

ICRU Recommendations

Thomas Rockwell Mackie

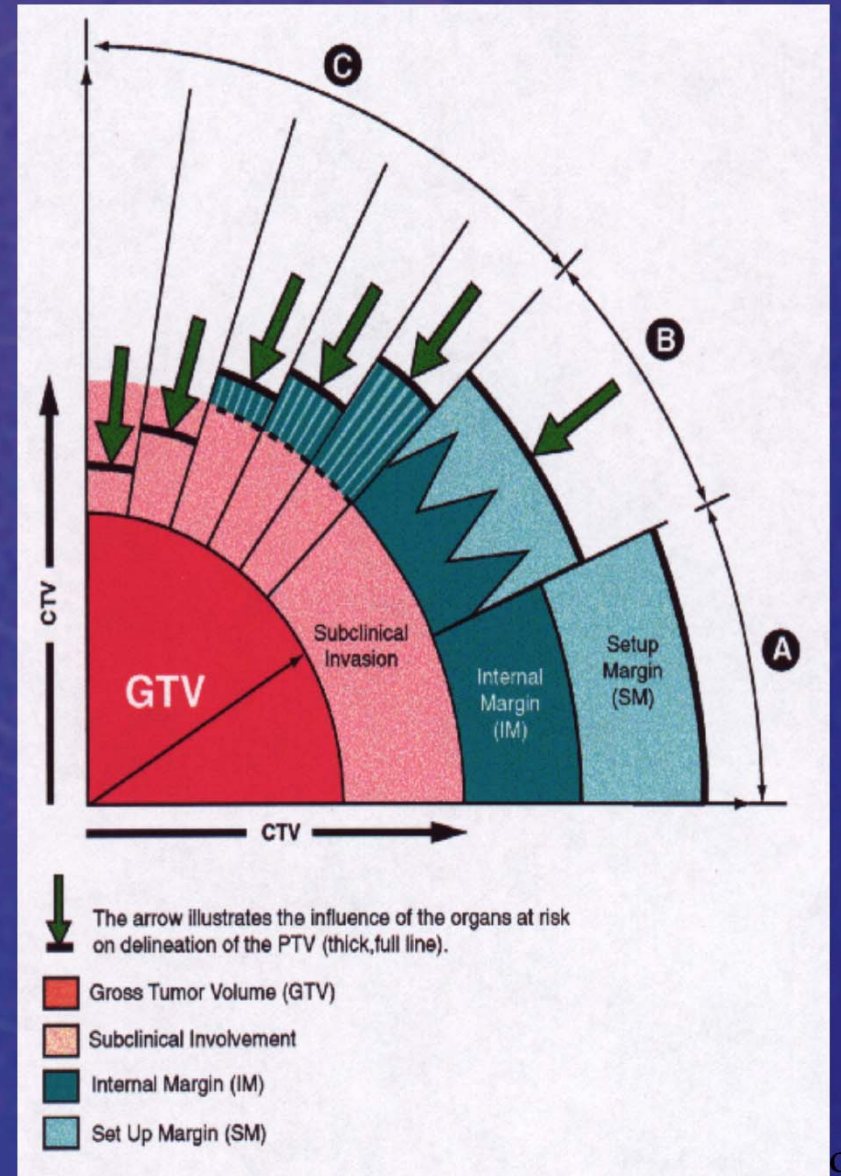
**Professor, Department of Medical Physics
University of Wisconsin
Madison WI**

Vincent Gregoire

**Professor, Department of Radiation Medicine
St. Luc Hospital
Brussels Belgium**

Target Volumes in Radiation Oncology: ICRU 50 and 62:

- Gross Tumor Volume: GTV
- Clinical Target Volume: CTV
- Internal Target Volume: ITV
- Planning Target Volume: PTV
- Organ at Risk: OAR
- Planning Organ at Risk Volume: PRV



The Need for an New ICRU Report on IMRT

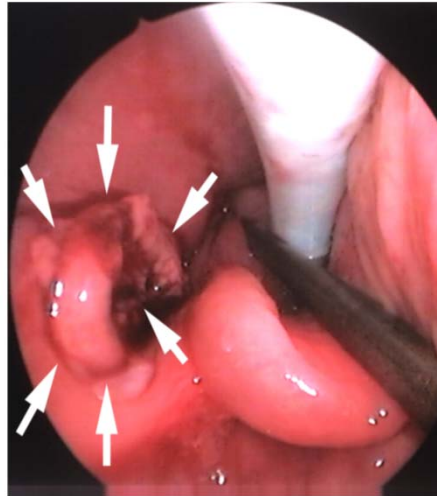
It was published and/or
mentioned ...

- **Biological Target Volume (BTV): C. Ling, IJROBP 2000,**
- **Hypoxic TV (HTV), Proliferation TV (PTV), ...: ESTRO physics meeting, 2003,**
- **working PTV (wPTV): Ciernik IF, IJROBP, 2005,**
- **AAPM 2005: “... with the use of IMRT, ICRU recommendations will not be needed anymore...”.**

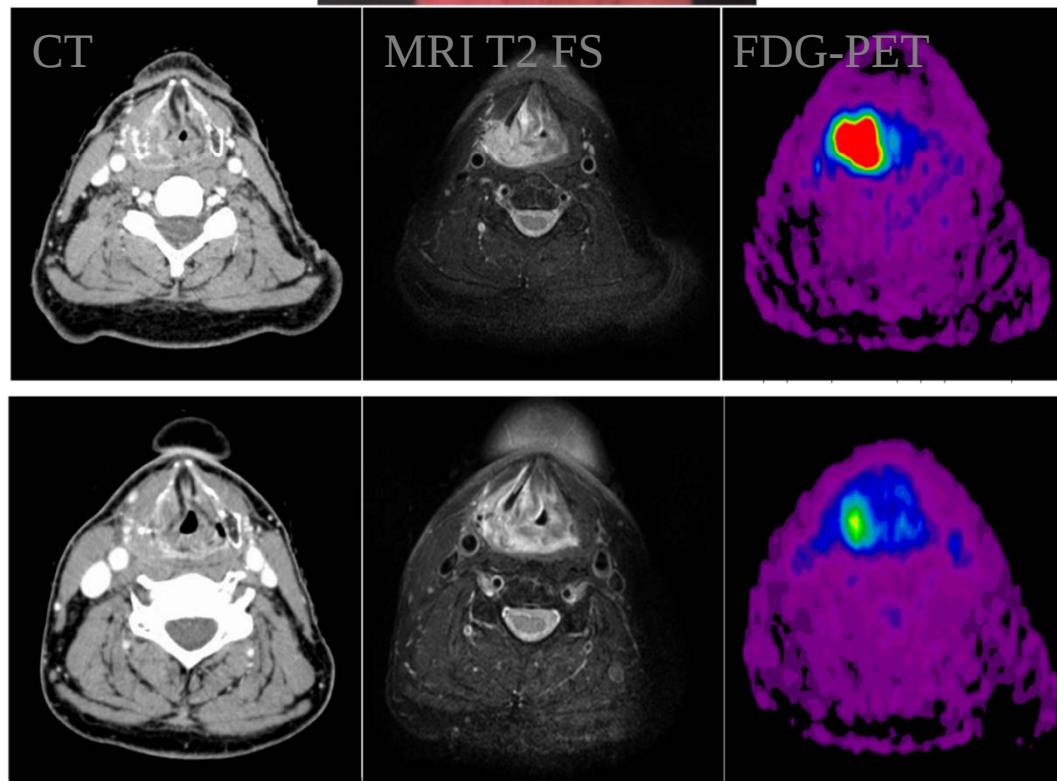
Issues for 3D-CRT and IMRT

- Multiple GTV, e.g. anatomic vs functional imaging; before and during treatment, ...,
- GTV to CTV margins: clinical probability,
- CTV to PTV margins: geometric probability; overlapping volumes,
- ITV ???
- OAR: open vs closed volume? Remaining normal tissues?
- PRV: planning organ at risk volume - serial vs parallel OAR.

Right piriform sinus
(ICDO-10: C12.9)
SCC grade 2
TNM 6th ed: T4N0M0



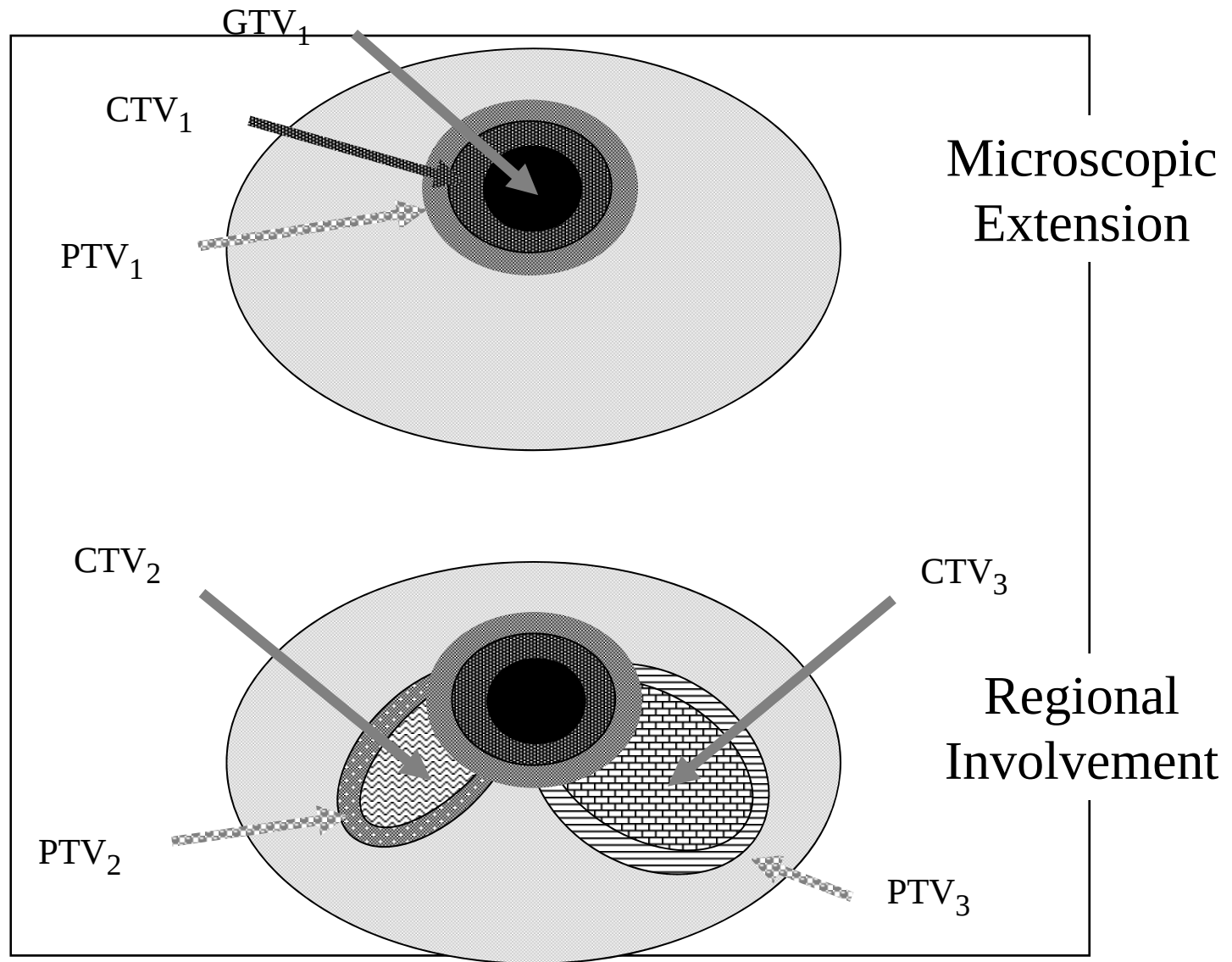
Fiberoptic examination



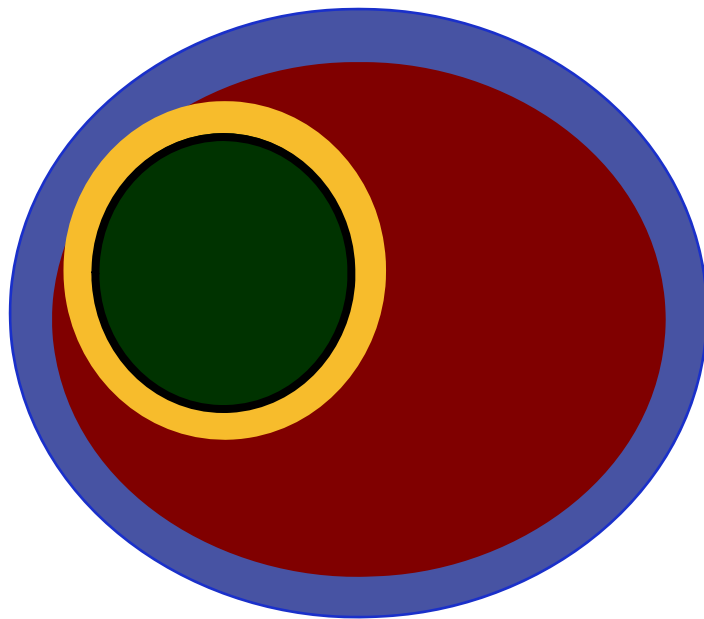
Before Rx-
CH

46 Gy (Rx-CH)

Two Types of Margins



Example 1



GTV₁ (pre-RxTh CT+ iv
contrast)

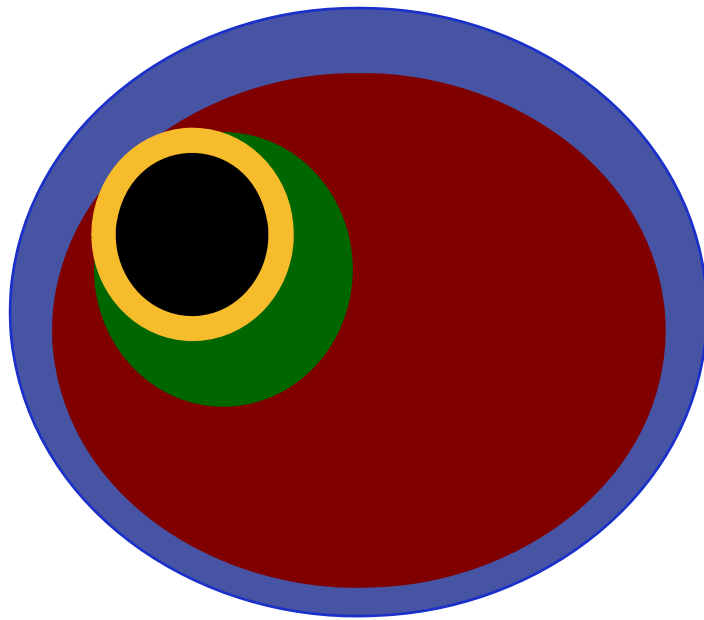
CTV₁

PTV₁: dose₁

CTV₂ = GTV₁

PTV₂: dose₂

Example 2



GTV₁ (pre-RxTh CT+ iv contrast)

CTV₁

PTV₁: dose₁

GTV₂ (FDG-PET @ 46 Gy)

CTV₂ = GTV₂

PTV₂: dose₂

Clinical Target Volume (CTV)

- The Clinical Target Volume (CTV) is a volume of tissue that contains a demonstrable GTV and/or subclinical malignant disease at a certain probability considered relevant for therapy....
- The CTV is thus an *anatomical-clinical* concept.

Clinical Target Volume (CTV)

- Sometimes the largest component of the margin between the GTV and CTV will be the delineation error in drawing the GTV,
- Consideration should be made for this in the clinical margin.

Target volumes in Radiation Oncology

Clinical Target Volume (CTV)



ELSEVIER

Radiotherapy and Oncology 56 (2000) 135–150

RADIOTHERAPY
& ONCOLOGY
JOURNAL OF THE EUROPEAN SOCIETY FOR
THERAPEUTIC RADIOLOGY AND ONCOLOGY

www.elsevier.com/locate/radonline

Review article

Selection and delineation of lymph node target volumes in head and neck conformal radiotherapy. Proposal for standardizing terminology and procedure based on the surgical experience

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Received 20 September 1999; received in revised form 28 March 2000; accepted 13 April 2000

Planning Target Volume (PTV)

The Planning Target Volume is a geometrical concept, introduced for treatment planning and evaluation. It is the recommended *tool* to shape dose distributions that ensure with a clinically acceptable *probability* that an adequate dose will actually be delivered to all parts of the CTV...

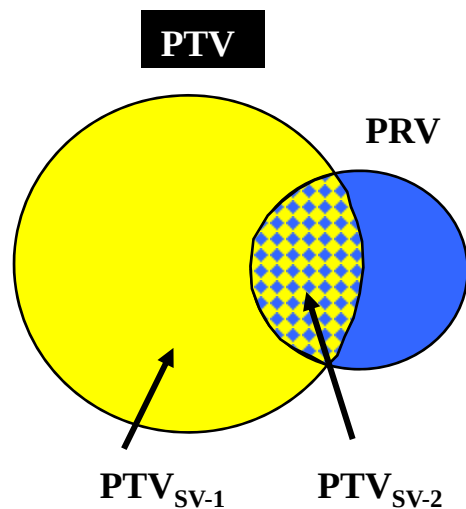
Planning Target Volume (PTV)

- Include both “internal” and “external” variations of the CTV,
- Separate delineation of the ITV is not necessary but motion should be included in the PTV,
- Expansion of the CTV using “rolling ball” algorithms,
- CTV to PTV margin recipe based on random and systematic errors, and beam penumbra,
- Priority rules when overlapping PTVs or PTV-PRV,
- Dose is prescribed and reported on the PTV.
- IMRT can result in hot and cold spots within the PTV.

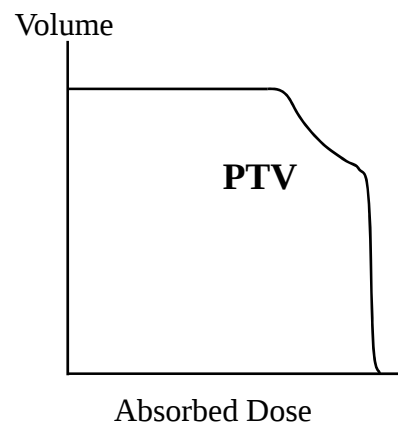
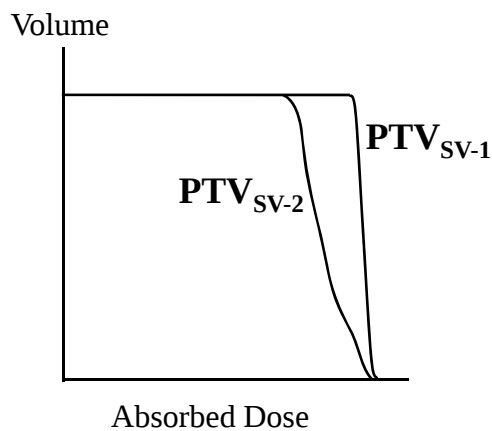
‘Cheating on the PTV Margins’

- The practice of shrinking the CTV to PTV margin to accommodate an OAR is discouraged as it results in a deceptively better PTV homogeneity,
- In IMRT the trade-off can be accomplished by changing the planning aims in the optimizer,
- In 3-D CRT, the trade-off can be accomplished with a separate target delineation used to draw the beam boundary.

Can Use Sub-Volumes to Guide Optimization



$$\text{PTV} = \text{PTV}_{\text{SV-1}} + \text{PTV}_{\text{SV-2}}$$



CTV to PTV Margin Recipe

Author	Application	Recipe	Assumptions
Bel et al 1996b	Target	0.7 s	Random errors only (linear approximation) $\bar{S}$ Monte Carlo
Antolak and Rosen 1999	Target	1.65 s	Random errors only, block margin?
Stroom et al 1999	Target	2 S + 0.7 s	95% dose to on average 99% of CTV tested in realistic plans
Van Herk et al 2000	Target	2.5 S + 0.7 s or (more correct): 2.5 S + 1.64 (s - s _p)	Minimum dose to CTV is 95% for 90% of patients. Analytical solution for perfect conformation
McKenzie et al 2000a	Target	2.5 S + b (s - s _p)	Extension of van Herk et al for fringe dose to due to limited number of beams
Parker et al 2002	Target	$S + \sqrt{(s^2 + S^2)}$	95% minimum dose and 100% dose for 95% of volume. Probability levels not specified
Van Herk et al 2002	Target	2.5 S + 0.7 s - 3 mm or (more correct): $\sqrt{2.7^2 S^2 + 1.6^2 s^2} - 2.8 mm$	

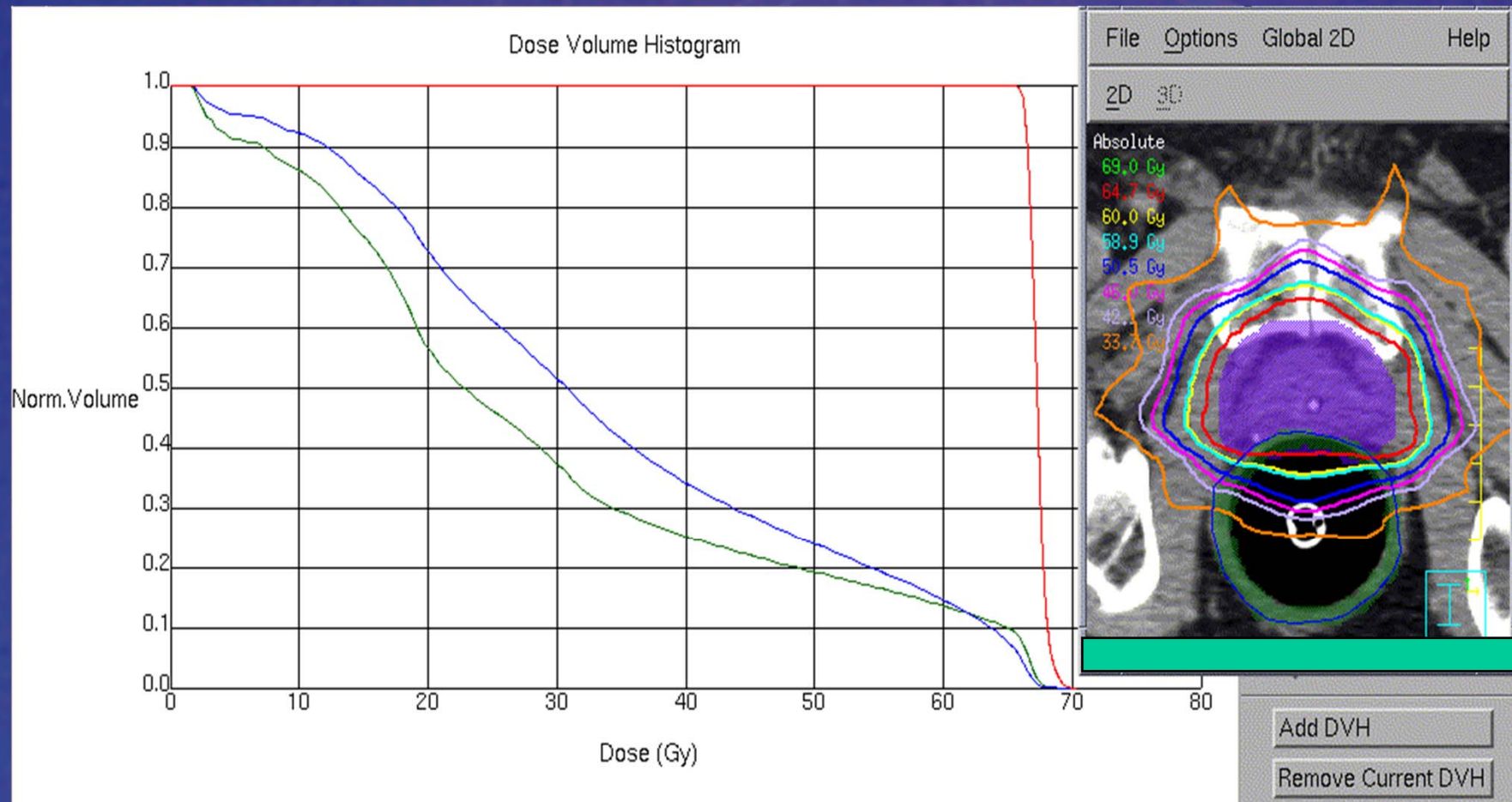
Symbols: S = SD of systematic errors; s = SD of random errors; s_p = describes width of beam penumbra fitted to a Gauss function; A = Peak-peak amplitude of respiration.

Van Herk,
2003

Organ At Risk (OAR) and Remaining Volume at Risk (RVR)

- Distinction between “serial-like” (e.g. spinal cord) and “parallel-like organs” (e.g. parotid gland),
- For “tubed” organs (e.g. rectum) wall delineation,
- Remaining Volume at Risk (RVR): aids optimization and may assist in evaluating very late effects (e.g. carcinogenesis).

Organ At Risk (OAR)



Prostate
Rectum With Contents
Rectal Wall

Contents of tubed
organs should not be
included

Planning Organ at Risk Volume (PRV)

- PRV is a geometrical concept (*tool*) introduced to ensure that adequate sparing of OAR will actually be achieved with a reasonable *probability*,
- A positive OAR to PRV margin for serial organ.
- Dose-volume constraints on OAR are with respect to the PRV,
- Priority rules when overlapping PTVs or PTV-PRV(OAR),
- Dose metrics are reported to the PRV.

Absorbed Dose in Radiation Oncology: ICRU 50 and 62:

Dose prescription:

- Responsibility of the treating physician.

Dose reporting:

- ICRU reference point,
- Three-levels of dose reporting,
- Point-doses: $D_{\text{ICRU point}}$, D_{min} , D_{max} , ...

Dose recording.

Issues for IMRT

- Discrepancy between dose-volume constraint prescription and dose delivery,
- Single point dose prescription,
- Single point dose reporting,
- Biological metrics (e.g. EUD, TCP, NTCP, ...),
- Uncertainties in dose prescription and reporting,
- More quality assurance required.

PRE-RADIOTHERAPY WORKUP

Diagnosis

Patient
History

3-D Imaging and
Staging

Multi-Disciplinary
Tumor Board

RADIOTHERAPY PREPARATION

Immobilization

3-D Planning
Images

Delineation of Volumes of
Interest (VOIs), E.g. GTV,
CTV, OAR

PLANNING

Planning Aims

Optimized
Treatment Plan

Modification of Aims
and Creation of TV or
Avoidance Structures

Prescription
and
Technical
Data

Accepted
Treatment Plan

Optimizer

DELIVERY

Setup Patient
with
Immobilization

Image
Verification

Adjust
Setup

Treat

PLAN ADAPTATION (if necessary)

RECORD AND REPORT

RADIOTHERAPY PREPARATION

Immobilization

3-D Planning
Images

Delineation of Volumes of
Interest (VOIs), E.g. GTV,
CTV, OAR

PLANNING

Planning Aims

Optimized
Treatment Plan

Modification of Aims
and Creation of TV or
Avoidance Structures

Prescription
and
Technical
Data

Optimizer

Accepted
Treatment Plan

DELIVERY

Setup Patient
with
Immobilization

Image
Verification

Adjust
Setup

Treat

PLAN ADAPTATION (if necessary)

Evaluate
Dose
Delivered

Evaluate
Images and
Create New
VOIs

RECORD AND REPORT

Record

Level 2 or 3
Reporting

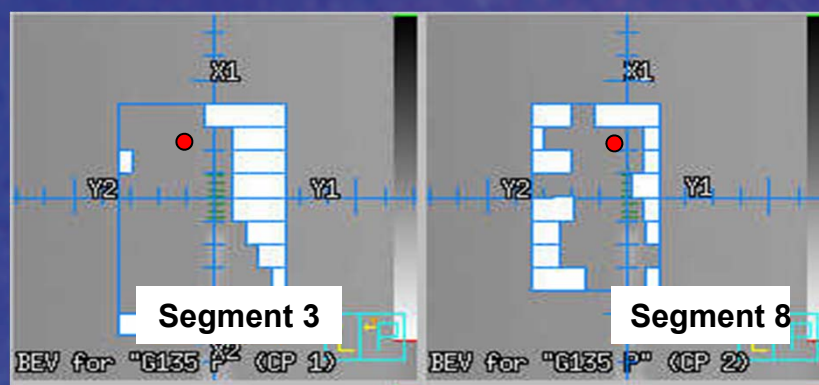
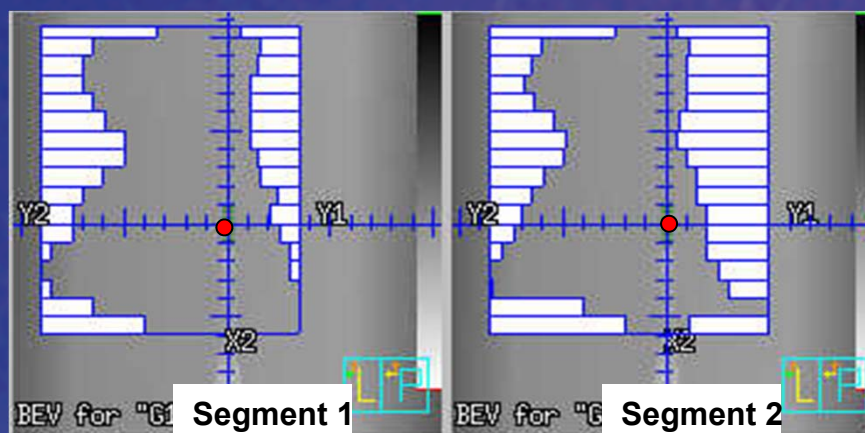
Dose Prescription in IMRT

- Planning aims:
 - PTV₁: dose_x, D-V constraints, ...,
 - Spinal cord: D_{max} = x Gy, ...,
 - ...
- Prescription:
 - Physician's responsibility,
 - Acceptance of doses, fraction #, OTT, D-V constraints, beam number, beam orientation, ...
- Technical data for treatment delivery:
 - Instruction file sent to the linac and/or RVS.

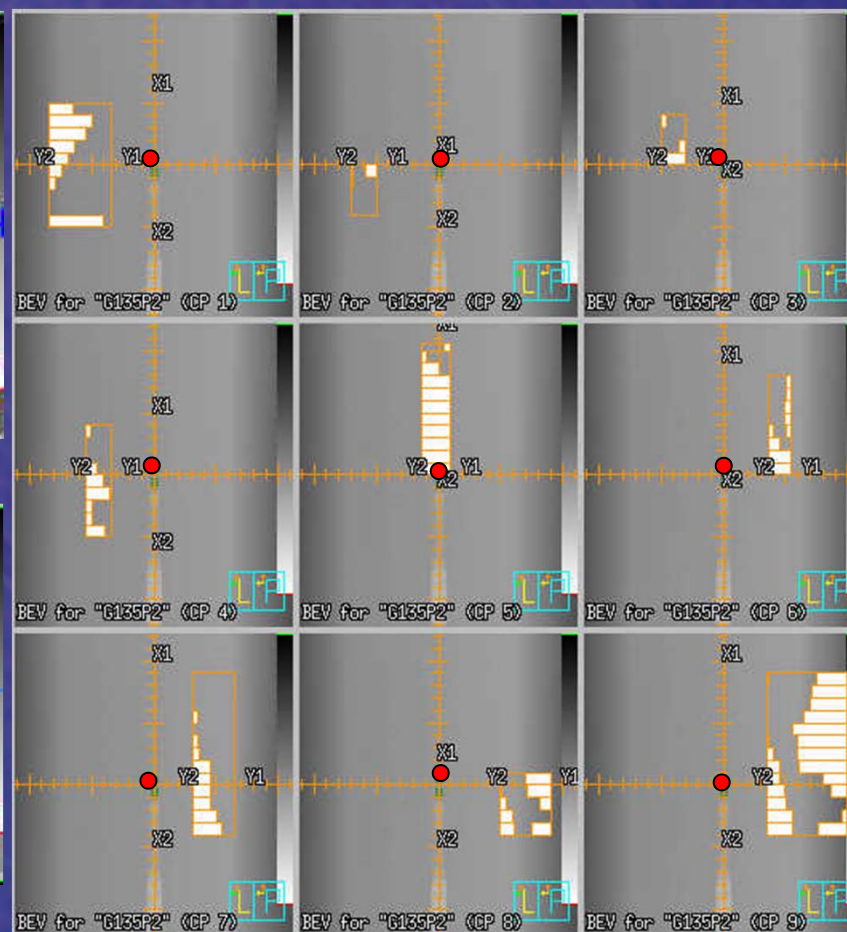
ICRU Levels of Reporting

- ~~• Level 1: not adequate for IMRT,~~
- Level 2: standard level for dose reporting,
- Level 3: homogeneity, conformity and biological metrics (TCP, NTCP, EUD, ...) and confidence intervals.

ICRU Reference Point Not A “Typical Point” for IMRT

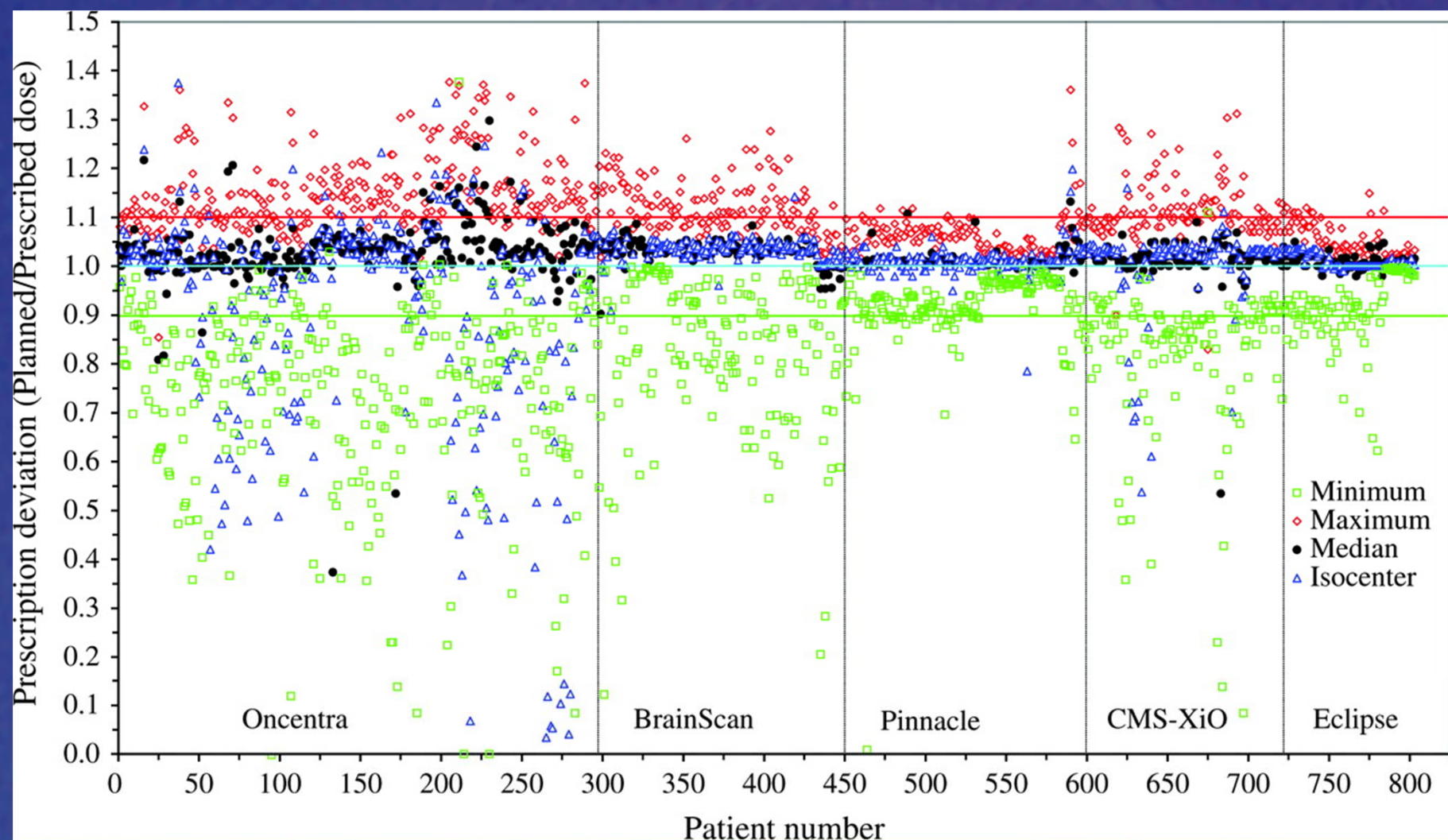


13 segment IM Field



Segments 4-7, 9-13

Reliability of Planning Metrics



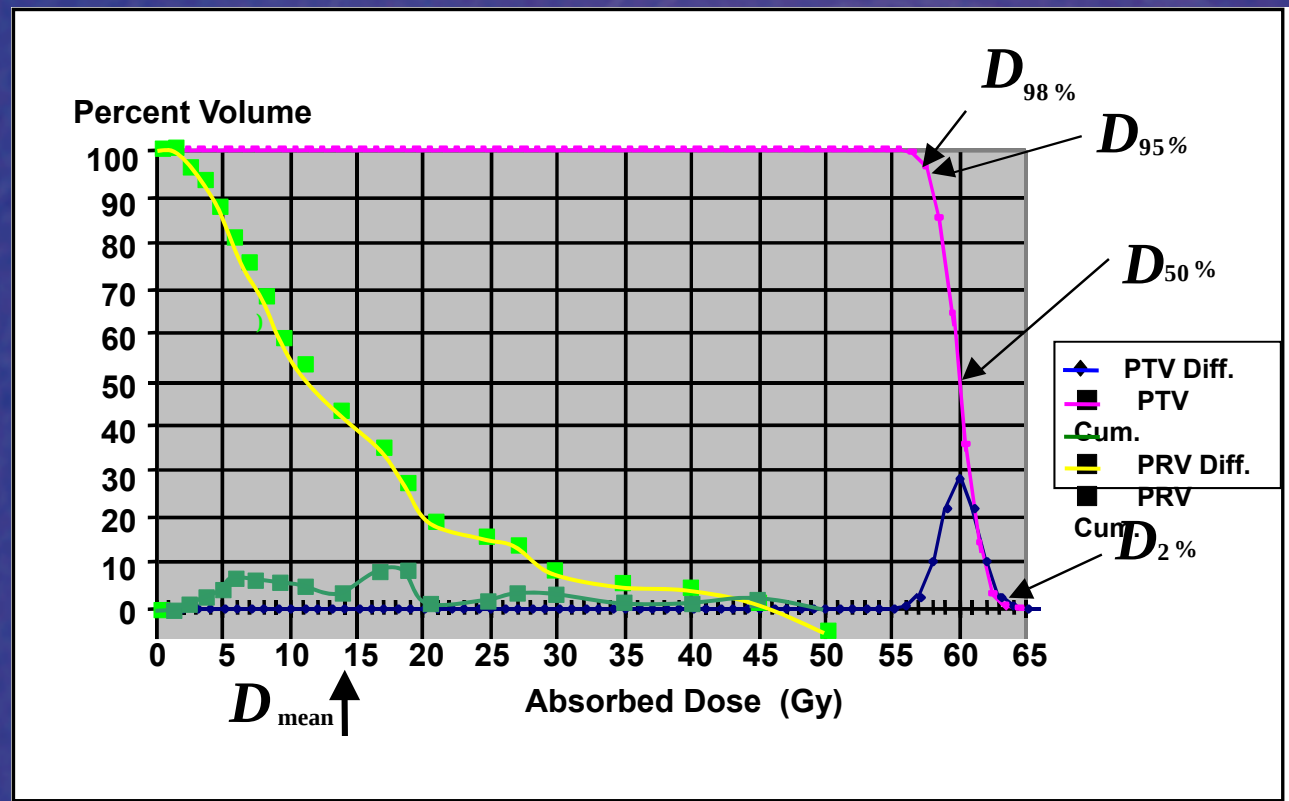
Median dose is most reliable

From Indra Das

Absorbed dose in Radiation Oncology: **Metrics for Level 2 Reporting of PTV**

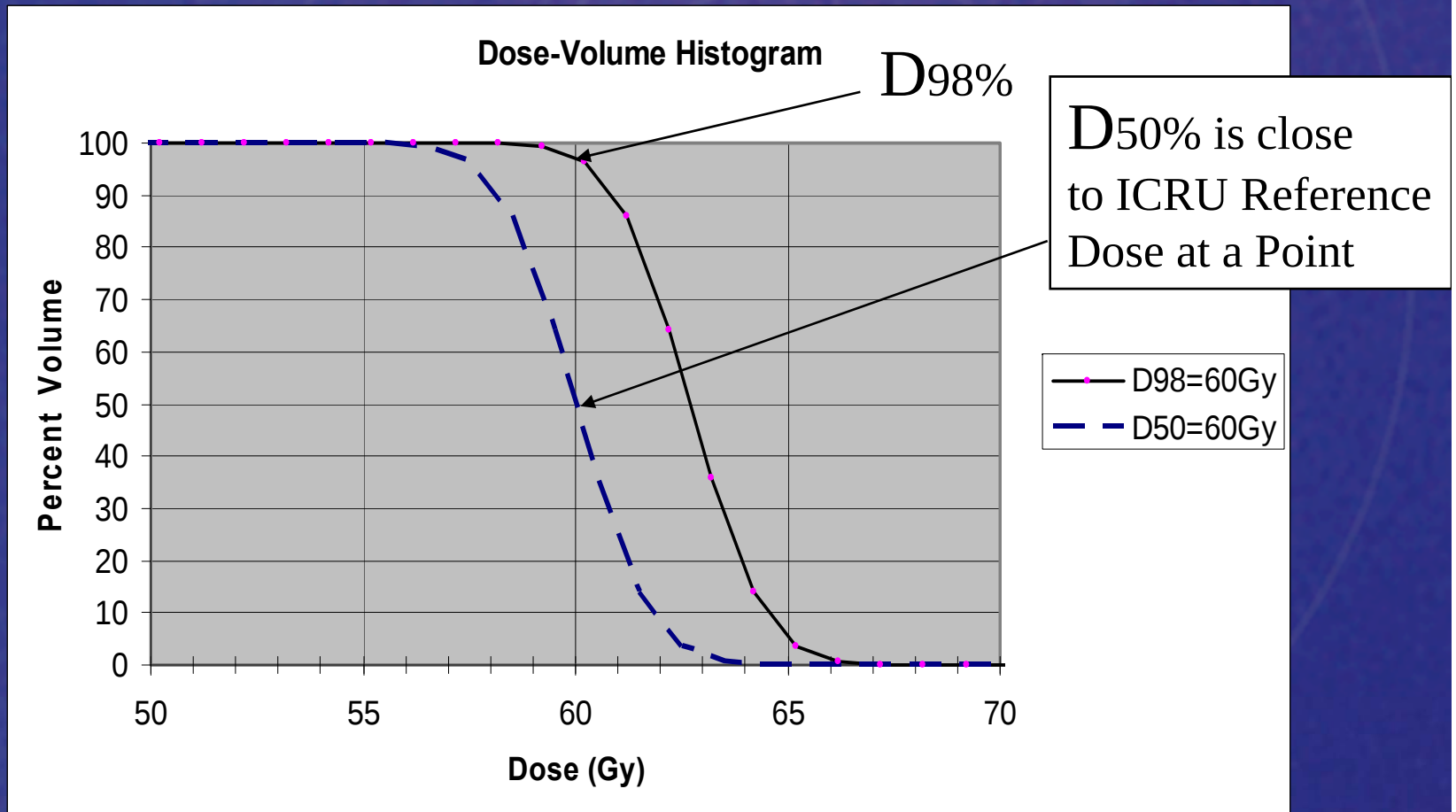
- Dose-volume reporting (ie., D_v)
 - $D_{50\%}$ (D_{median}), prescription value, e.g., $D_{95\%}$
 - D_{mean}
 - Near Minimum dose: $D_{98\%}$
 - Near Maximum dose: $D_{2\%}$
- State the make, model and version number of the treatment planning and delivery software used to produce the plans and deliver the treatment.

Dose-Volume Reporting



- Doses at a point are not as reliable as DVH near-min and near-max
- PTV median dose is the “typical dose” to the PTV
- PTV mean dose and PTV median dose are nearly identical
- PRV mean dose and PRV median dose are not necessarily similar

Dose-Volume Reporting

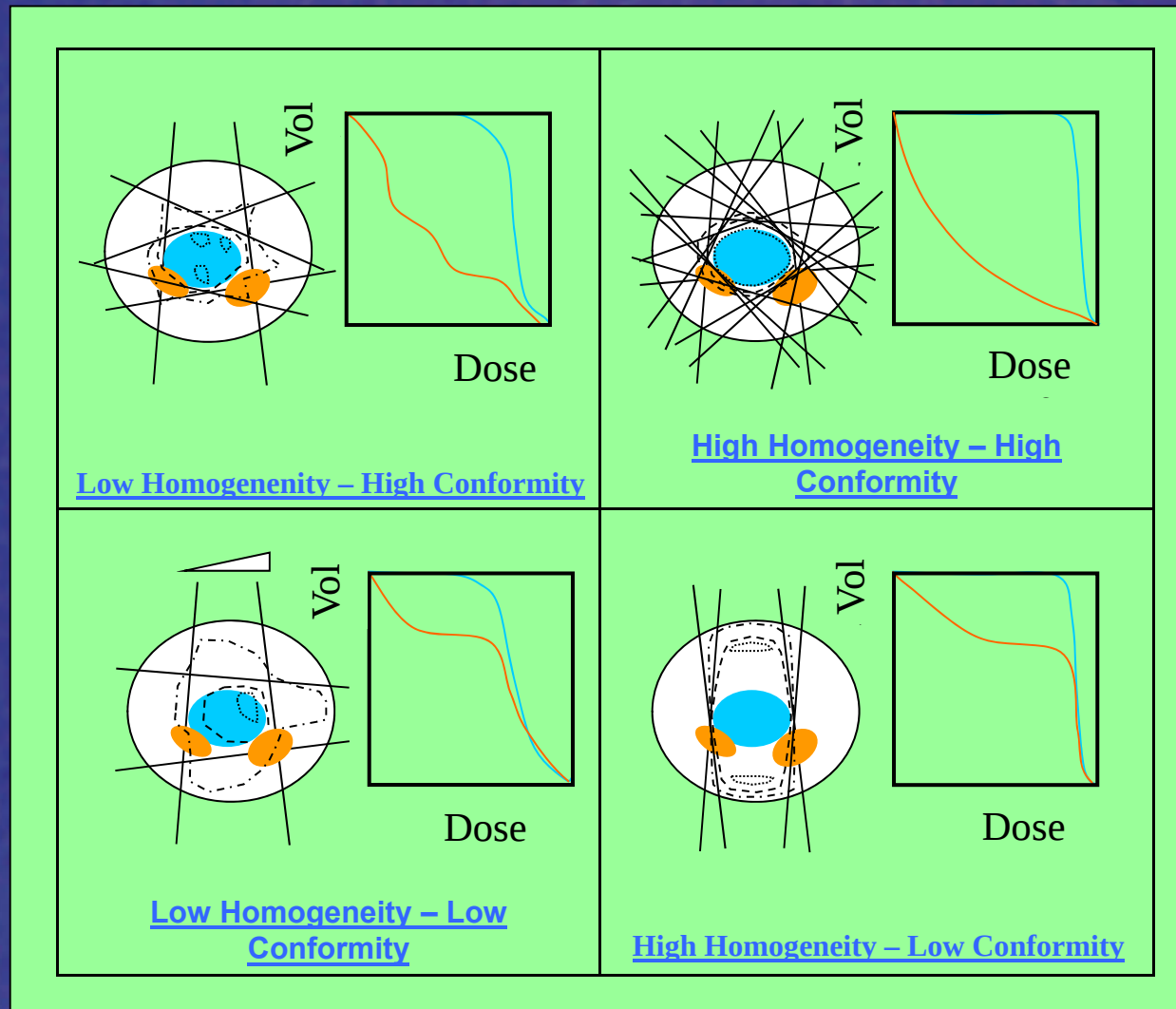


Dv with $v \neq 50$ may require a change in prescription value

Metrics for Level 2 Reporting of PRV

- “Serial-like” organs:
 - $D_{\text{near-max}} = D_{98}$.
- “Parallel-like” organs:
 - D_{mean} (e.g. parotid) ,
 - V_d where d refers to dose in Gy (e.g. $V_{20 \text{ Gy}}$ for lung).

Homogeneity and Conformity



Absorbed dose in Radiation Oncology:

Examples of Metrics for Level 3 Reporting of PTV

- Homogeneity:
 - Standard deviation in dose to the PTV.
- Conformity:
 - Conformity Index: $CI = TV_{presc}/PTV$,
 - Dice Similarity Coefficient (DSC):

$$DSC = 2(TV_{presc} \cap PTV)/(TV_{presc} + PTV)$$

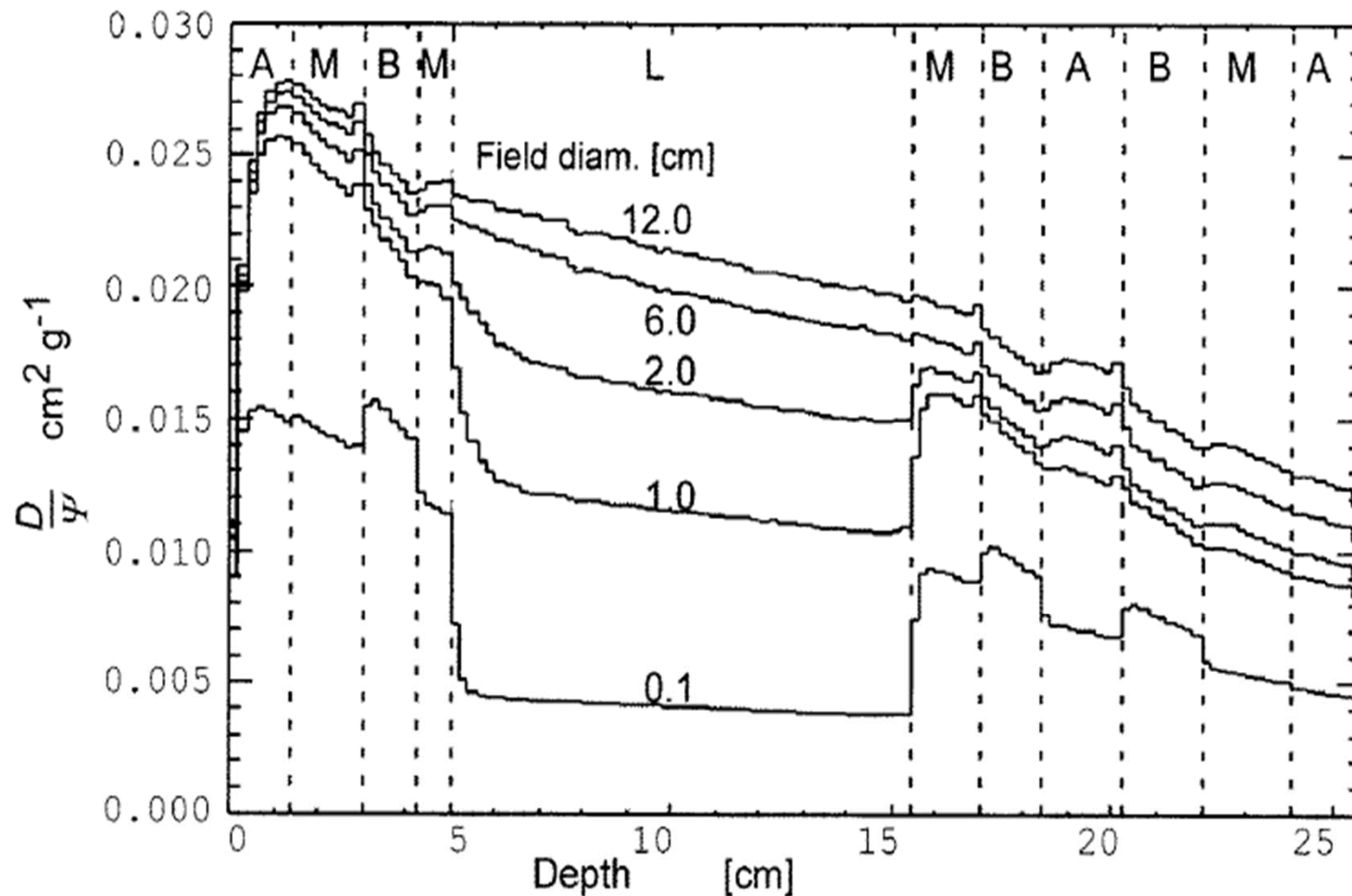
Absorbed dose in Radiation Oncology:

Recording in IMRT

- Electronic archiving for at least the life of patient or 5 years – whatever is longer,
- Complete reconstruction of the treatment technical data, plan and delivery record,
- For clinical trials, longer archiving if scientifically justified.

Use Doses Corrected for Tissue Heterogeneities

A=Adipose, M=Muscle, B=Bone, L=Lung 4 MV, Parallel Beam



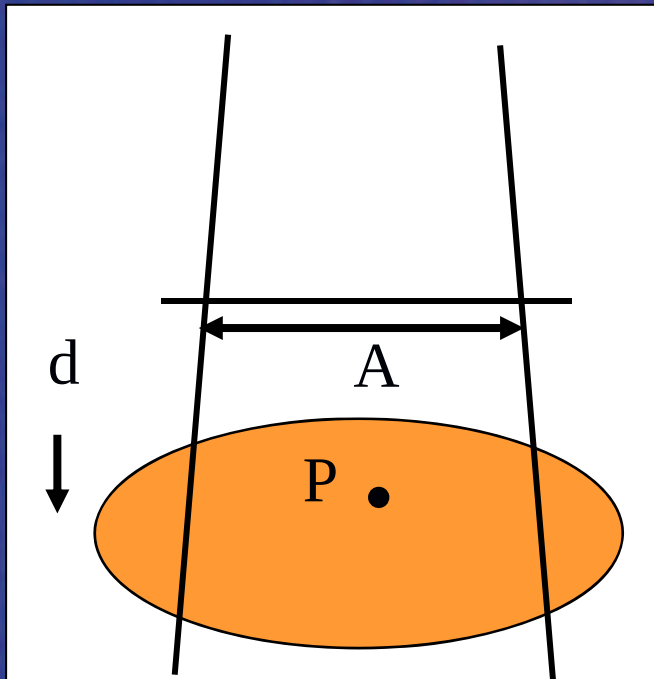
Absorbed dose in Radiation Oncology:

Report Dose to Water

- While the dose is corrected for tissue heterogeneities, the dose to a small mass of water in tissue is reported.
- Consistent with the older methods as well as convolution/superposition methods.
- Monte Carlo dose computation will have to be corrected to dose to a small mass of water in tissue

Monitor Units Calculations for Model-Based Dose Calculation

$$\frac{D}{MU}(A, r) = \frac{\Psi_0}{MU}(A, r) \frac{D}{\Psi_0}(A, r) (1 + b(A))^{-1}$$



Beam
Output



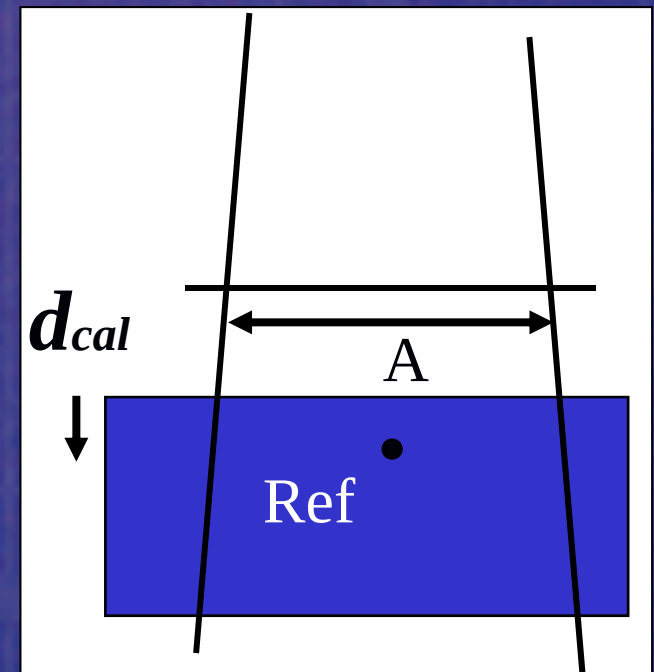
Computed
Directly



Correction to
Account for
Backscatter
Into Monitor
Chamber

Monitor Units Calculations for Model-Based Dose Calculation

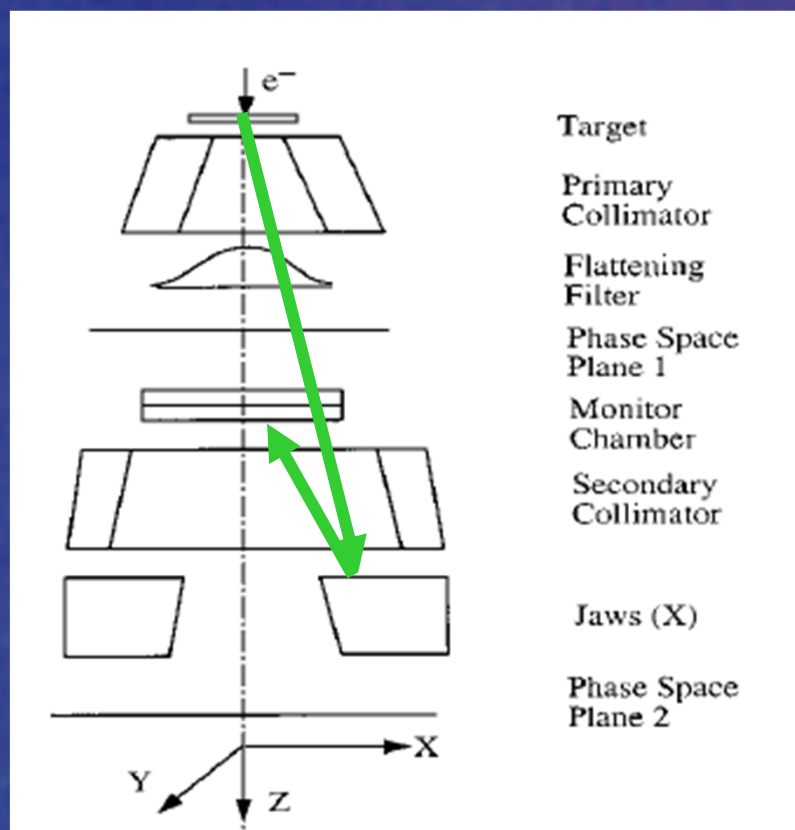
$$\frac{\Psi_0}{MU} = \frac{\left[\frac{D}{M}(A_{cal}, d_{cal}) \right]_{Measured}}{\left[\frac{D}{\Psi_0}(A_{cal}, d_{cal}) \right]_{Calculated}} (1 + b(A_{cal}))$$



Not including the effect of backscatter into the monitor chamber will result in about a 2% error at worst.

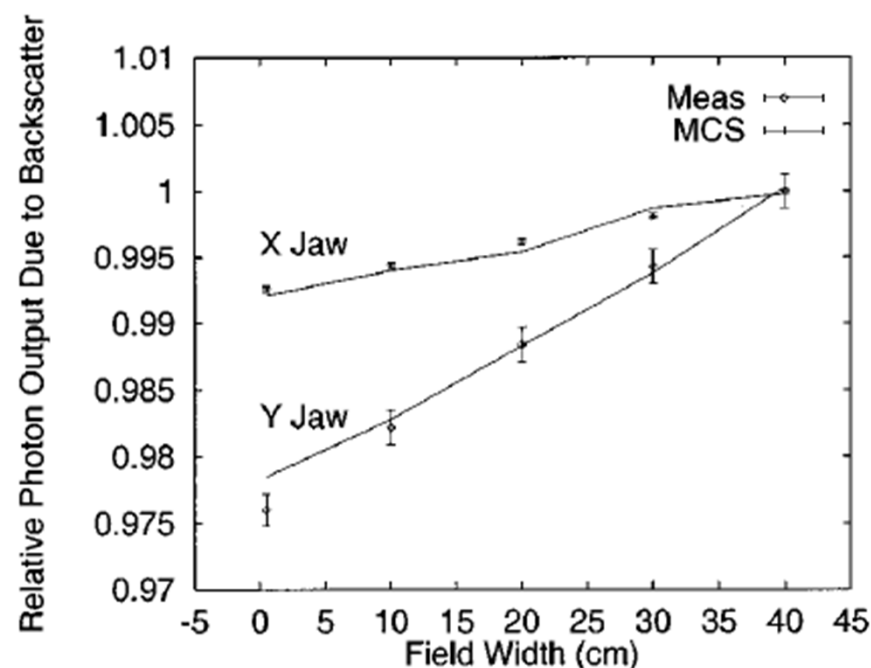
Backscatter into Monitor Chamber

The effect is due to backscattered photons entering the monitor and resulting in feedback to the linac to lower its output



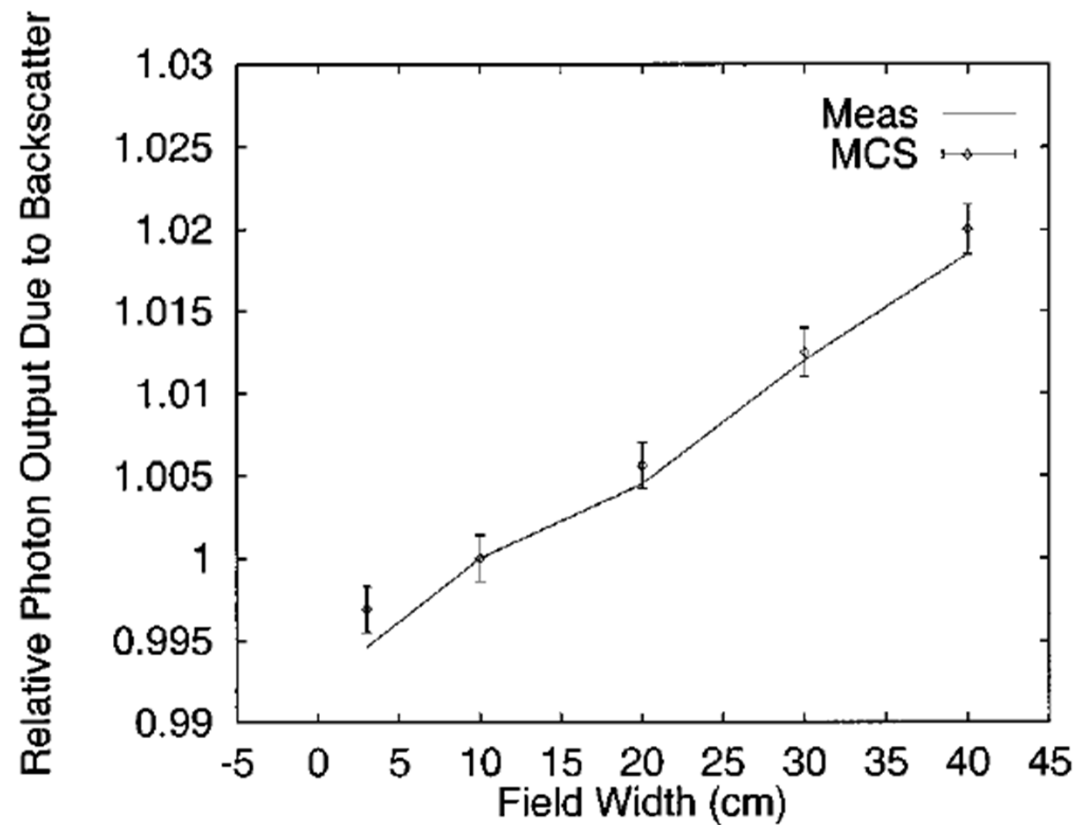
Liu et al.,
Med. Phys 2000;27:737-744

Varian 2100 – 10 MV. Results
with other jaw completely open



Monitor Backscatter for Square On-Axis Fields

Varian 2100 – 10 MV



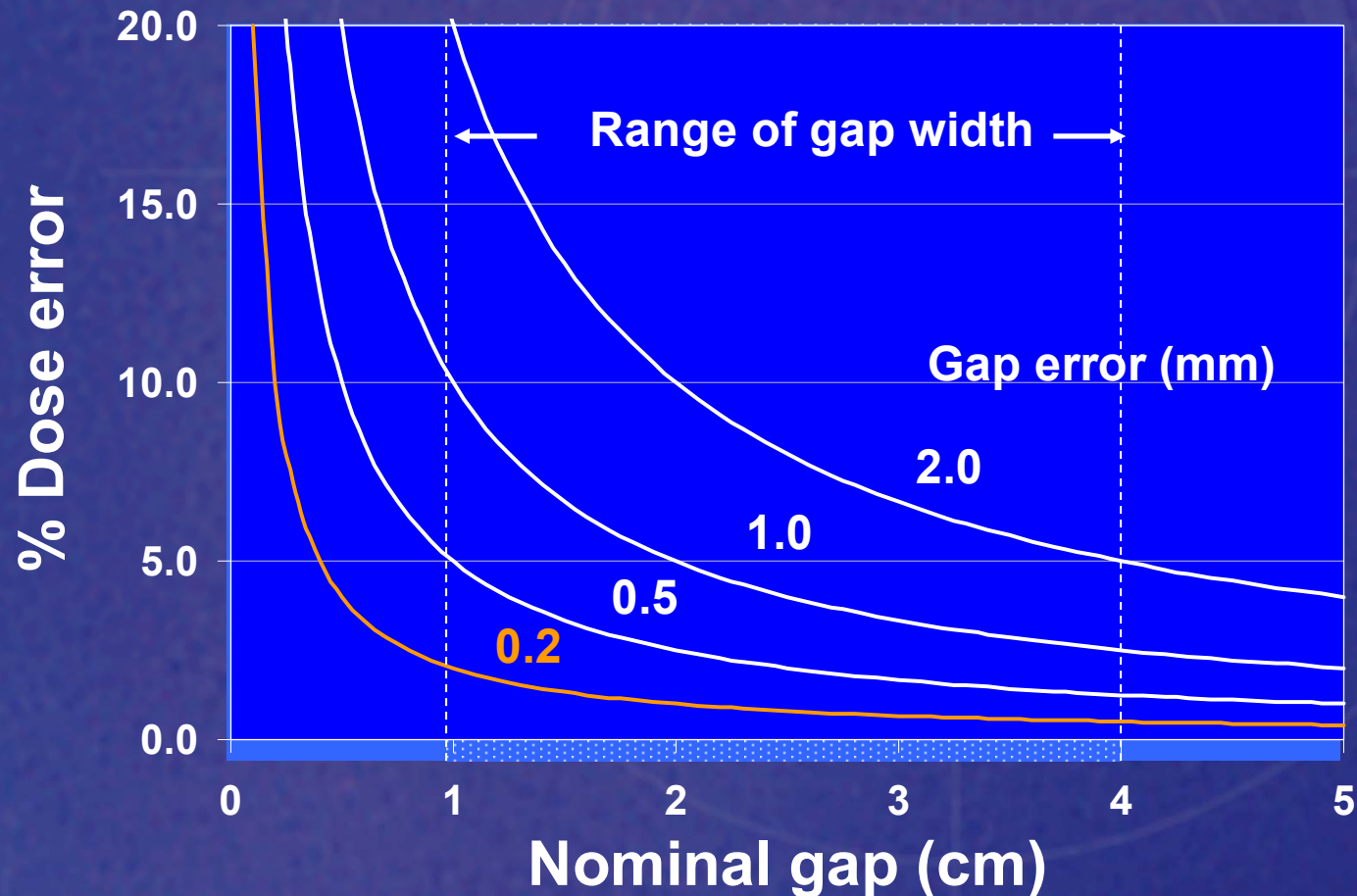
Liu et al., Med. Phys 2000;27:737-744

QA for IMRT

- **Appropriate QA of TPS and delivery equipment**
- **Patient-specific QA:**
 - **Delivery of individual fields into a dosimeter**
 - **Delivery of all of the fields into a phantom**
 - **Independent dose calculation algorithms with similar or better dose calculation accuracy**
 - **In-vivo dosimetry not limited to a single point.**

Gap Error is Fundamental fo Conventional MLCs

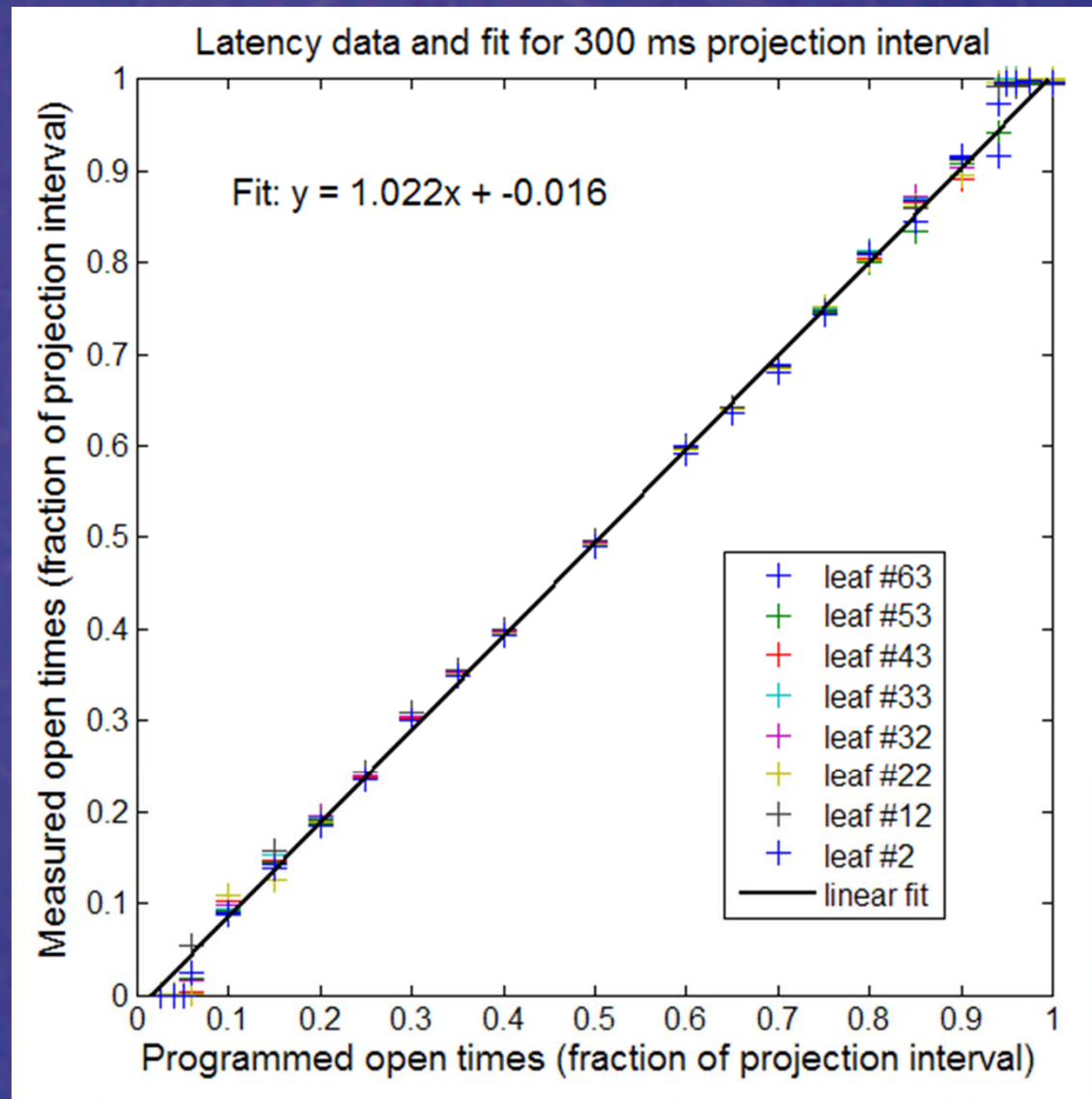
Gap error → Dose error



From Tom Losasso, Memorial Sloan Kettering

Leaf Latency is Fundamental to Binary MLCs

- TomoTherapy uses linear fit of measured data to model leaf latency
- Plans with small opening times lead to uncertainty in delivery – also leads to delivery inefficiencies



QA of Individual Fields

External diode/ion-chamber arrays

- MapCheck
- PTW Octavius phantom
- IBA Matrix

Integrated detector systems

- EPID portal dosimetry



End-to-End QA

peanut - Remote Desktop
TomoTherapy Planning Station -- TomoTherapy HQ

Patient: **GAMMEX_1_NOV_2003**
 No Photo
 DOB: Sex: **Unknown** Plan: **Plan_02**
 ID: **123456** Plan status: **Unapproved**
 Plan date: **Mar 24, 2004 8:09:54 AM** DQA plan:
 Oncologist: Patient position: **HFS**

What's Next
Define Rx Constraints
 Define constraints for tumors (details)
 Define constraints for sensitive structures (details)
 Set isodose display options (details)
 When you are satisfied, click Start to begin optimization.

User: **System User**

ROIs **Optimization** **Fractionation** **Delivery QA Setup** **Delivery QA Analysis**

Prescription
☒ % Vol For **ROI_2** **95** % will receive **10.0** Gy
☐ Stats
 Field Width: **4.98 cm - Jaws(2.0,-2.0)** Pitch: **0.300** Dose Calc Grid: **Normal**

Tumor Constraints

Name	Display	Color	Blocked	Use?	Importance	Max Dose [Gy]	Max Dose Pen.	DVH Vol [%]	DVH Dose [Gy]	Min Dose [Gy]	Min Dose Pen.
ROI 2	☒	None	☒	☒	50	10.0	100	95.0	10.0	10.0	100

Sensitive Structure Constraints

Name	Display	Color	Blocked	Use?	Importance	Max Dose [Gy]	Max Dose Pen.	DVH Vol [%]	DVH Dose [Gy]	DVH Pt. Pen.
ROI 1	☒	None	☒	☒	10	10.0	1	15.0	3.0	10
ROI 3	☒	None	☒	☒	10	10.0	1	15.0	3.0	10
ROI 1 Exp	☐	None	☐	☐						
ROI 2 Exp	☐	None	☐	☐						
ROI 3 Exp	☐	None	☐	☐						

Optimize
 Optimization Mode: **60 cm (Dose)**
☒ Beamlet
 Modulation Factor: **1.800**
 Start Pause Resume Get Full Dose Abort

Dose Display
☒ Isodose
 10.7
 10
 9.5
 9
 8
 7
 5
 3

Patient Images
 A
 R L
 P 61 HFS
 L
 GH F
 R 129 HFS
 A
 GH F
 P 129 HFS

Dose-Volume Histogram - Cumulative Mode Relative

Relative Volume (% Normalized)

Dose (Gy)

Vol Min < 0.0 > Vol Max < 100.0 > Gy Min < 0.0 > Gy Max < 11.0 >

Final Fraction Dose Calculation In Progress ...

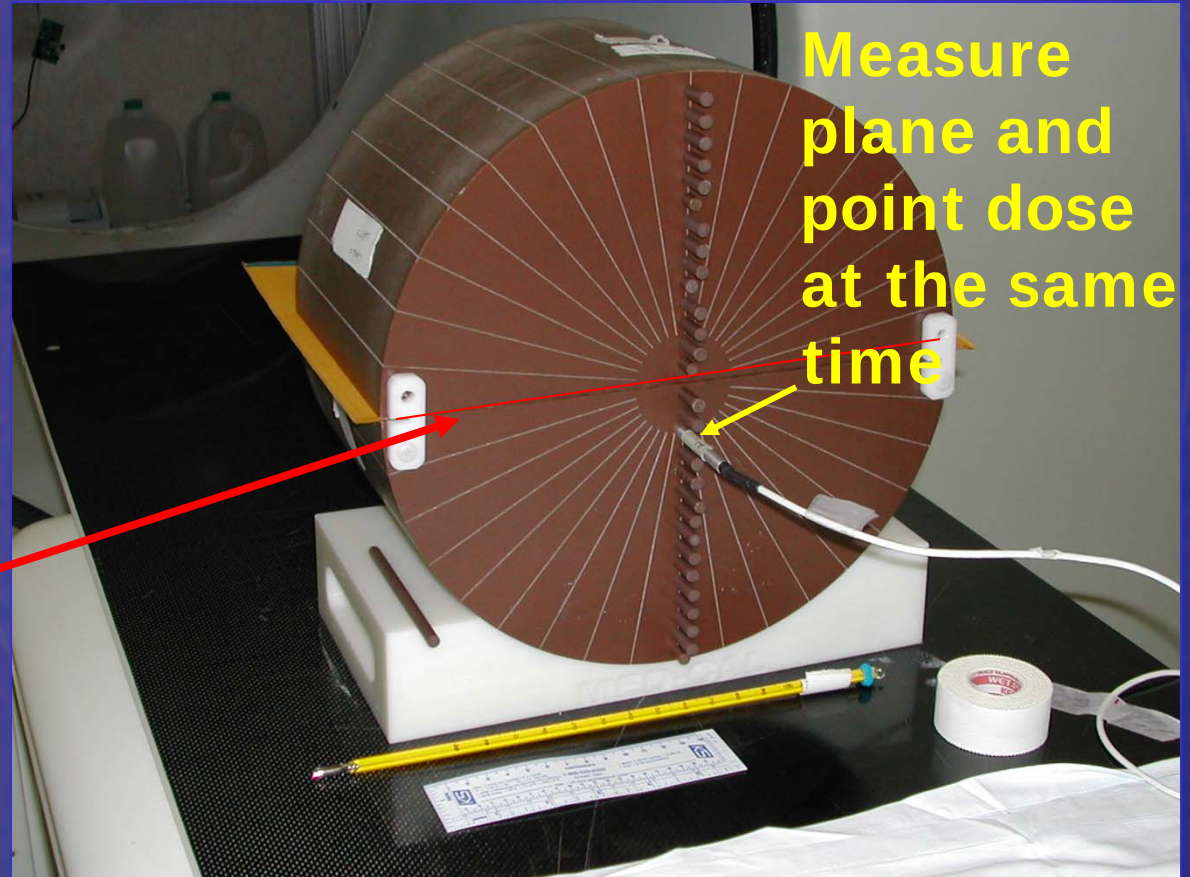
Wednesday, March 24, 2004 08:33:02

QA Measurements

**“Cheese”
Phantom
used for QA
measurements**

Film Plane

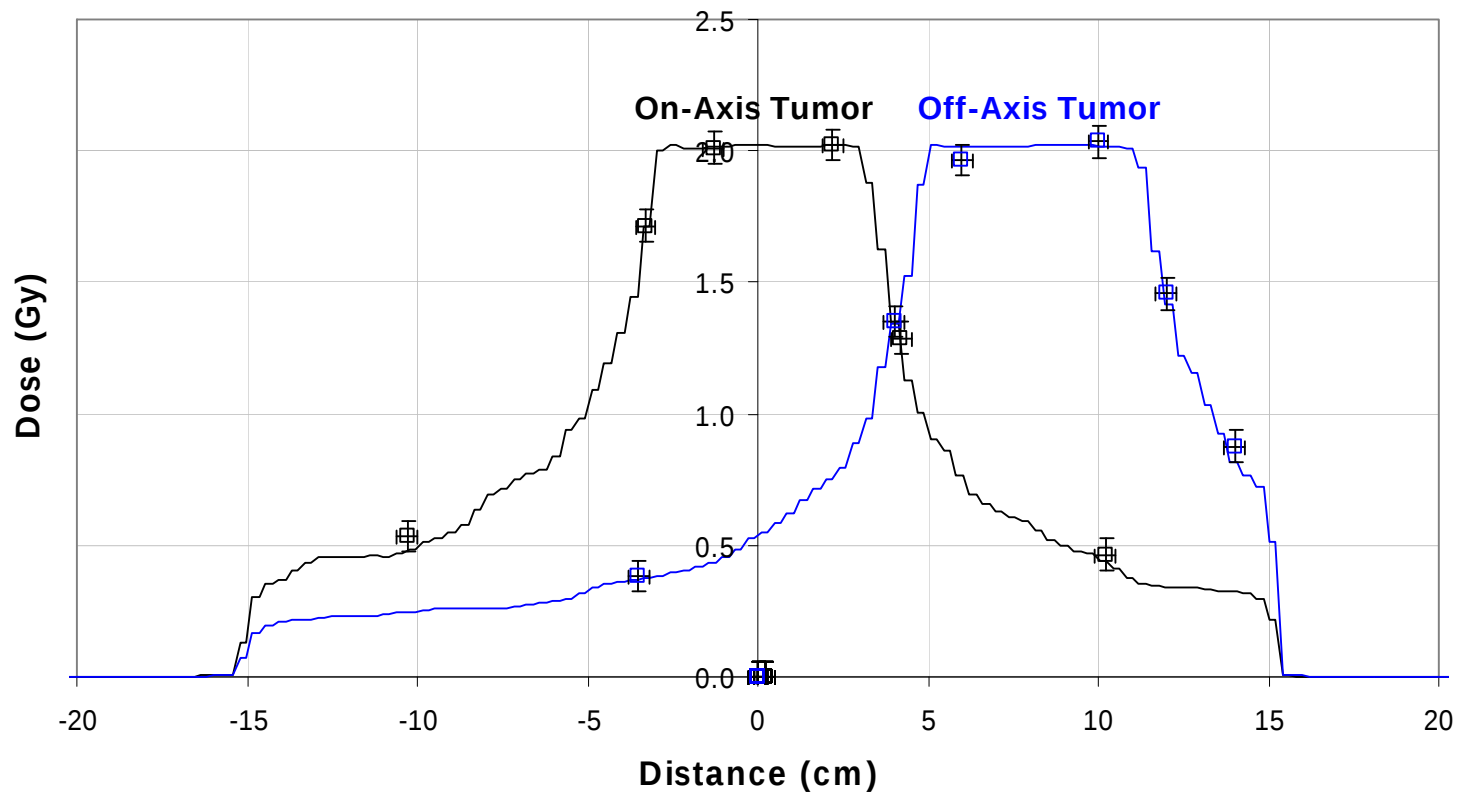
Phantom can be rotated
or turned to acquire any
orthogonal plane



On and Off-Axis Results

Film and Ion Chamber Absolute Dose

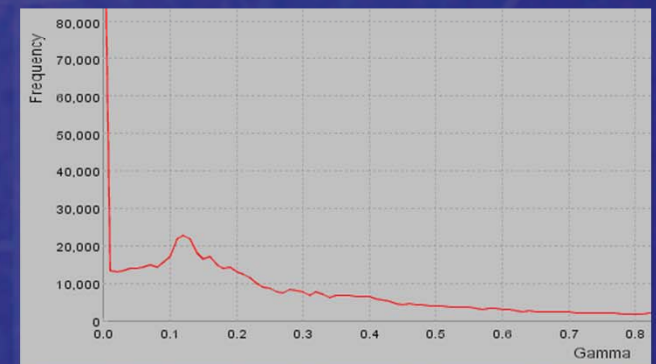
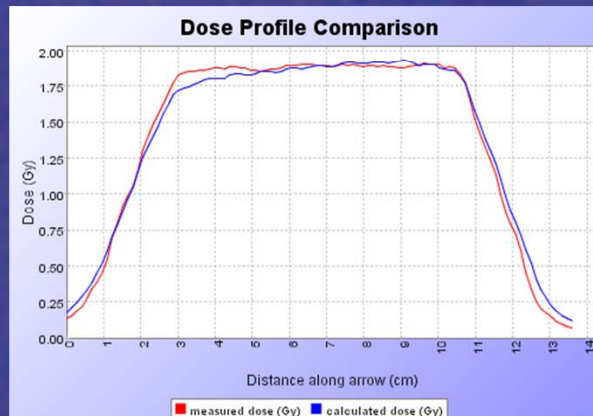
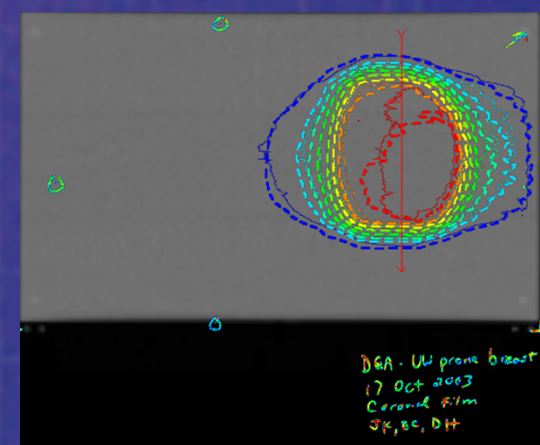
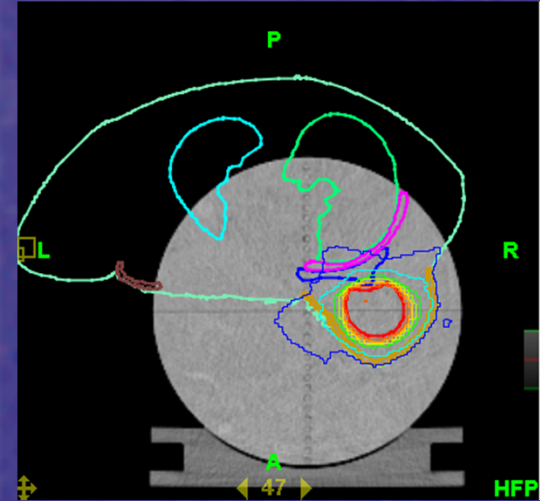
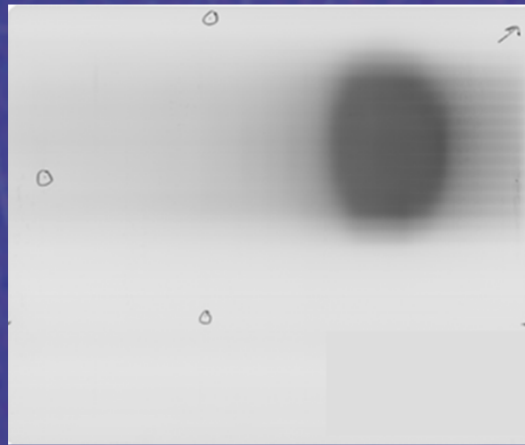
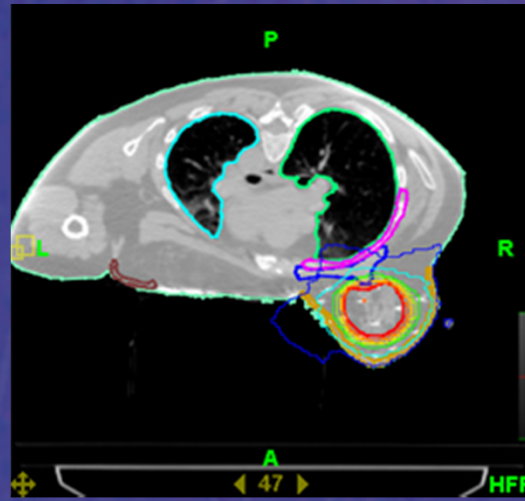
Delivered Dose: 2.5cm Treatment Beam



Tomotherapy Example

QA for All of the Fields

Tomotherapy Example



Delivery QA Panel

No Photo

Oncologist:

Patient position: HFS



What's Next

Calculate Dose or Reposition Phantom

- Adjust phantom position, if necessary.
- Use the Couch controls Remove the CT couch and/or Insert the TomoTherapy couch, if necessary.
- When ready, press **Start** to Calculate Dose Prediction

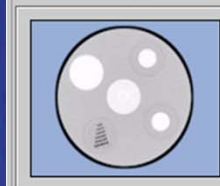


User: system user

ROIs Optimization Fractionation **Delivery QA Setup** Delivery QA Analysis

DQA Plan: Plan_01

Phantom Selector



Couch Controls

Remove Couch

Insert Couch

Revert to Orig

Phantom Tools



Restore Position

Move Phantom

Dose Calculation

Calculation Grid

Normal

Start

Cancel



Create Delivery QA Procedures

Displayed Image

☒ Patient ☐ Phantom

Isodose Selector

☒ Patient☐ Plan ☐ Local

Restore From Plan

☐ Phantom

Laser Control

☒ View Lasers☐ Move Lasers

Save Position

Dose Display

☒ Isodose

28
25.2
19.6
14
8.4

ROI Display

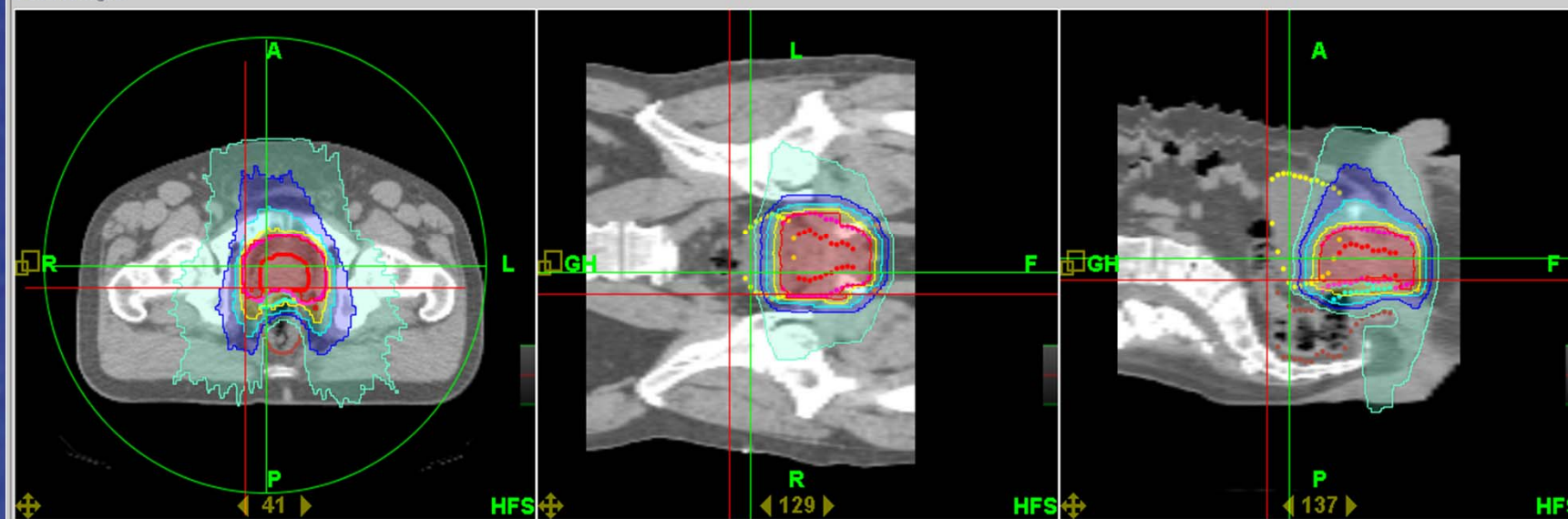
Tumor Settings

Name	Display	Color
Prostate	☒	Red
Ant Rect/Post PTV	☒	Cyan
PTV	☒	Magenta

Sensitive Structure Settings

Name	Display	Color
Rectum	☒	Brown
Bladder	☒	Yellow
Inf Border	☒	Orange
Femoral Heads	☐	Blue

Patient Images



Delivery QA Panel

No Photo

Oncologist:

Patient position: HFS

What's Next

Calculate Dose or Reposition Phantom

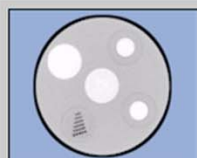
- Adjust phantom position, if necessary.
- Use the Couch controls Remove the CT couch and/or insert the TomoTherapy couch, if necessary.
- When ready, press **Start** to Calculate Dose Prediction

User: system user

ROIs Optimization Fractionation **Delivery QA Setup** Delivery QA Analysis

DQA Plan: Plan_01

Phantom Selector



Couch Controls

Remove Couch

Insert Couch

Revert to Orig

Phantom Tools



Restore Position

Move Phantom

Dose Calculation

Calculation Grid

Normal

Start

Cancel



Create Delivery QA Procedures

Displayed Image

☐ Patient ☒ Phantom

Isodose Selector

☒ Patient☒ Plan ☐ Local

Restore From Plan

☐ Phantom

Laser Control

☒ View Lasers☐ Move Lasers

Save Position

Dose Display

☒ Isodose

28

25.2

19.6

14

8.4

ROI Display

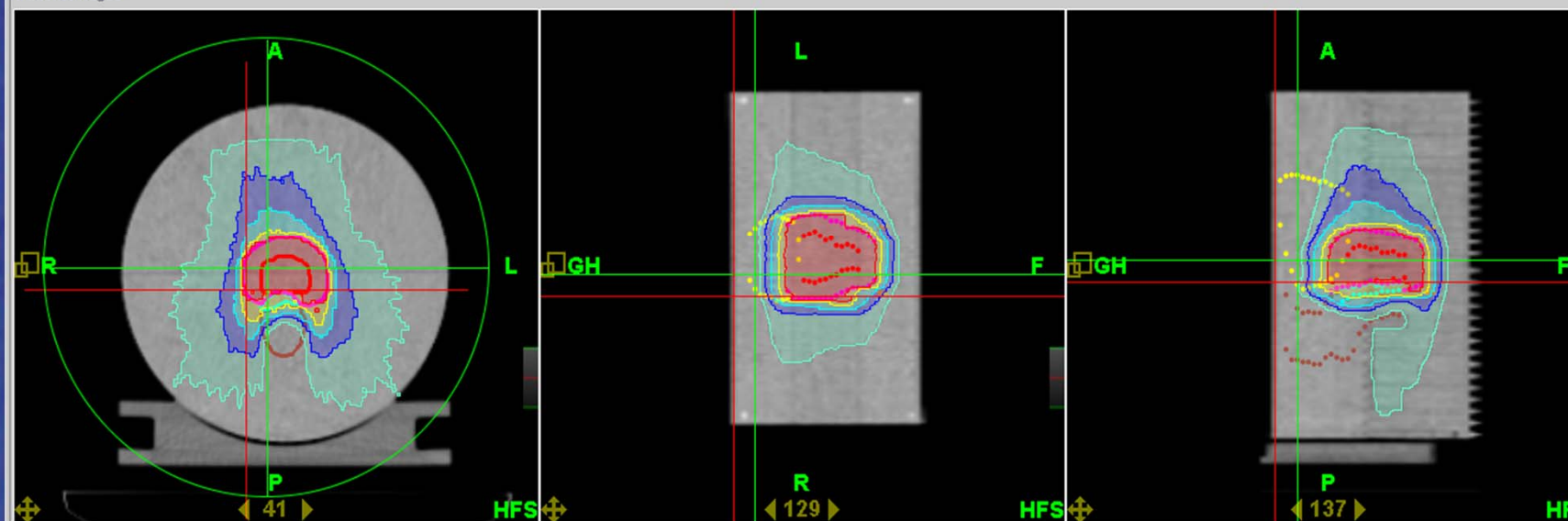
Tumor Settings

Name	Display	Color
Prostate	☒	Red
Ant Rect/Post PTV	☒	Cyan
PTV	☒	Magenta

Sensitive Structure Settings

Name	Display	Color
Rectum	☒	Brown
Bladder	☒	Yellow
Inf Border	☒	Orange
Femoral Heads	☐	Blue

Patient Images

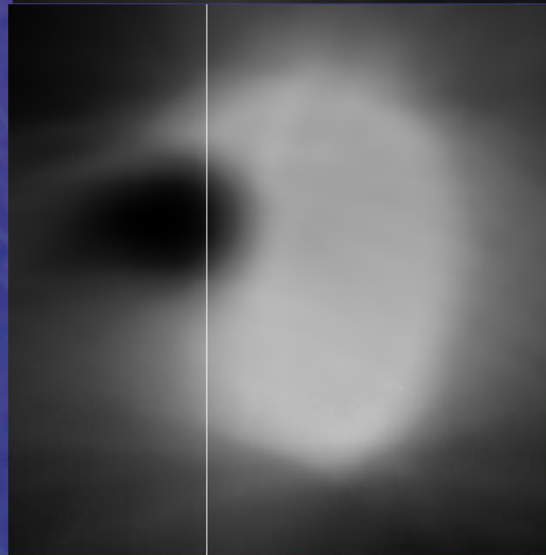
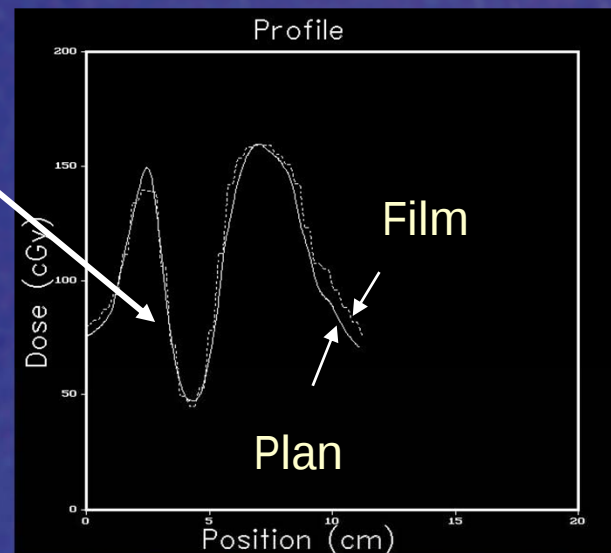
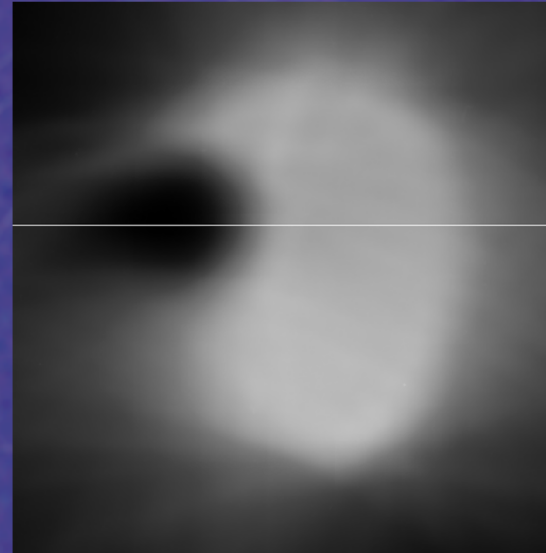
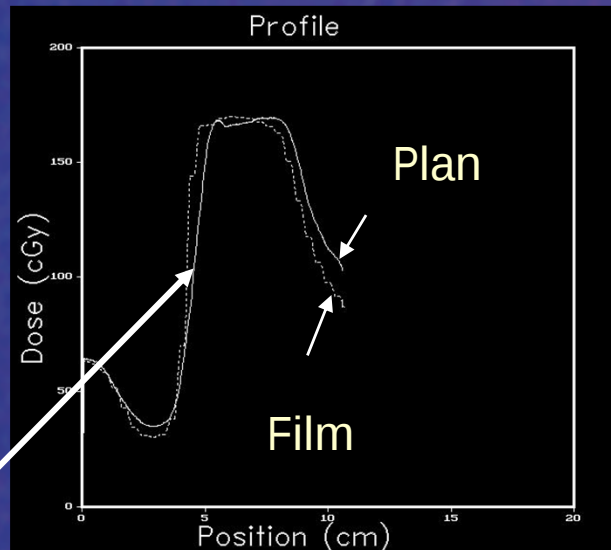


Tuesday, October 14, 2003

19:18:20

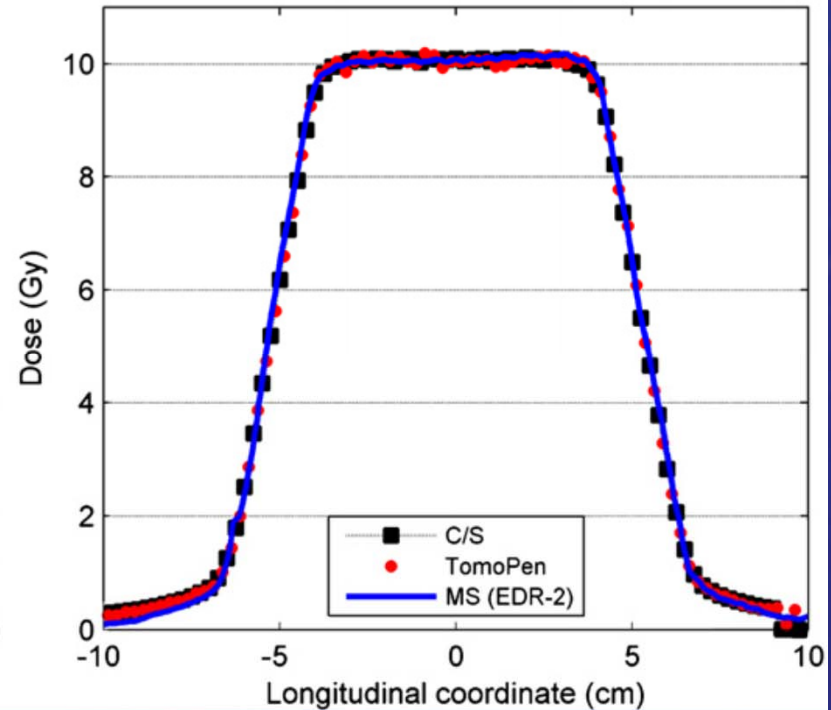
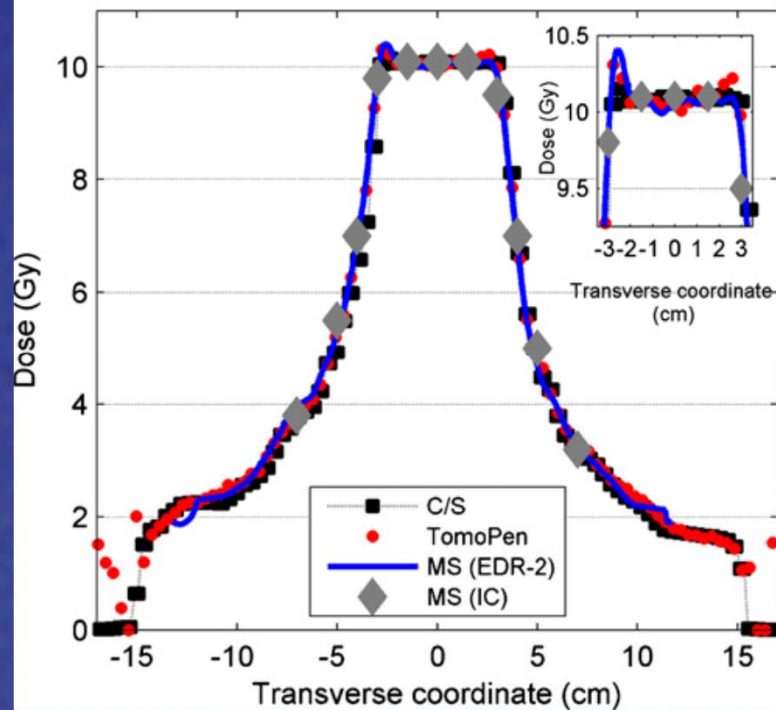
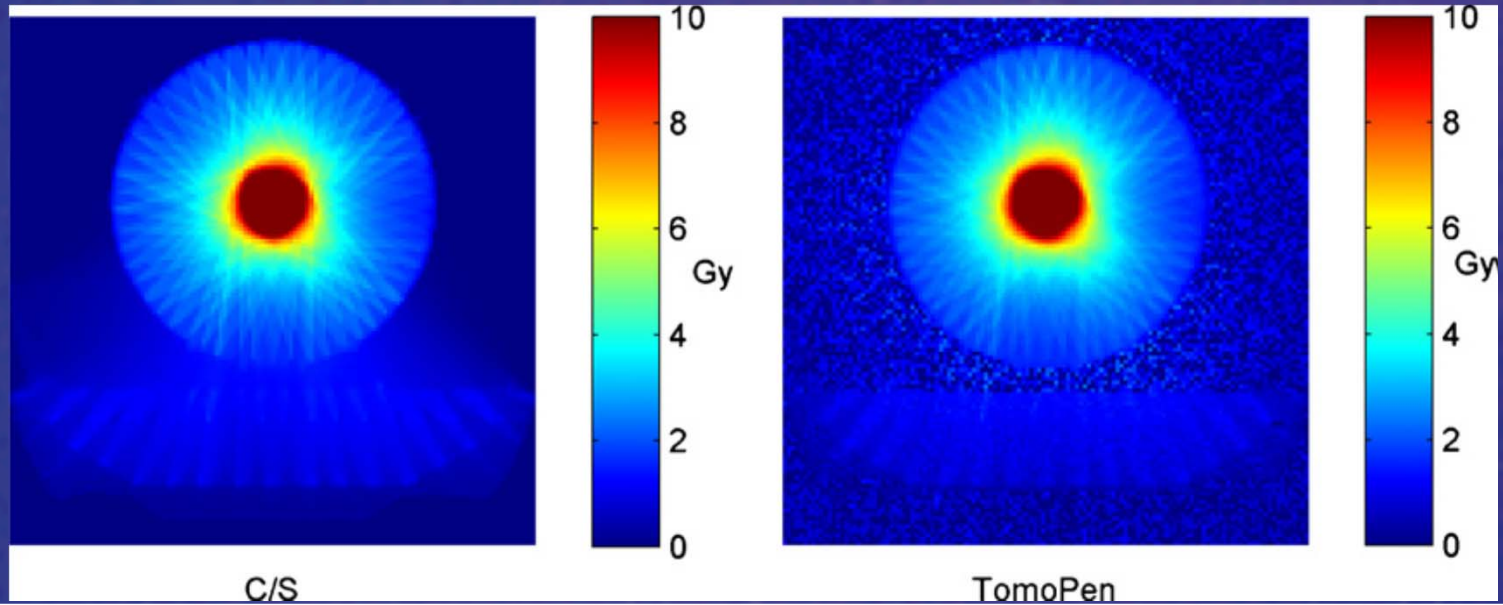
Comparison of Phantom Plan and Verification Film

Note the
High
Gradients



From Chet Ramsey, Thompson Cancer Survival Center

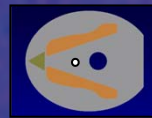
Independent Calculation



Gortec IMRT Test Phantom

TLDs are placed at seven locations.

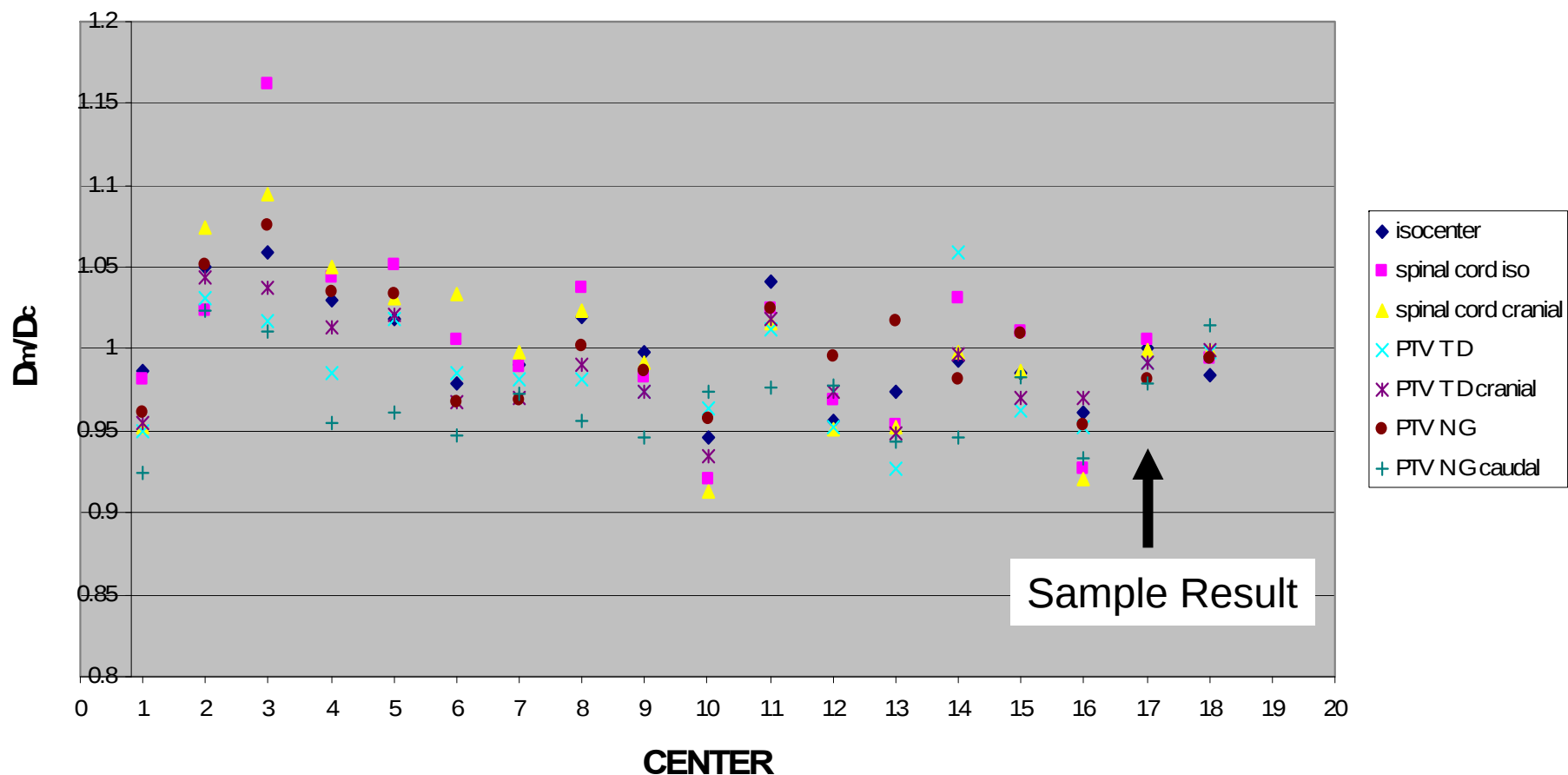
- Point 1: Isocenter
- Point 2: Spinal cord isocenter
- Point 3: Spinal cord cranial
- Point 4: PTV T R
- Point 5: PTV T R cranial
- Point 6: PTV N L
- Point 7: PTV N L caudal



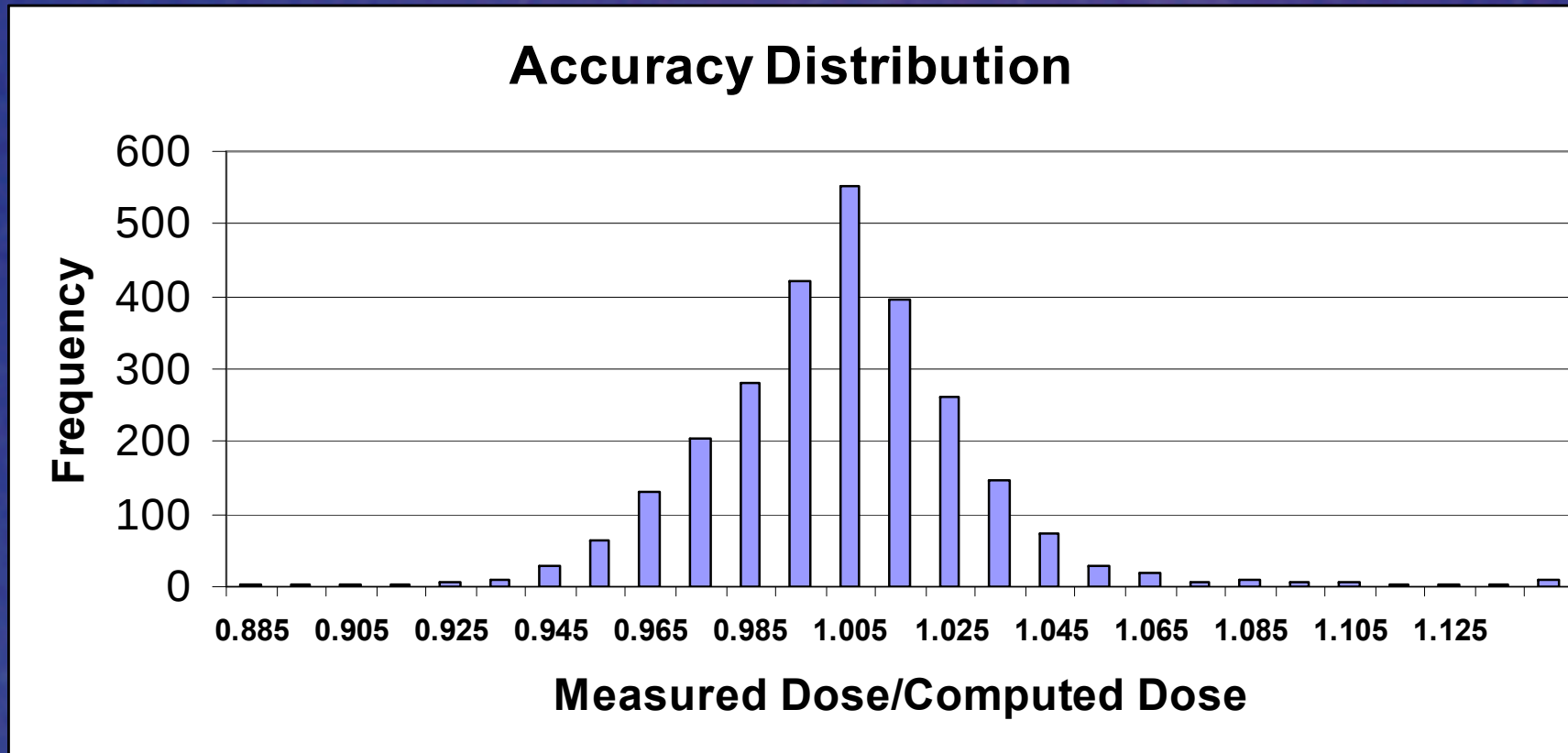
Courtesy M. Tomsej,
Brussels

Audit Results

$D_m/D_c = f(\text{CENTER})$ per meas. pt



Inter-Institution Dose Accuracy



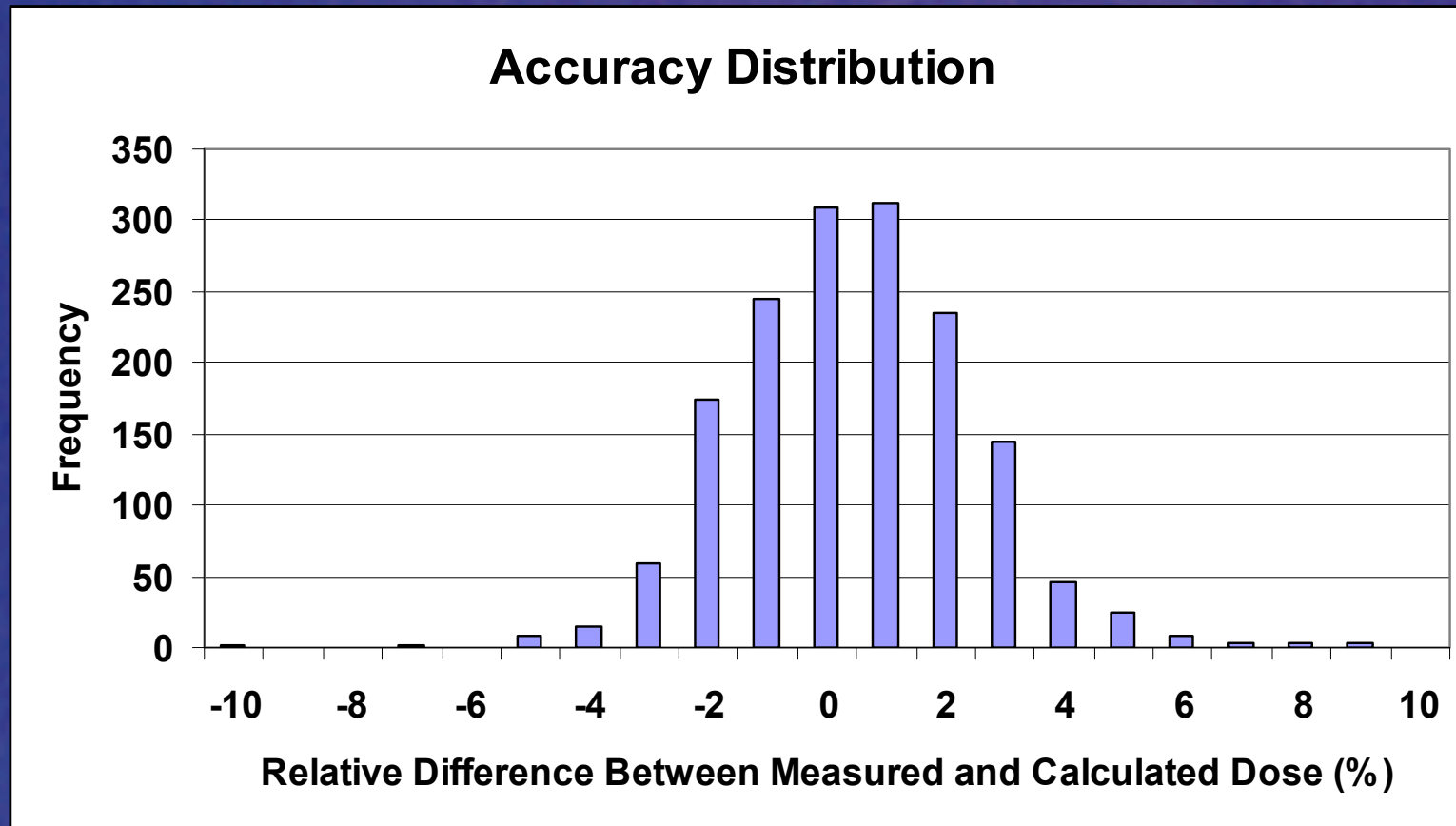
Number of Measurements = 2679

Mean = 0.995

Standard Deviation = 0.025

(Updated from Zefkili et al 2004)

Intra-Institution Dose Accuracy



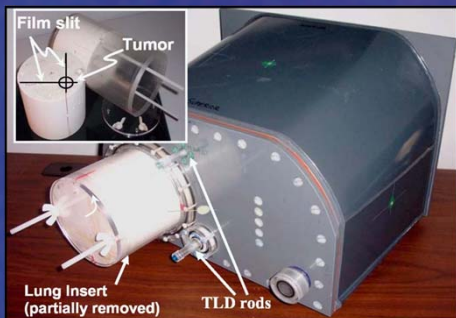
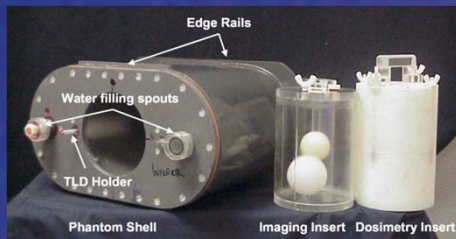
Number of Measurements = 1591

Mean = 0.45%

Standard Deviation = 2.5%

(Updated from Dong et al 2003)

IMRT Evaluation using Anthropomorphic Phantoms



Molineu *et al* IJROBP 2005
 Ibott *et al* Tech in Ca RT 2006
 Followill *et al* Med Phys 2007

Phantom Results

Comparison between institution's plan and delivered dose.

Criteria for agreement: 7% or 4 mm DTA (5%/5mm for lung)

Site	Institutions	Irradiations	Pass	Fail
H&N	472	631	75%	25%
Pelvis	108	130	82%	18%
Lung	67	77	71%	29%
Liver	15	18	50%	50%

For H&N, using a criteria of 5% or 4mm, the passing rate drops from 75% to 58%

Courtesy Ibott, RPC

QA Accuracy for IMRT

- Previous ICRU 5% point-dose accuracy specification replaced by a volumetric dose accuracy specification.
- Proposed new ICRU volumetric dose accuracy specification:
 - High gradient ($\geq 20\%/cm$): 85% of points within 5 mm (3.5 mm SD),
 - Low gradient ($< 20\%/cm$): 85% of points within 5% of predicted dose normalized to the prescribed dose (3.5% SD).

Dose Accuracy and Distance to Agreement

Dose

Dose accuracy for low gradients

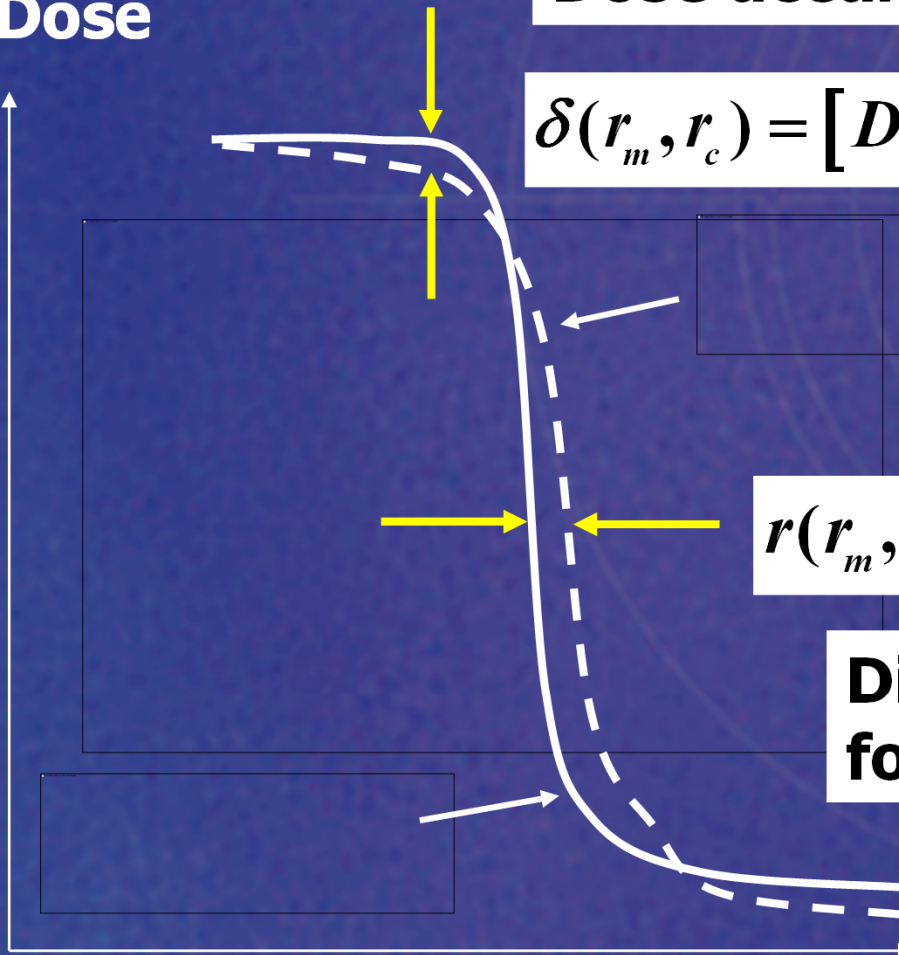
$$\delta(r_m, r_c) = [D(r_m) - D(r_c)] / D_{50\%}$$

Low gradients < 20%/cm

$$r(r_m, r_c) = |r_m - r_c|$$

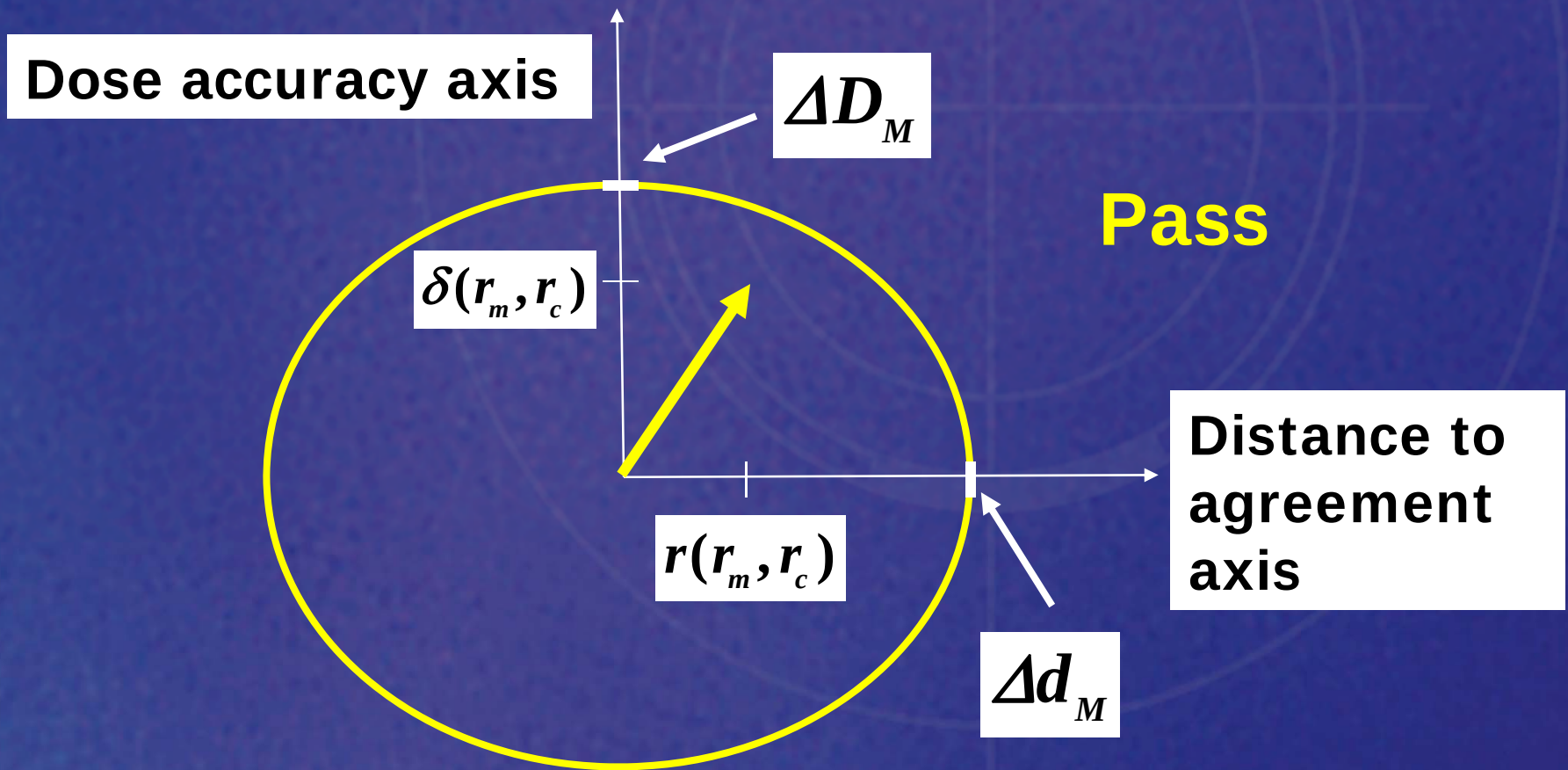
**Distance to agreement
for high gradients**

Radius



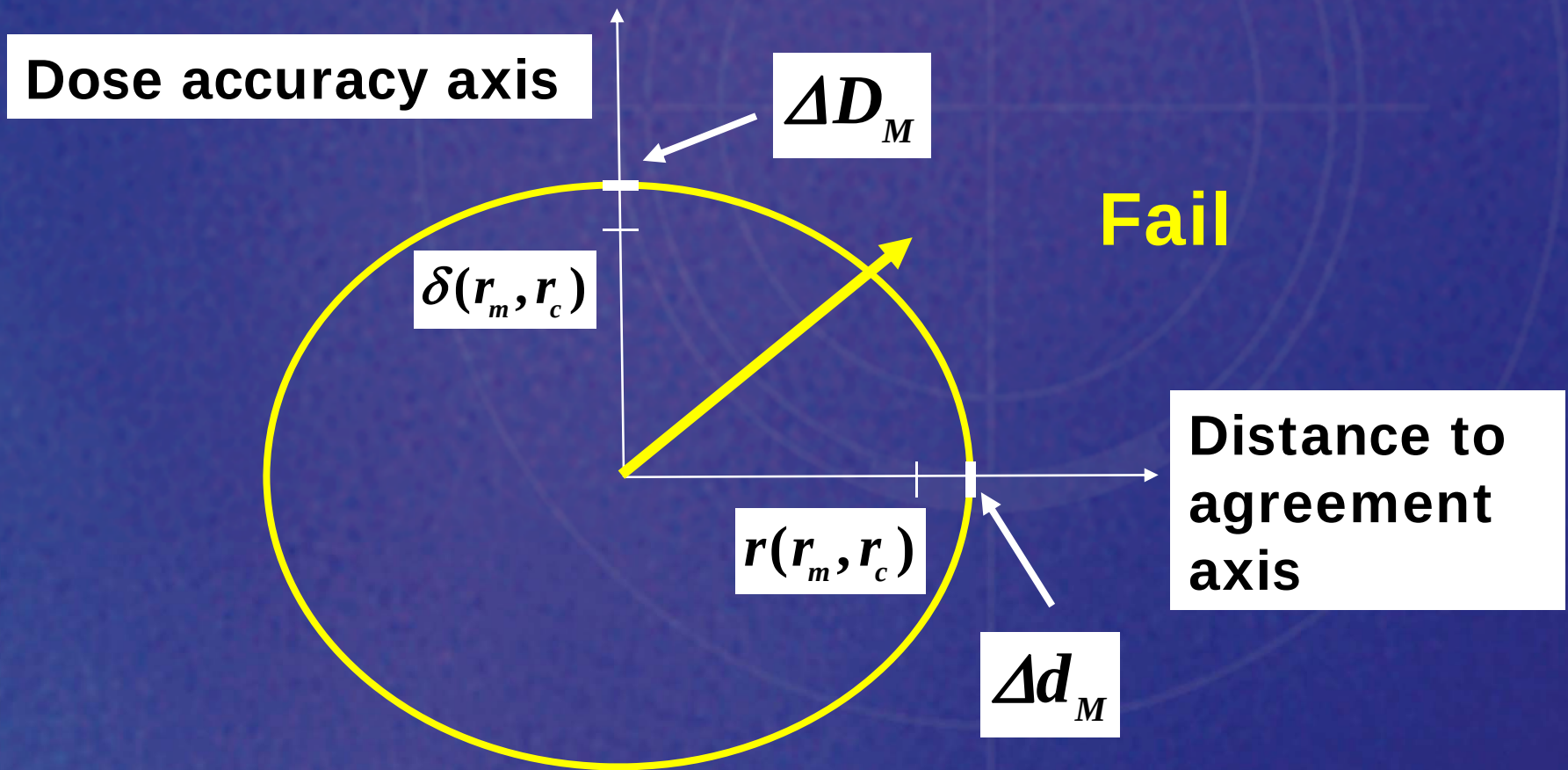
Gamma Function

$$\Gamma(r_m, r_c) = \{[\delta(r_m, r_c) / \Delta D_M]^2 + [r(r_m, r_c) / \Delta d_M]^2\}^{1/2}$$



Gamma Function

$$\Gamma(r_m, r_c) = \{[\delta(r_m, r_c) / \Delta D_M]^2 + [r(r_m, r_c) / \Delta d_M]^2\}^{1/2}$$



Summary of Changes Between ICRU 50 & 62 and IMRT ICRU (83)

- More emphasis on statistics.
- Prescription and reporting with dose-volume specifications.
- No longer use ICRU-Reference Point.
- Want median dose D_{50} reported.
- Use model-based dose calculations.
- Include the effect of tissue heterogeneities.
- Report dose to small mass of water, not dose to tissue.