54 CGE		<input checked="" type="checkbox"/>
	Brainstem Middle ≤ 55 CGE		<input checked="" type="checkbox"/>
	Brainstem Post ≤ 50 CGE		<input checked="" type="checkbox"/>
	Cord D0.1 cc ≤ 50 Gy ?	2	<input checked="" type="checkbox"/>
	Chiasm 0.1 cc = 31 < 55 CGE		<input checked="" type="checkbox"/>
	LT O.N. 0.1 cc = 37 < 55 CGE		<input checked="" type="checkbox"/>
	Contralateral parotid mean dose =	≤ 28 Gy	<input type="checkbox"/>
	Retina (posterior globe) D0.1 cc ≤ 50 Gy		<input checked="" type="checkbox"/>
	RT Retina = 41 LT Retina = 41		<input checked="" type="checkbox"/>
	RT O.N. 0.1 cc = 32 < 55 CGE		<input checked="" type="checkbox"/>

Chart

Physician: Physician B Date: 2/10/2009 Physicist: Physician A Date: 2/10/2009

Dose: Initial:	74.4	CGE
Dose: Boost:	0	CGE
# Fld/d/Day:	3	
Prescription signed	<input checked="" type="checkbox"/>	

Electronic Q/A Process:

Upon expert of treatment plan to RT-PA CS, appropriate clinical Q/A forms are generated. Active forms are sent via email to the personnel on the list. Contents of active forms is stored in a Clinical Information Database.



H&N Treatment Dosimetry Automated Report Sheet

Patient Name: UFPTI Patient ID: 12345 Age: 32

Physicist: Physicist A Dosimetrist: Dosimetrist C Physician: Physician B

Disease Site: Nasal Tx. Room: P2 Final Plan Name: PNS  
 Scan Date: 2/2/2009 Start Date: 2/14/2009

Site Protocol #	<input type="checkbox"/> Oropharynx UFPTI 0601-Op01	<input checked="" type="checkbox"/> Paranasal Sinus/Nasal Cavity UFPTI 0601-Op01	<input type="checkbox"/> Nasopharynx UFPTI 0605-NP01	<input type="checkbox"/> Skin with perineural invasion UFPTI 0606-SK01
Initial Dose	Standard risk 49.5 Gy @ 1.65 Gy/fx QD	Pre-Op 50.4-60 Gy @ 1.2 Gy/fx BID	Standard risk 49.5 Gy @ 1.65 Gy/fx QD	Standard risk 49.5 Gy @ 1.65 Gy/fx QD
	Intermediate/high risk 54 Gy @ 1.8 Gy/fx QD IMRT	Post-Op 69.6-74.4 Gy @ 1.2 Gy/fx BID	Post-Op 69.6-74.4 Gy @ 1.2 Gy/fx BID	Post-Op 69.6-74.4 Gy @ 1.2 Gy/fx BID
Boost Dose	High Risk: Concomitant boost 18 Gy @ 1.5 Gy/fx proton	None (low neck photons)	High Risk: Concomitant boost 18 Gy @ 1.5 Gy/fx proton	High Risk: Concomitant boost 18 Gy @ 1.5 Gy/fx proton

**4D CT/Image Fusion**  
 Physician: Select... Date:  Physician: Select... Date:   
 CT without contrast  CT with contrast  MR:

**Contours**  
 Physician: Physician B Date: 2/10/2009 Physician: Physicist A Date: 2/10/2009

Dosimetrist:	Mandible <input type="checkbox"/>	Spinal Cord <input checked="" type="checkbox"/>	Cord+5 <input checked="" type="checkbox"/>	Retinas <input checked="" type="checkbox"/>
	Brainstem+3 <input checked="" type="checkbox"/>	Brain <input checked="" type="checkbox"/>	LT/RT O.N. <input checked="" type="checkbox"/>	Other: <input type="text"/> <input type="checkbox"/>
Physician:	LT/RT SMG <input type="checkbox"/>	Brainstem <input checked="" type="checkbox"/>	Chiasm <input checked="" type="checkbox"/>	Other: <input type="text"/> <input type="checkbox"/>
	LT Parotid <input type="checkbox"/>	RT Parotid <input type="checkbox"/>	PTV's <input checked="" type="checkbox"/>	
Targets:	GTV <input type="checkbox"/>	CTV's <input checked="" type="checkbox"/>	PTV's <input checked="" type="checkbox"/>	

### DVH Analysis

Physician: Physician B Date: 2/10/2009 Physicist: Physicist A Date: 2/10/2009

Final Rx Dose (CGE)

PTV D95 = 100% ?	95%	<input type="checkbox"/>
PTV Hotspot: V110% ≤ 20%?	6%	<input type="checkbox"/>
PTV D99 ≥ 93% ?	83%	<input type="checkbox"/>
Brainstem Surface ≤ 64 CGE		<input checked="" type="checkbox"/>
Brainstem Middle ≤ 55 CGE		<input checked="" type="checkbox"/>
Brainstem Post ≤ 50 CGE		<input checked="" type="checkbox"/>
Cord D0.1 cc ≤ 50 Gy ?	2	<input checked="" type="checkbox"/>
Chiasm 0.1 cc = 31	< 55 CGE	<input checked="" type="checkbox"/>
LT O.N. 0.1 cc = 37	< 55 CGE	<input checked="" type="checkbox"/>
Contralateral parotid mean dose =	≤ 26 Gy	<input type="checkbox"/>
Retina (posterior globe) D0.11 cc ≤ 50 Gy		<input checked="" type="checkbox"/>
RT Retina = 41	LT Retina = 41	
RT O.N. 0.1 cc = 32	< 55 CGE	<input checked="" type="checkbox"/>

### Chart

Physician: Physician B Date: 2/10/2009 Physicist: Physicist A Date: 2/10/2009

Dose: Initial: 74.4 CGE

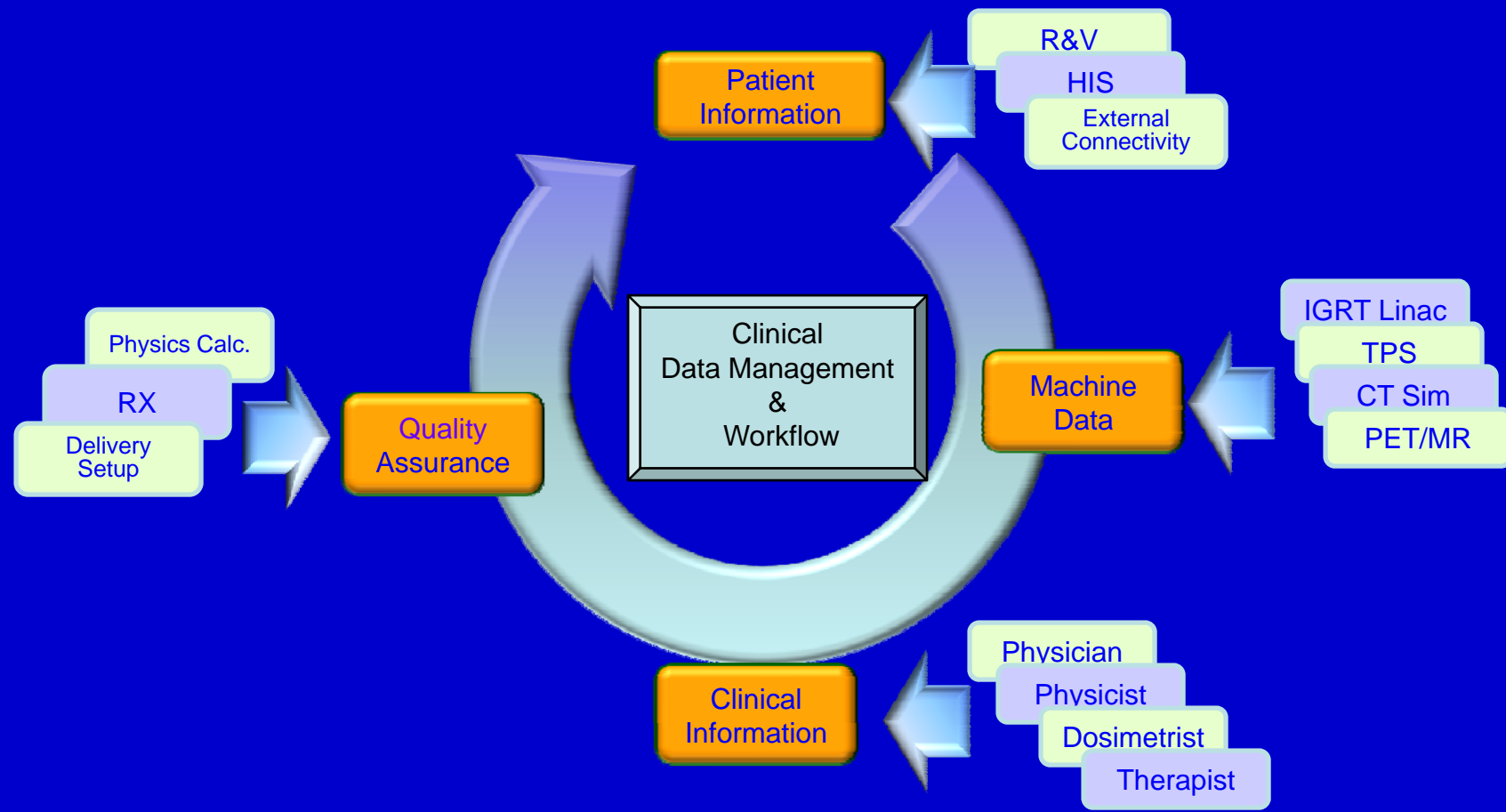
Dose: Boost 0 CGE

# Fields/Day: 3

Prescription signed



# Integration of Clinical Workflow





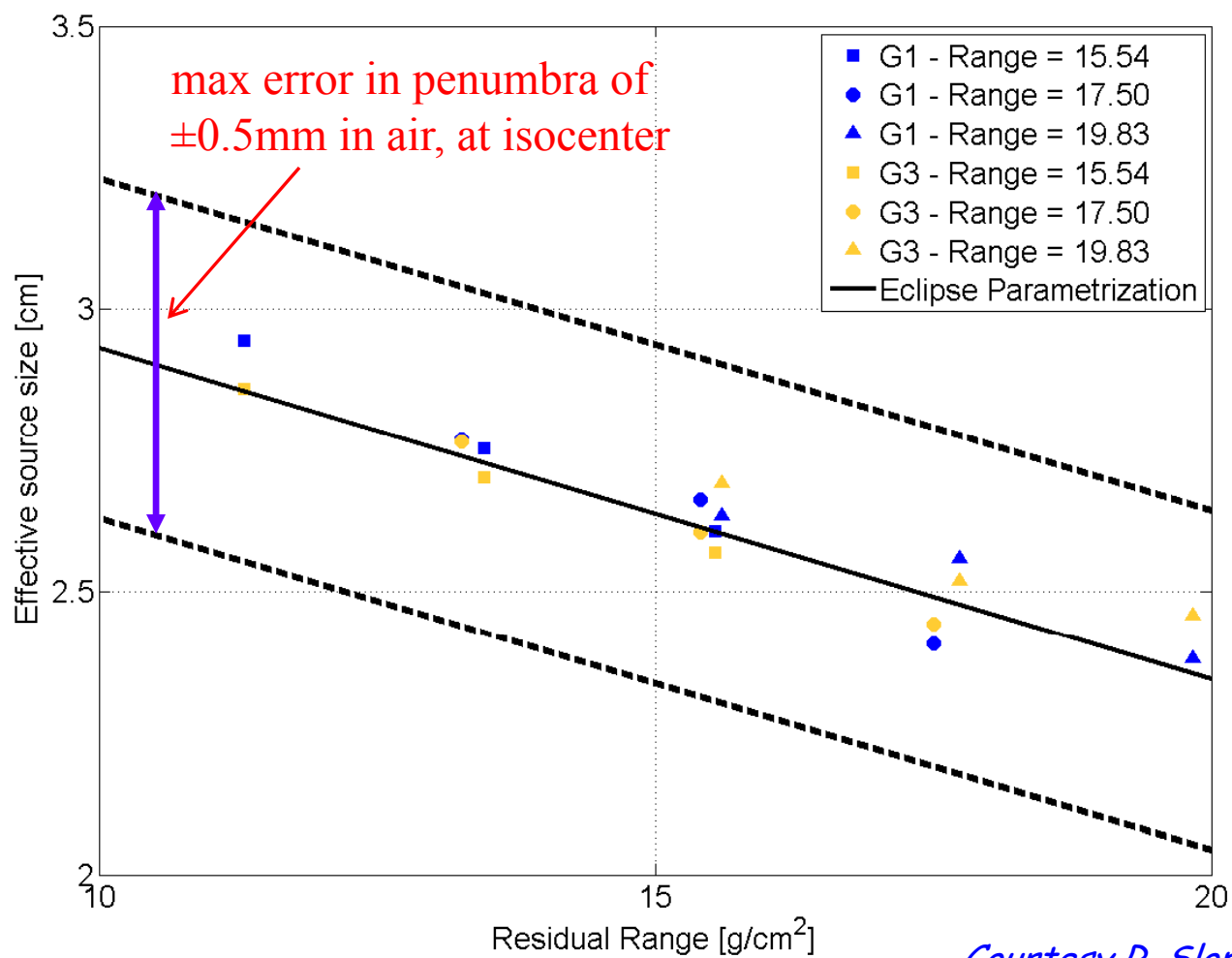
# Golden Beam Data

---

- ❖ TPS commissioning time consuming
- ❖ share beam data among gantries (institutions)?
  - golden beam data set
  - accuracy requirements on modeling parameters
    - pristine depth dose & sobp
    - effective source size
    - virtual sad
    - effective sad



# Golden Beam Data

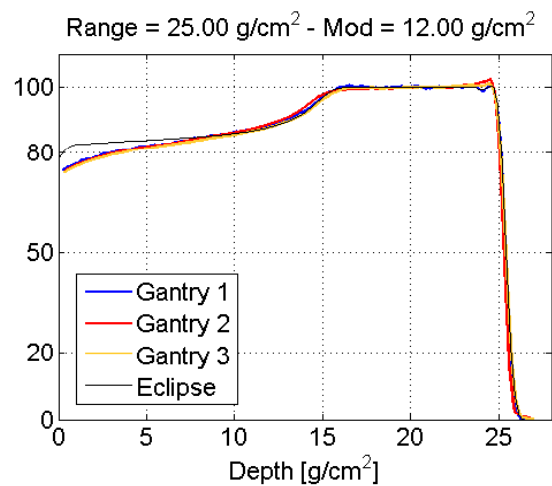
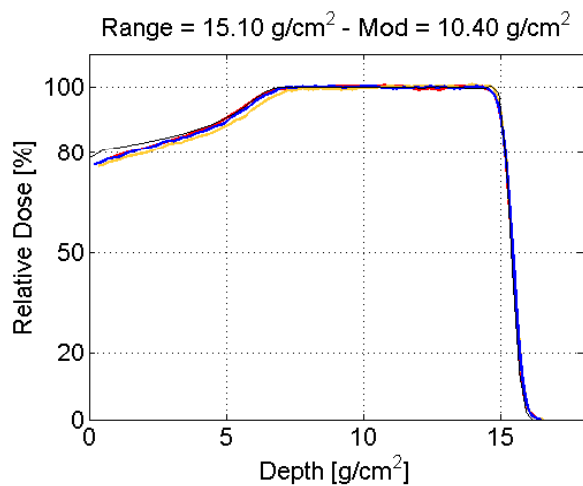
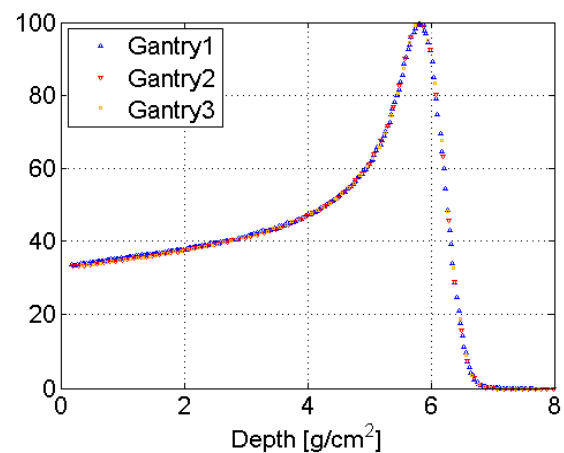
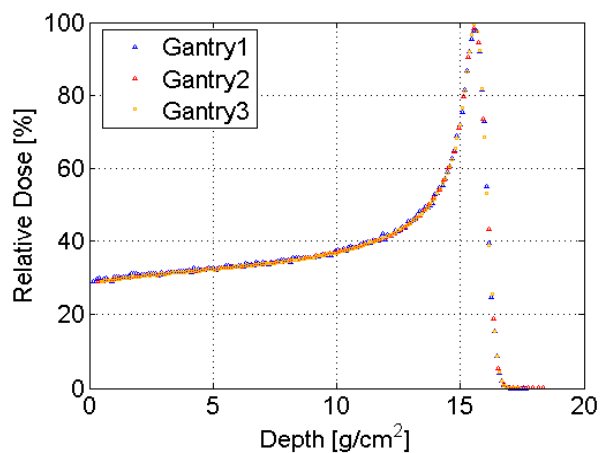


*Courtesy R. Slopsema*





# Golden Beam Data





# Summary

---

- ❖ Analytical Proton Algorithms provide accurate dose model
- ❖ Proton specific clinical planning issues requires vigilance
- ❖ Dose plan is a snap shot of dose distribution
- ❖ Dose delivered depends on
  - uncertainties in range, setup, organ motion, etc.
- ❖ Select beams and parameters to minimize uncertainties
- ❖ Tools to integrate clinical workflow are essential
- ❖ Golden beam data looks feasible



---

Thanks!